

**CONSOLIDATED HOUSING AND COMMUNITY DEVELOPMENT PLAN  
FOR ALASKA 2011-2015:  
SFY 2011 (FFY2010) Consolidated Annual Performance and Evaluation Report**

Attached is a copy of the SFY 2011 (FFY 2010) Consolidated Annual Performance and Evaluation Report (CAPER). The SFY 2011 CAPER was made available for public comment on September 11th-September 26, 2011. The CAPER has three parts:

- Part 1 outlines the resources made available in the State during the past fiscal year as compared with the annual funding plan summary contained in the SFY 2011 Annual Action Plan, and describes the number and characteristics of Alaskans benefiting from the investment of those resources. This part also contains program-specific information on how the State has utilized its annual entitlements of CDBG, HOME and Emergency Shelter Grant funds.
- Part 2 recaps other actions taken by the State of Alaska to further the goals and principles of the HCD Plan, again compared to the specific actions outlined in the SFY 2011 Annual Action Plan.
- Part 3 assesses the progress the State has made in meeting its overall five-year HCD Plan priorities, and discusses any changes anticipated as a result of the findings of the one-year progress assessment.

**Consolidated Housing and Community Development Plan  
State of Alaska**

**Consolidated Annual Performance and Evaluation Report (CAPER) for State of Alaska Fiscal  
Year 2011 (July 1, 2010 through June 30, 2011)**

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## PROCESS SUMMARY

In September 2009, the State of Alaska began the development of a new five year Consolidated Housing and Community Development (HCD) Plan for the State of Alaska, covering state fiscal years 2011 through 2015 (July 1, 2010 through June 30, 2015) and addressing the Community Development Block Grant (CDBG), HOME Investment Partnership (HOME) and Emergency Shelter Grant (ESG) programs. This HCD planning process was completed in April 2010, with the Alaska Housing Finance Corporation's (AHFC's) Board of Directors approval of the FY 2011-2015 HCD Plan. This Plan identified Alaska's overall housing and community development needs and outlined a strategy to address those needs. A series of one-year action plans implements the five-year strategy of general principles and priorities. The State Fiscal Year 2011 (July 1, 2010 through June 30, 2011) Consolidated Annual Performance and Evaluation Report is the first implementation plan of the five-year (FY 2011 through 2015) HCD Plan. In SFY2011 (FFY2010) the HUD mandated Outcome Performance Measurement System was utilized. In addition to measuring what was actually achieved against what was projected, the performance measurement system includes the determination of an objective and selection of an outcome for each activity, based on the type of activity and its purpose. The three objective categories are:

**Suitable Living Environment:** In general, this objective relates to activities that are designed to benefit communities, families, or individuals by addressing issues in their living environment.

**Decent Housing:** The activities that typically would be found under this objective are designed to cover the wide range of housing possible under the HOME, CDBG or ESG programs. This objective focuses on housing programs where the purpose of the program is to meet individual family or community needs and not programs where housing is an element of a larger effort, since such programs would be more appropriately reported under Suitable Living Environment.

**Creating Economic Opportunities:** This objective applies to the types of activities related to economic development, commercial revitalization, or job creation.

The three outcome categories are:

**Availability/Accessibility.** This outcome category applies to activities that make services, infrastructure, public services, public facilities, housing, or shelter available or accessible to low and moderate income people, including people with disabilities. In this category, accessibility does not refer only to physical barriers, but also to making the affordable basics of daily living available and accessible to low and moderate income people where they live.

**Affordability.** This outcome category applies to activities that provide affordability in a variety of ways in the lives of low-and moderate-income people. It can include the creation or maintenance of affordable housing, basic infrastructure hook-ups, or services such as transportation or day care.

**Sustainability: Promoting Livable or Viable Communities.** This outcome applies to projects where the activity or activities are focused upon improving communities or neighborhoods, helping to make them livable or viable by providing benefits to persons of low- and moderate-income or by removing or

eliminating slums or blighted areas, through multiple activities or services that sustain communities or neighborhoods.

<b>OUTCOME STATEMENT MATRIX</b>			
	<b>Outcome 1:</b> Availability or Accessibility	<b>Outcome 2:</b> Affordability	<b>Outcome 3:</b> Sustainability
<b>Objective 1:</b> Suitable Living Environment	Enhance Suitable Living Environment through Improved Accessibility	Enhance Suitable Living Environment through Improved or New Affordability	Enhance Suitable Living Environment through Improved or New Sustainability
<b>Objective 2:</b> Decent Housing	Create Decent Housing with Improved or New Availability	Create Decent Housing with Improved or New Affordability	Create Decent Housing With Improved or New Sustainability
<b>Objective 3:</b> Economic Opportunities	Provide Economic Opportunity through Improved or New Accessibility	Provide Economic Opportunity through Improved or New Affordability	Provide Economic Opportunity through Improved or New Sustainability

The State of Alaska's HCD Plan applies to the “balance of state:” all areas of Alaska outside of the Municipality of Anchorage (MOA). As an entitlement jurisdiction, the MOA receives its own direct allocation of federal housing and community development funds, and must prepare and maintain its own Consolidated Plans. The State of Alaska and the MOA cooperate and share information concerning their respective planning processes.

An Interagency Steering Committee directs the State of Alaska's Consolidated Plan. By designation of the Governor, AHFC is the lead agency in this process, with responsibility for project coordination, staffing and product distribution. The Interagency Steering Committee also includes the Alaska Department of Commerce, Community and Economic Development (DCCED), the Alaska Department of Health and Social Services (DHSS), the Workforce Investment Board, and the Alaska Mental Health Trust Authority (AMHTA). Members of this Steering Committee provide input from their respective program and policy areas, and work to encourage public input into the HCD planning process.

Within 90 days of the close of the state fiscal year, the State is required to report to the public and to the federal government about the program undertaken according to the one-year Annual Action Plan. The Consolidated Annual Performance and Evaluation Report (CAPER) identifies the actual housing and community development resources available in the state during the program year, and assesses the use of these resources in comparison to activities outlined in the Annual Action Plan. It also recaps the number and characteristics of low income Alaskans benefiting from these resources. The CAPER contains program-specific reports covering the CDBG, Home Investment Partnerships and Emergency Shelter Grant (ESG) Programs as well as tables that illustrate accomplishments which are found in Appendix B-9.

Many different entities provide input into the development of the CAPER. Participating in this effort are the State of Alaska, regional housing authorities, non-profit organizations, private housing developers, lenders, local governments, and federal agencies. With the close of state fiscal year 2011 on June 30, 2011, AHFC initiated a process to gather information from these many organizations detailing the number and characteristics of persons served, and actual funding levels realized during the year.

The public was provided an opportunity to comment on the draft FY 2011 CAPER, beginning on September 11, 2011 and ending on September 26, 2011. Notice of availability of the draft was advertised in the Anchorage Daily News, and in newspaper publications in Juneau, Fairbanks, Sitka, Ketchikan, Kodiak, Nome, Valdez, Soldotna and Bethel. Notification was also sent to several of AHFC's e-mail group lists and the draft CAPER was available for download from AHFC's web-site, <http://www.ahfc.us>.

This CAPER has three parts:

- **Part 1, Resources and Beneficiaries**, recounts the resources made available in the State during the past fiscal year as compared with the annual funding plan summary contained in the SFY 2011 Annual Action Plan and describes the number and characteristics of Alaskans benefiting from the investment of those resources. This part also contains program-specific information on how the State has utilized its annual entitlements of CDBG, HOME and Emergency Shelter Grant funds.
- **Part 2, Other Housing and Community Development Actions**, identifies other actions taken by the State of Alaska to further the goals and principles of the HCD Plan, again compared to the specific actions outlined in the SFY 2011 Annual Action Plan.
- **Part 3, Assessment of Progress in Meeting Five-Year HCD Plan Priorities**, of this report assesses the progress the State has made in meeting its overall five-year HCD Plan priorities, and discusses any changes anticipated as a result of the findings of the one-year progress assessment.

## Part I: Resources and Beneficiaries

Consistent with the U.S. Housing Act of 1937, the overall goal of the *Housing and Community Development (HCD) Plan for the State of Alaska* is to:

Provide decent housing, create suitable living environments, and expand economic opportunities for low-income Alaskans with incomes at or below 80% of median income.

The five-year HCD Plan (July 1, 2010 through June 30, 2015) identified seven general principles to guide the State's efforts to implement the above statutory goal. These principles are:

- 1) **The use of federal housing and community development funds should emphasize benefit to low income Alaskans and increase sustainable housing and neighborhood options for Alaskans.** Rationale: The amount of federal funds is limited; greatest needs are among the lowest-income households. Low to moderate income Alaskans should not have their housing options limited to only lower-income neighborhoods.
- 2) **Use of community development funds should emphasize the creation of economic opportunity through development of infrastructure.** Rationale: Basic infrastructure is lacking in many of Alaska's communities and is a major barrier to economic self-sufficiency. Location-efficient facility decisions can reduce the operating and capital expenses associated with transportation.
- 3) **Preserve and upgrade existing housing supply through weatherization and rehabilitation.** Rationale: Because it is so expensive to develop new housing, every effort must be made to prolong the useful life and to lower operating costs of Alaska's existing housing.
- 4) **Use of federal homeless funds should emphasize activities that maintain and strengthen the service delivery system for Alaska's homeless, consistent with local strategies.** Rationale: Very little formula funding is available for services to help the homeless and near-homeless.
- 5) **Maximize the use of federal housing and community development funds by supporting projects that include significant leveraging resources.** Rationale: The amount of federal funds is limited; more can be accomplished if federal funds are combined with state and local resources.
- 6) **Expand the supply of affordable housing for Alaskans with special needs, incorporating universal design and appropriate supportive services.** Rationale: Existing housing supply is inadequate to meet current and projected need for this population, which has historically been underserved.
- 7) **Housing and community development projects should incorporate climate specific design and engineering, energy efficient community design and construction techniques and innovative technologies.** Rationale: Use of appropriate technologies insures long term viability of housing and community development projects. Communities designed in consideration of the link between transportation and housing costs, can minimize the consumption of energy used for mobility.

The primary focus of State of Alaska Consolidated Housing and Community Development Plan is upon the federal formula programs (CDBG, HOME, ESG) funded through the U.S. Department of Housing and Urban Development. A description of other housing and community development programs is also contained in the HCD Plan. Significant HCD resources are provided by U.S. Department of Agriculture programs. The State of Alaska also makes substantial contributions towards housing and community development. Much of this funding comes from the corporate earnings of the Alaska Housing Finance Corporation (AHFC), and funds appropriated by the Alaska Legislature. AHFC is also an important source of mortgage financing for housing, including a variety of below market rate lending products designed to expand affordable housing opportunities. AHFC has also funded improvements and deferred maintenance for public facilities throughout Alaska by issuing bonds.

Other housing and community development projects are funded from the state general fund, foundations, and private sector sources. It is important to note that not all of the resources that are available within the state are administered through the State government. Many competitive programs result in grants or loans directly to private applicants, including non-profit organizations.

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The Annual Funding Plan for Housing details projected resources for areas outside the Municipality of Anchorage (Balance of State) by program area for housing related activities. This table provides a breakdown by program area, in three categories; mortgages, grants and rental assistance.

## HCD Plan Annual Action Plan

### Annual Funding Plan For Housing

#### Balance of State (Outside Anchorage)

Fiscal Year 2011 (July 1, 2010 – June 30<sup>th</sup>, 2011)

Program Name	Program Type	Anticipated Funding			Actual Funding			Total Units	Sec. 215 Units
		Federal	State	Total	Federal	State	Total		
AHFC Energy Interest Rate Reduction	Interest rate reduction for energy efficiency	0	2,009,968	2,009,968	0	3,937,586	3,937,586	305	0
AHFC IRRLIB Program	Interest rate reduction for low-income borrowers	0	254,327	254,327	0	1,028,022	1,028,022	48	10
AHFC Multifamily Loan Program	Multifamily, special needs, congregate & senior progs.	0	5,200,650	5,200,650	0	21,796,900	21,796,900	117	N/A
AHFC Rural Housing Program	Mortgages for rural areas	0	49,027,643	49,027,643	0	51,405,662	51,405,662	234	1
AHFC Streamline Refinance Program	FHA Refinancing	0	37,692,504	37,692,504	0	37,957,918	37,957,918	192	15
AHFC Taxable First-Time Buyer Program	Conventional single-family mortgages for first-time buyer	0	18,854,318	18,854,318	0	35,234,574	35,234,574	162	0
AHFC Taxable Program	Conventional single-family mortgages	0	31,558,227	31,558,227	0	52,983,423	52,983,423	220	3
AHFC Tax-Exempt First-Time Homebuyers Prg.	First-time homebuyer mortgages	0	37,692,504	37,692,504	0	35,234,574	35,234,574	162	0
AHFC Veterans Mortgage Program	Tax-exempt veterans loan program	0	42,023,834	42,023,834	0	53,609,527	53,609,527	203	0
Other AHFC Loan Programs	Mobile Homes, Non-conforming, Seconds	0	1,261,086	1,261,086	0	800,000	800,000	2	2
Total Mortgages:		0	225,575,061	225,575,061	0	293,988,186	293,988,186	1,645	31

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## HCD Plan Annual Action Plan - Continued

### Annual Funding Plan For Housing

Balance of State (Outside Anchorage)

Fiscal Year 2011 (July 1, 2010 – June 30<sup>th</sup>, 2011)

Program Name	Program Type	Anticipated Funding			Actual Funding			Total Units	Sec 215 Units
		Federal	State	Total	Federal	State	Total		
AHFC Beneficiary and Special Needs Housing	Housing for people with disabilities	0	1,750,000	1,750,000	0	1,190,000	1,190,000	N/A	N/A
CDBG	HUD - Community Development Block Grant Program	3,128,421	0	3,128,421	3,128,431	0	3,128,431	N/A	N/A
Denali Commission Projects	Matching funds for AHFC Teacher & Senior Hsg Prgrms.	4,000,000	0	4,000,000	0	0	0	0	0
AHFC Energy Efficiency Monitoring/State Energy Prg.	Energy Rating, Marketing, Tech. Asst., Special Projects	1,100,000	577,500	1,677,500	577,500	137,500	715,000	N/A	N/A
ESG	HUD - Emergency Shelter Grant Program	125,846	0	125,846	125,846	0	125,846	N/A	N/A
Federal and Other Competitive Grants	AHFC Matching Funds	1,650,000	825,000	2,475,000	1,650,000	825,000	2,475,000	N/A	N/A
HUD HOME	Rehab, new const, rental and homebuyer assistance	3,000,000	750,000	3,750,000	3,000,000	750,000	3,750,000	N/A	N/A
HUD HOME Program Income	Program income received from HOME activity	241,848	0	241,848	311,058	0	311,058	N/A	N/A
AHFC Homeless Assistance Program	One-time aid for emergency needs	1,100,000	4,400,000	5,500,000	1,000,000	4,000,000	5,000,000	N/A	N/A
Housing Op. for Persons w/AIDS (HOPWA)	HUD Housing & supportive services	757,790	0	757,790	757,790	0	757,790	N/A	N/A
Low-Income Housing Weatherization	Weatherization & retrofit of housing	2,250,000	750,000	3,000,000	1,650,000	550,000	2,200,000	N/A	N/A
Public Housing Building Systems Replacement	AHFC Statewide repair and replacement activities	0	750,000	750,000	0	750,000	750,000	N/A	N/A
Public Housing Capital Fund Program	AHFC Rehab., management improvements of public housing	1,760,000	0	1,760,000	1,760,000	0	1,760,000	N/A	N/A
Public Housing Competitive Grants	AHFC Matching funds for public housing grants	412,500	192,500	605,000	412,500	192,500	605,000	N/A	N/A
Public Housing Fire Protection Systems - Phase II	AHFC Evaluation and Repair of Fire Systems.	0	1,210,000	1,210,000	0	1,210,000	1,210,000	N/A	N/A
Public Housing Project Improvements	AHFC General Improvements in Public Housing	0	275,000	275,000	0	1,100,000	1,100,000	N/A	N/A
Public Housing Security System Upgrades	AHFC Upgrade of existing security and door access systems	0	500,000	500,000	0	500,000	500,000	N/A	N/A
Public Housing Statewide ADA Improvements	AHFC Accessibility in Public Housing Units	0	275,000	275,000	0	275,000	275,000	N/A	N/A
Senior Citizens Housing Development Fund	AHFC Housing for elderly	0	4,500,000	4,500,000	0	4,080,719	4,080,719	20	N/A
AHFC Supplemental Housing Development Prg.	Augments Indian housing development	0	5,201,000	5,201,000	0	4,744,844	4,744,844	40	N/A
Teacher, Health Professional and Public Safety Housing	AHFC Rental Housing for Teachers	0	6,000,000	6,000,000	0	6,000,000	6,000,000	22	N/A
Total Grants:		19,526,405	27,956,000	47,482,405	14,373,125	26,305,563	40,678,688	82	0

HUD Public Housing Operating Subsidy	Operating costs	8,105,046	0	8,105,046	8,851,277	0	8,851,277	766	766
HUD Section 8 Housing Choice Vouchers	Rental assistance	14,710,739	0	14,710,739	13,850,122	0	13,850,122	1,855	1,702
Total Rental Assistance:		22,815,785	0	22,815,785	22,701,400	0	22,701,400	2,621	2,468

The HCD Plan does not establish goals for the distribution of housing resources among the State's various regions and communities, nor does it favor one type of housing over another. It has been the policy of the State of Alaska, in the use of its housing resources, to emphasize local determination and

responsiveness to demonstrated market demand. The table below illustrates the distribution of households assisted across racial and ethnic categories, and a comparison to the general population. The table titled "Households and Persons Assisted with Housing" on page 11 includes renters, homeowners, homeless, and non-homeless special needs households, broken down by income levels and household size.

<b>State of Alaska - Non-Metropolitan Areas</b>				
<b>Demographic Characteristics of Households Assisted vs. Population Composition</b>				
<b>Racial Group</b>	<b>Households Assisted SFY2011</b>		<b>2010 U.S. Census</b>	
	<b>Number</b>	<b>Percentage</b>	<b>Number</b>	<b>Percentage</b>
<b>White</b>	<b>1961</b>	<b>65%</b>	<b>281,078</b>	<b>72%</b>
<b>Black</b>	<b>122</b>	<b>4%</b>	<b>7,037</b>	<b>2%</b>
<b>Native Ak./Amer Indian</b>	<b>643</b>	<b>21%</b>	<b>81,741</b>	<b>21%</b>
<b>Asian/Pac. Islander</b>	<b>123</b>	<b>4%</b>	<b>16,063</b>	<b>4%</b>
<b>Other/Unidentified</b>	<b>165</b>	<b>5%</b>	<b>4,206</b>	<b>1%</b>
<b>Total</b>	<b>3,014</b>	<b>100%</b>	<b>390,125</b>	<b>100%</b>
<b>Hispanic/Any Race</b>	<b>100</b>	<b>3%</b>	<b>17,188</b>	<b>4%</b>
<b>Total</b>	<b>3,014</b>	<b>100%</b>	<b>780,250</b>	<b>100%</b>

The data for the Demographic Characteristics of Households Assisted table and the Households and Persons Assisted with Housing table on page 11, below, were compiled from AHFC data sources for Public Housing, Housing Choice Vouchers, Low Income Weatherization, AHFC Mortgages, and HOME funded programs.

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**Alaska Housing Finance Corporation**  
**Consolidated Housing and Community Development Plan**  
Households and Persons Assisted with Housing\*  
State of Alaska – Balance of State (Excludes Municipality of Anchorage)  
July 1, 2010 – June 30<sup>th</sup>, 2011

Name of State: STATE OF ALASKA - Nonmetropolitan Areas												Fiscal Year: 2011		
ASSISTANCE PROVIDED  (by Income Group)	RENTERS					OWNERS				HOMELESS		Non-Homeless Special Needs***	Total Goals	Total Section 215 Goals
	Elderly 1&2 Member Household (A)	Small Related (2 to 4) (B)	Large Related (5 or more) (C)	All Other Other Houshds. (D)	Total Renters (E)	Existing Home- Owners (F)	First Time Buyers With Children (G)	All Others (H)	Total Home- Owners (I)	Individuals (J)	Families (K)			
1 Ext. Low-Income (0 to 30% MFI)	302	624	179	760	1,865	6	0	6	12	0	0	701	1,877	1,877
2 Very Low-Income (31 to 50% MFI)	349	251	53	135	788	4	8	6	18	0	0	203	806	806
3 Other Low-Income (51 to 80% MFI)	41	88	21	141	291	0	19	21	40	0	0	28	331	N/A
4 Total Low-Income (Lines 1+2+3)	692	963	253	1,036	2,944	10	27	33	70	0	0	932	3,014	2,683

5 Racial/Ethnic Composition** Total Low-Income			
Hispanic			
1 Hispanic or Latino			100
2 Not Hispanic or Latino			2,914
All Races			
3 White			1,961
4 Black			122
5 Alaska Native or American Indian			643
6 Asian			86
7 Pacific Islander			37
8 Other/Unidentified			165
Total			3,014

The following programs are included in this table:
Housing Choice Voucher
AHFC Public Housing (Inc. Section 8 New, Alpine Terrace)
AHFC Tax Exempt Program
AHFC Multifamily, Special Needs Housing
AHFC Senior Citizens Housing Development
Low-Income Housing Weatherization Program
Other AHFC Mortgage Assistance (IRRLIB/EEIRR.)
HOME Owner-Occupied Rehabilitation/Home Ownership
HOME Rental Development

\*Data for period 07/01/10 - 06/30/11. Source: AHFC, Planning and Program Development.

## COMMUNITY DEVELOPMENT BLOCK GRANT

### FFY 2001 through 2010 Grants

Administered by Department of Commerce, Community and Economic Development (DCCED)

#### A. Statutory Requirements of Section 104(e):

The overall mission of the State of Alaska Community Development Block Grant (CDBG) Program is to enhance the quality of life for low and moderate income residents, particularly in rural Alaska. The CDBG Program fulfills this mission by acting upon its defined goals and objectives.

The goals of the program are to ensure that the State's CDBG funds will be used to principally benefit low and moderate income persons; to provide financial resources to communities for public facilities, planning and special economic development activities which encourage community self-sufficiency; to reduce or eliminate conditions detrimental to the health and safety of local residents; to reduce the costs

of essential community services; and to provide capital to assist in the creation or retention of jobs that primarily benefit low and moderate income persons.

The following objectives guide distribution and use of funds:

- To support activities which provide a substantial or direct benefit to low- and moderate-income persons;
- To support activities which eliminate clear and imminent threats to public health and safety;
- To support local efforts toward solving public facility problems by constructing, upgrading, or reducing operational/maintenance costs of essential community facilities;
- To support activities which demonstrate strong local support as evidenced by inclusion in a local community, economic, or capital improvement plan;
- To support activities which demonstrate potential for long-term positive impact;
- To support activities which complement the Owner-Occupied Housing Rehabilitation (ORP) component of AHFC's HOME Investment Partnership Program;
- To support activities which encourage local community efforts to combine and coordinate CDBG funds with other public and private resources whenever possible;
- To support economic-development activities which will result in business development and job creation or retention which principally benefit low-and moderate-income persons; and
- To support activities which either include, as part of the application, or have completed, design, engineering, architectural, or feasibility plans as appropriate.

As is indicated in the attached Part I of the Performance Evaluation Report, all of the CDBG grants funded have supported at least one of the above objectives. All have met the objective of serving low and moderate income residents.

The State of Alaska has not and does not anticipate significantly modifying the objectives of the CDBG program for the years included in this report. Through our Consolidated Planning process, our constituents have confirmed that our efforts to maintain and improve the quality of life for low and moderate income residents by focusing on infrastructure development, is a priority for use of CDBG funds.

We modified the Annual Action Plan between FY 2003 - FY 2007 in an effort to add or improve program procedures. This impacted the way we administer CDBG funds in several ways. In particular the amendments allowed us to set-aside funds for specific activities which are consistent with the goals and objectives identified in the Housing and Community Development Plan and which we hoped would improve our expenditure rate for CDBG funds. More importantly, we anticipated that these changes

would result in better service to Alaskan communities. The following narrative provides a summary of these amendments.

With the FY 2003 Annual Action Plan an option was added to set aside funds to provide the cost share match for Denali Commission Health Care Projects. Eventually five grants for health clinics were awarded. Grantees included the City of Teller; City of Clarks Point; City of Manokotak; Lake & Peninsula Borough for Kokhanok; and Lake & Peninsula Borough for Chignik Lake. As of June 30, 2009 all five projects are successfully completed. With the FY 2007 Annual Action Plan this option was no longer available for funding. There was little demand for use of this option after the initial projects were funded.

The FY 2003 Annual Action Plan also added an option to use CDBG funds for use by AHFC's Owner-Occupied Rehabilitation contractors for housing rehabilitation or accessibility activities. The focus is on funding emergency rehabilitation activities which compliment HOME Program activities and fill a gap that addresses critical health, safety and accessibility rehabilitation improvements not currently being met through HOME or other programs. One project was initially awarded funding for use under this option. The Matanuska-Susitna Borough agreed to accept and administer a grant on behalf of Alaska Community Development Corporation in the amount of \$500,000. That grant took effect in the Fall 2004 and was fully expended. A second award of \$500,000 was made in January 2006 and a third award of \$500,000 was made in January 2008; both have been successfully completed. It initially took more time than anticipated to work out the details of project management and disbursement of funds, but these housing rehabilitation activities have been very successful.

In January of 2007 we worked with the Fairbanks North Star Borough and Interior Weatherization Inc. to finalize an Owner Occupied Rehabilitation grant within the Fairbanks North Star Borough. We agreed to make \$500,000 available. Because of the complexity of the CDBG program it took a great deal of time to attempt to work out all of the details and get the program implemented. In the end, the borough was unable to sign the program assurances and the project fell through.

Another option made available through the FY 2003 Annual Action Plan is to use funds by CDBG eligible applicants for construction-ready homeless shelters and transitional housing through AHFC's competitive Homeless Assistance Program (HAP) application process. Applicants awarded funds under the HAP - CDBG process must meet all of the requirements for participation in the CDBG program within the timeframe outlined by the Department.

Four projects have been awarded funds through this process. The City & Borough of Juneau received \$500,000 on behalf of St. Vincent de Paul Society's renovation of their transitional housing facility and a project was awarded to make renovations at four shelters in Juneau. The Matanuska-Susitna Borough received funds on behalf of Kids are People Too for renovation of their facility. A project was awarded to the City of Soldotna for \$500,000 for construction of a new facility for Love Inc. Family Hope Center. This project was unable to get underway and the funds were eventually recaptured.

The FY 2004 and FY 2005 Annual Action Plans were amended to state that the competition for the federal fiscal year 2003 and 2004 funds would be held at times determined appropriate by the Department.

The Department reserved the right to issue, under the CDBG Competitive Grant Program, an application exclusively for Planning Activities if it is determined to be in the best interest of the program to do so. The purpose of exercising this option would be to assist communities in preparing for potential future construction projects as well as meeting other community planning needs.

The Department reserved the right to accept applications for CDBG funding outside the annual competitive grant cycle if extenuating circumstances, as determined by the Department, warrant such action. Any application accepted outside the annual CDBG competitive grant cycle would be evaluated using the same rating factors as identified under the annual CDBG competitive grant cycle. A project was awarded to the City of Hooper Bay after a fire in the community. Grant funds were used for bulk fuel tanks and distribution lines.

A second application for distributing funds was issued for both the FFY 2003 and FFY 2005 CDBG program year funds. These application cycles were held to award available funds when the initial funding cycles did not result in awarding projects for the full amount of available funds.

The Selection Process and Rating Criteria in the Annual Action Plans were amended to clarify that the application-selection process for the *CDBG Competitive Grant Program* consists of two stages---threshold review and project rating and selection. It further amended the process to read that applicants which applied for and received CDBG funding for project design, engineering, feasibility, an/or planning within two years prior to the application for implementation, will receive priority consideration for funding *and may be awarded, at the discretion of the Department, up to 10 Bonus points under the Project Plan/Readiness category at Project Rating.*

Also amended was the wording related to reallocated, recaptured, and unobligated funds to clarify what the funds are and when they can be used. These changes have allowed flexibility to use funds in ways to better serve community needs. Additional funds were obligated to two on-going projects, one in the City of Bethel, the other in the City of Eek, which identified a need between grant cycles. Additional funds were also awarded to the City and Borough of Juneau for the Juneau Homeless Coalition and additional funds to the City of Kwethluk for construction of their Public Safety Building. The City of Aleknagik received additional funds to finish their health clinic and the City of Klawock received more funding for their community plan. The City of Akutan received additional funds for their electrical transformer replacement project. Most recently, the City of Kobuk received additional funds for their Back-up Generator project. All of these projects are instances when CDBG funding was able to help complete projects by using the more flexible funding options and to provide much needed community improvements. These projects were provided funds in part from communities who were able to complete their projects under budget. The Cities of Noorvik, Diomed and Dillingham returned unused funds once their projects were finalized.

The maximum grant amount for which an applicant may apply was increased initially from \$200,000 to \$350,000 then from \$350,000 to \$500,000 and finally in FY 2006 to a total of \$850,000. These changes were made to address the rising costs of construction and to bring the program in line with other funding sources.

The State believes these amendments will continue to result in positive impacts. It is clear that the CDBG Program has had a tremendous impact on the low and moderate income residents of the State of Alaska, as indicated in the attached Accomplishments section of this report.

## **B. Summary of CDBG-R Activities**

The American Recovery and Reinvestment Act of 2009 ("Recovery Act") was signed into law by President Obama on February 17, 2009. The Recovery Act awards \$1 billion in CDBG Recovery (CDBG-R) funds to be distributed to cities, counties, insular areas and states. The State of Alaska was awarded a total of \$747,372 for the CDBG-R Program.

Funding available under the Recovery Act has clear purposes – to stimulate the economy through measures that modernize the Nation's infrastructure, improve energy efficiency, and expand educational opportunities and access to health care. The State followed HUD's suggestion to use CDBG-R funds for hard development costs associated with infrastructure activities that provide basic services to residents or activities that promote energy efficiency and conservation through rehabilitation or retrofitting of existing buildings. The full range of CDBG activities is available to grantees. However, the State incorporated consideration of the public perception of the intent of the Recovery Act in identifying and selecting projects for CDBG-R funding.

Initially, these funds were awarded to the City of Marshall for the completion of the City's Community Multi-Use Facility. Construction for this facility began in August 2004, however the project experienced management difficulties and construction was halted in December 2004. It is estimated that the project is 60% complete. The City had continued to pursue funding to complete the project since that time. As of June 2009, it appeared that the project had the financial support in place to complete the project, however, the funding to complete the project was not secured and the project fell through.

The CDBG-R funds were then reallocated to the City of Fairbanks for the purpose of Sidewalks and Storm Drains on 23<sup>rd</sup> Avenue. It was estimated that a total of 35 temporary positions would be created during the construction phase of this project. This project was given preference for CDBG-R funding because it could be started and completed by late fall 2010. The project impacted the economy by providing employment opportunities for 24 businesses. Temporary jobs were created for 29 laborers, 30 operators, 11 masons, 3 electricians, 2 welders, and 5 truck drivers. Based on the number of hours reported on certified payrolls by various contractors, the project resulted in 19.8 temporary full time positions.

## **C. Summary of Activities and Results from Technical Assistance Funding**

The State has set aside, used or intends to use 1% of its FFY 2001 – FFY 2010 allocations to provide Technical Assistance to its grantees. Previously TA funds were used to hire a team of experts to put together a *Grant Construction Manual* for use by those grantees constructing public facilities.



Technical assistance funds were also used to contract with Alaska Housing Finance Corporation to hire a construction/energy/conservation consultant to provide assistance in a number of communities which were awarded CDBG construction grants.

In August 2004 we hired a temporary Training Specialist to work with the CDBG program. In February 2006 we made that position permanent. The Training Specialist worked with applicants to improve project planning as well as grant applications. Workshop curriculum is now established and is updated as needed. In October 2008 the incumbent in this position received a promotion to a Grants Administrator position. While her tasks now include grants administration in some programs, she maintains her duties to improve project planning and grant applications with CDBG applicants. She works individually with existing CDBG grantees that are experiencing difficulties getting projects moving. She helps them identify obstacles to implementing their projects and acts as a catalyst in resolving those obstacles. She develops and updates training materials and manuals for use by grantees in understanding and meeting all program requirements.

The Training Specialist provided workshops on grant writing, planning, and implementation in Barrow, Nome, Anchorage, and Fairbanks to over 100 community representatives in the fall of 2006. In 2007 workshops were provided in the communities of Bethel, Nome, Fairbanks and Juneau. In 2008 these workshops were held in Nome, Kotzebue and Anchorage. In 2009 workshops were held in Nome, Bethel, Anchorage, and Kotzebue. In 2010 a total of approximately 40 community representatives attended workshops held in Nome, Anchorage and Fairbanks. Workshops are currently planned for Fall 2011 to be held in Bethel, Fairbanks, and either Nome or Anchorage.

We consider these workshops one of our most successful activities. During the last day of the workshop participants are asked to complete an evaluation. This workshop routinely earns an “Excellent” overall rating. Comments by participants included the statement that this workshop not only helps you fill out an application but it helps you to understand your participation in on-going projects in your community. This statement is a good example of the value of the workshops to Alaskan communities.

A Grant Administration workshop was also developed for communities who are awarded CDBG funds. These workshops contain more focused materials on implementing CDBG projects. Grant Administration workshops for all grantees were presented in Anchorage in years 2006 through 2010. These workshops have proven to be successful ways to provide program information to grantees that carry out grant funded projects. The next Grant Administration workshop is tentatively scheduled for spring 2012, after award of the FY11 CDBG grants.



## **HOME INVESTMENT PARTNERSHIPS PROGRAM (HOME)**

**Administered by Alaska Housing Finance Corporation (AHFC)**

### **A. Program Accomplishments/Commitments**

During the year, Alaska Housing Development Corporation (AHFC) continued the successes of earlier years through the HOME Investment Partnership (HOME) Program. In the SFY 2011 Action Plan, HOME program funds were allocated for the following purposes: (1) develop affordable rental housing (Greater Opportunities for Affordable Living Program), (2) develop affordable homeownership housing (Homeownership Development Program) (3) rehabilitate single-family homes owned and occupied by lower-income families (Owner-Occupied Rehabilitation Program), (4) provide financial assistance to lower-income homebuyers (HOME Opportunity Program), (5) provide tenant based rental assistance to special needs populations, and (6) fund a portion of the operating costs incurred by AHFC's Community Housing Development Organizations (CHDOs).

#### **1) Rental Development -GOAL & SNHG Program**

Under the Greater Opportunities for Affordable Living (GOAL) program and the Special Needs Housing Grant (SNHG), AHFC awards funding for affordable rental housing development utilizing four funding sources: federal and state HOME funds, Low-Income Housing Tax Credits (LIHTC), AHFC grant funds under the Senior Citizen's Housing Development Fund (SCHDF), and the stated funded SNHG program. By combining these four funding resources, the GOAL and SNHG programs have reduced the application and development burden for housing developers, increased the rate GOAL and SNHG funds are leveraged with other resources, and decreased development timeframes. During the period of notice of funding availability, AHFC staff answers application questions by phone, hosts all-day applicant trainings, and reviews pro-forma workbooks (submitted for review by the applicants) for financial feasibility.

AHFC committed funds through executed grant agreements to two HOME projects in SFY2011; these projects are located in Soldotna and Homer. A summary of these projects is provided below:

<b>Project Name</b>	<b>Project Location</b>	<b>TOTAL Units</b>	<b>HOME-Assisted Units</b>	<b>Additional HOME Set-Asides</b>	<b>504 Accessible Units</b>
<b>Tyee Court</b>	<b>Soldotna</b>	<b>8</b>	<b>8</b>	<b>0</b>	<b>2</b>
<b>Alderbrook</b>	<b>Homer</b>	<b>6</b>	<b>6</b>	<b>0</b>	<b>3</b>

All projects receiving HOME funds have mandatory State of Alaska set-asides to ensure that 40% of the units in the property will be rented to households at or below 50% of the area median gross income (AMGI). Additional set-aside units must be rented in accordance with the HOME or Low Income Housing Tax Credit (LIHTC) regulations.

Federal regulations require a minimum average of 15% of all HOME funds, \$450,500 in SFY2011, be allocated to Community Housing Development Organizations (CHDOs) to develop, sponsor, or own HOME assisted housing. The Tyee Court and Alderbrook projects are sponsored and developed by Kenai Peninsula Housing Initiatives (KPHI), an AHFC certified CHDO; \$1,810,000 in total CHDO Reserve funds were committed to KPHI through executed grant agreements for the Tyee Court and Alderbrook projects in SFY2011. In addition, another CHDO, Valley Residential Services, was awarded \$1,190,000 in HOME funds for a 10 unit special needs project; AHFC expects to commit HOME funds to this project in early SFY 2012.

## **2) Homeownership Housing Development Program (HDP)**

Alaska Community Development Corporation (Alaska CDC) had an active HDP project in SFY2011 that will produce eight (8) single family homes in SFY2012; the project utilizes \$209,000 in HOME funds. Rural Alaska Community Action Program (RurAL CAP) was awarded HDP funds in SFY 2011 that will produce nine (9) single family homes; the project utilizes \$257,999 in HOME funds. Both project sponsors participant in the USDA's 523 self-help homeownership program.

Housing is restricted to persons/families whose annual income does not exceed eighty percent (80%) of the area median income; this program meets the HUD objective of providing decent housing with improved affordability.

<b>Project Name</b>	<b>Project Location</b>	<b>HOME Units</b>	<b>504 Accessible Units</b>
<b>Mat-Su Self Help Housing #7 (Alaska CDC)</b>	<b>Palmer</b>	<b>8</b>	<b>0</b>
<b>Inlet Wood Self-Help Housing (RurAL CAP)</b>	<b>Kenai</b>	<b>9</b>	<b>0</b>

During SFY2011, two previously funded Alaska CDC HDP projects were closed in IDIS; Appendix B-5 gives detailed information regarding household demographics and characteristics.

## **3) Owner-Occupied Rehabilitation Program (ORP)**

In SFY2011, two subrecipients administered ORP activity throughout the state of Alaska: Alaska Community Development Corporation (Alaska CDC), Fairbanks Neighborhood Housing Services (FNHS).

Through the ORP, non-profit program administrators, or "subrecipients," provide funding to lower-income homeowners to improve the homeowner's property condition and energy efficiency, eliminate life-safety hazards, and make accessibility improvements. These projects often leverage other funding sources such as the AHFC Weatherization Program funds, USDA home loans, State of Alaska Home Modification Program, and AHFC Senior Accessibility Program.

Eight (8) ORP projects were completed during the program year, and an additional seven (7) were in process as of June 30, 2011. Nine (9) ORP units are owned by seniors. Appendix B-6 gives detailed information on the SFY 2011 ORP completions, and the ORP projects underway at the end of SFY 2011. All these projects meet the HUD objective of providing decent housing with improved sustainability.

#### **4) HOME Opportunity Program (HOP)**

The HOME Opportunity Program (HOP) meets the HUD objective of providing decent affordable housing with improved availability. Under HOP, qualifying families may receive down payment funding assistance equaling 2 percent of the purchase price (up to \$3,800), up to \$3,000 for loan closing costs, and, if necessary to achieve affordability, a soft second deed of trust of up to \$30,000. In accordance with the Department of Housing and Urban Development's guidance, the allocation of HOP funds to individual homebuyers must also include the cost of inspection by the subrecipient.

Three (3) subrecipients administered HOP throughout the state of Alaska in SFY2011. These organizations included: Housing First, Fairbanks Neighborhood Housing Services, and Alaska Community Development Corporation. The service and target areas for the three subrecipients include: Kenai Peninsula Borough, Matanuska-Susitna Borough, Fairbanks North Star Borough and the Juneau City and Borough. In the first quarter of SFY2011, the HOP was discontinued in Juneau; Housing First chose not to renew their HOP grant for the remainder of SFY2011. AHFC anticipates being able to serve Juneau, and possibly other communities in Southeast, again, in SFY2012. HOP continues to reflect a demand for homeownership assistance in Alaska. Thirty-eight (38) HOP loans closed in SFY 2011.

Program-wide, households served consisted of a mix of single-parent, two-parent, single, and non-elderly households with five elderly households served as well. The majority of households served were Caucasian (78%). Appendix B-5 contains more detailed information on the geographic distribution, and the beneficiary income and demographic/ethnic information for the HOP loans closed during SFY 2011.

#### **5) Tenant Based Rental Assistance (TBRA)**

In SFY2011, AHFC administered a TBRA program in partnership with the State of Alaska's Department of Corrections. TBRA serves eligible households that are at or below 60% of the area median income and targets individuals who are transitioning from State supervision into permanent housing. During SFY2011, TBRA served ten individual households. Appendix B-7 contains more detailed information on the geographic distribution, household income and demographic/ethnic information for the TBRA households served during SFY 2011. TBRA meets the HUD objective of creating decent housing with improved affordability.

#### **6) Community Housing Development Organization (CHDO) Operating Expense Assistance (OEA)**

Over the past year, AHFC assisted three Community Housing Development Organizations (CHDOs) through its CHDO Operating Expense Assistance (OEA) Program. OEA assists active CHDOs to build

capacity and meet operating expenses. In SFY2011, three contracts totaling \$135,000 were executed for CHDOs.

**7) Rental and Homeownership Housing Pre-Development Program**

A SFY2011 Pre-Development cycle was not held. AHFC is reviewing the Pre-Development Program to determine the best strategies to take to assist project sponsors in assessing the viability of potential projects. In SFY 2011, AHFC certified CHDOs and prospective CHDOs have continued to work with The Foraker Group on an as needed basis to assess their capacity and develop agency action plans.

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## 8) Summary of SFY2011 Commitments

The table below identifies HOME commitments made during the past fiscal year.

<b>Program Component/ Sponsor</b>	<b>Commit Date</b>	<b>Commit. Amount**</b>	<b>Project Location</b>	<b>Total Units to be Completed</b>	<b>Project Status 6/30/10</b>
<b>Rental Development:</b>					
<b>Kenai Peninsula Housing Initiatives - Alderbrook</b>	<b>4/11/11</b>	<b>\$960,000</b>	<b>Homer</b>	<b>6</b>	<b>Underway</b>
<b>Kenai Peninsula Housing Initiatives – Tyee Court</b>	<b>6/17/11</b>	<b>\$850,000</b>	<b>Soldotna</b>	<b>8</b>	<b>Underway</b>
<b>Homeownership Development:</b>					
<b>RurAL CAP</b>	<b>TBD</b>	<b>\$257,999</b>	<b>Kenai</b>	<b>9</b>	<b>ERR Underway</b>
<b>Owner Occupied Rehabilitation:</b>					
<b>No new ORP commitments in SFY2011; prior year commitments carry forward to SFY2011</b>					
<b>HOME Opportunity Program:</b>					
<b>Alaska Community Development Corporation</b>	<b>10/5/09</b>	<b>\$1,390,478</b>	<b>Kenai/ Matsu</b>	<b>43*</b>	<b>Underway</b>
<b>Fairbanks Neighborhood Housing Services</b>	<b>10/5/09</b>	<b>\$897,484</b>	<b>Fairbanks NSB</b>	<b>27*</b>	<b>Underway</b>
<b>Tenant Based Rental Assistance (TBRA): MOA with Dept. of Corrections</b>	<b>8/27/10</b>	<b>\$300,000</b>	<b>Statewide</b>	<b>55*</b>	<b>Underway</b>
<b>Operating Expense Assistance (OEA) for CHDOs:</b>					
<b>Housing First, Inc.</b>	<b>10/21/10</b>	<b>\$45,000</b>	<b>N/A</b>	<b>N/A</b>	<b>Underway</b>
<b>Valley Residential Services, Inc.</b>	<b>10/21/10</b>	<b>\$45,000</b>	<b>N/A</b>	<b>N/A</b>	<b>Underway</b>
<b>Kenai Peninsula Housing Initiatives</b>	<b>10/21/10</b>	<b>\$45,000</b>	<b>N/A</b>	<b>N/A</b>	<b>Underway</b>

\* Projected number of units to be completed.

\*\*Total AHFC Commitment; includes non-HOME funds. Refer to Appendix B-4----Active HOME Projects, for information ongoing HOME projects from all fiscal years.

## **B. HOME Match**

Matching requirements for all program components (except CHDO Operating Expense Assistance and Administration Expenses, both of which do not require match) are being met by AHFC's cash contribution of \$750,000.00, and contributions through other sources. The Senior Citizens Housing Development Fund and tax exempt bond proceeds from AHFC single family homebuyer loans and from multi-family mortgages are significant sources of match for AHFC. Matching contributions from tribal programs, State of Alaska programs, and nonprofit donations also commonly provide leverage for the HOME program. The match liability for this year was \$470,539.15. Match credit from bond financing for SFY2011 is \$1,538,419; AHFC is limited to crediting only \$117,634.79 of bond proceeds towards the match liability for this year. Match liability is incurred whenever program funds are drawn from the federal treasury. The HOME match report is included in Appendix B-1.

AHFC has a total of \$27,690,912.36 in match that will be carried over to the next State fiscal year; this total amount includes both restricted and unrestricted match.

## **C. Evaluating and Reducing Lead Based Paint Hazards**

In September of 1999, HUD published lead-based paint regulations that described procedures for all HOME-funded projects assisting housing built before 1978. These regulations impacted the Owner-Occupied Rehabilitation Program (ORP), and to a lesser degree, the HOME Opportunity Program (HOP) and the Rental Development Program. Throughout SFY 2011, AHFC worked with its HOME grantees to ensure compliance with the lead based paint regulations.

No elevated blood lead levels were detected in Alaskan children that were attributed to lead-based paint during SFY 2011 (July 1, 2010 through June 30, 2011). More information is available at <http://www.epa.gov/lead/pubs/renovation.htm> regarding new regulations related to lead-based paint remediation that affect all contractors that perform renovations on houses, child care facilities, and schools built before 1978. In SFY2011, AHFC continued to coordinate with the Department of Health and Human Services, the Environmental Protection Agency and the Municipality of Anchorage to develop a current study of the state's inventory of residential buildings that have a potential for lead-based paint.

## **D. Displacement/Relocation**

No households were displaced or relocated in a HOME-funded project during the report period.

## **E. HUD HOME Program Monitoring**

HUD HOME program monitoring during the SFY 2011 year consisted of four types of compliance reviews. The first type of compliance review is a desk review of pre-disbursement/initial documents and reports prior to any HUD HOME and AHFC funds being paid to the project developers and subrecipients. The second type of compliance review consists of desk monitoring throughout the

project development and grant period. The third type of compliance review involves site visits to housing rehabilitation and rental housing projects and to subrecipients' offices during the project development and grant period. The fourth type of compliance review consists of post-project completion or "affordability compliance" review of rental housing development projects and HOP loans.

The first type of compliance review is accomplished by AHFC's Planning and Program Department staff reviewing the project developers and subrecipients' pre-disbursement/initial reports required of subrecipients and project developers of rental housing projects. AHFC staff verifies that all pre-disbursement/initial documents and reports are complete and accurate before any HUD HOME and AHFC funds are released to the project developers and subrecipients. The required pre-disbursement/initial documents and reports will depend on the type of project being funded. A complete list of all the different pre-disbursement/initial documents and reports follows:

- Evidence of business license and insurance requirements
- Evidence of Debarment and Suspension (24 CFR Part 92.357)
- Cost allocation plan
- Evidence of funding commitments
- Authorized signatories
- Project work plan
- Certification of Section 3 and Women's and Minority Business Enterprises (MBE/WBE) compliance

These reports include a written Section 3 and Women's and Minority Business Enterprises (MBE/WBE) work plan. The Section 3 work plan identifies how subrecipients will notify Section 3 residents and contractors of training and job opportunities, facilitate the training and employment of Section 3 residents, and the award of contracts to Section 3 businesses that include the Section 3 Clause in all solicitations and contracts. The Women's and Minority Business Enterprises work plan includes a description of subrecipients' planned outreach designed to inform women and minority business enterprises of contract opportunities.

The second type of compliance review is desk monitoring conducted by AHFC's Planning and Program Development Department staff throughout the project development and grant period. This type is accomplished by AHFC staff reviewing project developers' and subrecipients' monthly or quarterly invoices that sometimes include supporting documents; quarterly and final financial and project status reports; and HOP/ORP Project Set-Up forms. Project status reports requirements vary depending on the type of projects funded. The following is a partial list of the different project status reports:

- Description of Section 3 and Women's and Minority Business Enterprises (MBE/WBE) compliance
- Description of job training activities
- Description of Affirmative Fair Housing Marketing compliance activities
- Certification of Title VI of the Civil Rights Act of 1964
- Certification of Conflict of Interest Provisions at 24 CFR Part 92.356
- Certification of Drug Free Work Place Act of 1988
- Certification of Debarment and Suspension (24 CFR Part 92.357)
- Certification of Flood Disaster Protection Act of 1973



- Certification of Lead-Based Paint Poisoning Prevention Act
- Project cost certification
- Copy of recorded federal, state and local building inspection reports (i.e. BEES, HQS, UPCS)
- Certification of Davis-Bacon Wage Act and Safety Standards Act, if applicable
- Copy of proposed rental charges and low-income unit lease agreement
- Copy of executed deed restriction on the title to the land benefited by the project funding
- HOP/ ORP Project Set-Up and Project Close-Out forms

The third type of compliance review involves on-site visits to housing rehabilitation and rental housing projects and to subrecipients' offices during the project development and grant period. AHFC staff from the Planning and Program Development Department and the Research and Rural Development Department share the responsibility of on-site monitoring reviews. AHFC staff reviews project developers' and subrecipients' records for compliance with financial administration and management regulations, program policies and regulations, and property requirements. The project developers and subrecipients receive a formal written monitoring review report and are required to respond and correct any findings and questioned costs. In SFY 2011, the Planning and Program Development Department staff completed the following number of monitoring compliance reviews:

Programmatic and financial monitoring compliance reviews:

- One of the three HOME HOP subrecipients
- One of the two HOME ORP subrecipients
- One of the one HOME HDP subrecipients
- Two of the three HOME OEA subrecipients
- Two of the five HOME GOAL subrecipients

In SFY 2011, HOME ORP subrecipient, FNHS, had two of rehabilitation housing projects visited and inspected by the HOME Project Management staff from AHFC Department of Research and Rural Development.

The fourth type of review is conducted by AHFC's Internal Audit Department. AHFC Internal Audit Department staff monitors post-project completion, or "affordability compliance," and reviews agencies with HOME funded rental housing development projects. Audit reviews are conducted throughout the year based on a schedule that meets with federal audit requirements for the different types of rental housing development projects. During SFY 2011, the Internal Audit Department staff conducted 50 audits of HOME funded rental housing development projects. The project developers received a formal written audit review report and were required to respond and correct any findings or questioned costs. In addition, the AHFC Planning Department conducted a monitoring review of a selection of HOP households to ensure compliance with the primary residence requirement during the AHFC/ HOME imposed affordability period.

In all of the four types of program monitoring, AHFC staff works with the project developers and subrecipients to ensure compliance with HUD HOME policies and regulations. The formal written monitoring review reports clearly identify non-compliance findings and questioned costs, cite HUD HOME, OMB Circulars and AHFC regulations that support the findings; and, recommend corrective actions the subrecipients should take to meet compliance requirements. In almost all non-compliance situations, the project developers and subrecipients show a willingness and ability to comply with



program policies and regulations. Throughout the project development and grant period, AHFC staff provides technical assistance to project developers and subrecipients in order to preclude non-compliance findings and questioned costs during formal monitoring reviews.

#### **F. Minority Business Enterprises / Women Business Enterprises**

Section 281 of the National Housing Affordability Act requires HOME Participating Jurisdictions to establish and oversee procedures for program outreach to minority and women-owned businesses. AHFC requires that subrecipients develop an initial plan for outreach to minority and women-owned businesses and report quarterly on executed contracts and subcontracts. During SFY11, HOME subrecipients contracted a total of \$1,296,446 worth of services. One contract in the amount of \$15,010 was awarded to minority business enterprises; three contracts totaling \$105,507 were awarded to registered women business enterprises.

#### **G. Fair Housing and Related Issues**

The HOME Program requires AHFC to comply with the Fair Housing Act and related issues of affirmative marketing and equal opportunity. These requirements pass through to program subrecipients and to housing developers and owners who have received HOME funds. It has been AHFC's practice to meet these requirements through a variety of actions including:

- Placement of an equal opportunity logo in all AHFC solicitations, including those of program administrators, for program activities as well as press releases;
- Display of fair housing and equal opportunity posters in prominent areas of AHFC and program administrators' offices;
- Inclusion of specific provisions within each grant, loan, or program administrator's contract addressing the responsibilities of the grantee, borrower or program administrator regarding fair housing and equal opportunity;
- Efforts to ensure that all HOME Program participants with disabilities are aware that reasonable accommodations are available upon request;
- Outreach efforts, including meetings and workshops sponsored, conducted or participated in by AHFC, which are designed to educate segments of the population which might otherwise be less informed regarding the availability of program funds and the requirements under the Fair Housing Act. For example, during program funding cycles, AHFC conducts application workshops that address, in part, Fair Housing issues and requirements;
- Translation services paid through HOME administration costs for persons applying for the program with Limited English Proficiency;
- AHFC's Compliance and Planning Departments also regularly audit grantees and program

administrators to ensure fair housing compliance and to further educate program participants regarding their fair housing responsibilities;

- Participation in advisory committees regarding special needs groups and their specific housing needs and assistance requirements;
- Focus program efforts toward areas and persons who might be considered least likely to apply for the assistance. For instance, the rating criteria utilized in the GOAL program targets projects in rural areas and those that will serve special needs groups. The ORP and TBRA program criteria also restricts program participation to families whose income does not exceed 60% of the area median income, and additionally targets families with special needs;
- ORP, HDP and GOAL program administrators, are required to seek and encourage participation of minority and/or women-owned businesses for contracts of \$25,000 or more;
- Flexibility offered by the Native American Housing and Self-Determination Act (NAHASDA), allows AHFC to invite participation in the HOME program by Indian Housing Authorities and tribes, and work with those entities to ensure that all HOME funds result in housing units that are open to both native and non-native eligible households. Guidance from the US Department of Housing and Urban Development's Office of General Council, dated June 4, 2001, clarified combining funds and implications for fair housing in preferences related to housing occupancy. In some cases, use of NAHASDA funds in combination with HOME funds is not allowable, due to incompatible program requirements regarding hiring preferences; and
- Annually evaluate the success of Affirmative Marketing efforts, and propose changes for the coming years. This assessment has been completed and is included in Appendix B-2.

The actions identified here have resulted in greater awareness and compliance with fair housing and related requirements, wider geographic disbursement of HOME funds in Alaska, and effective delivery of housing to a greater number of minority and lower income populations. It is AHFC's intent to continue these actions in the future.

## **EMERGENCY SHELTER GRANT PROGRAM**

**Administered by Alaska Housing Finance Corporation (AHFC)**

### **Grant #S-10-DC-02-0001 Annual Report PER FFY 10**

AHFC has been designated by the Governor as the Administrator of Alaska's non-metro allocation of Emergency Shelter Grant (ESG) funds. Metro funds are granted by HUD directly to the Municipality of Anchorage. The state program received \$125,846 in federal fiscal year 2010 (SFY11). Five agencies were awarded grants from the Emergency Shelter Grant program. The grants support four general categories of assistance: activities to prevent homelessness; direct emergency services; costs to operate shelter facilities, and administrative costs.

The following is a summary of grants made from FFY 10/SFY 11 Emergency Shelter Grant Funds.

**1. AWARE ~ Juneau:**

Homeless Prevention Activities:	\$3,000
Shelter/Transitional Operations:	\$24,360
<b>TOTAL:</b>	<b>\$27,360</b>

**2. Alaska Family Services ~ Palmer:**

Homeless Prevention Activities:	\$4,378
Essential Services:	\$5,000
Shelter/Transitional Operations:	\$23,957
Administration:	\$1,665
<b>TOTAL:</b>	<b>\$35,000</b>

**3. Brother Francis Shelter ~ Kodiak:**

Homeless Prevention Activities:	\$6,000
Shelter/Transitional Operations:	\$17,000
Administration:	\$1,000
<b>TOTAL:</b>	<b>\$24,000</b>

**4. The LeeShore Center ~ Kenai/Soldotna:**

Shelter/Transitional Operations:	\$12,274
Rehabilitation/Renovation:	\$16,500
Administration:	\$1,226
<b>TOTAL:</b>	<b>\$30,000</b>

**5. Tundra Women's Coalition ~ Bethel:**

Shelter/Transitional Operations:	\$9,486
<b>TOTAL:</b>	<b>\$9,486</b>

At the end of SFY11 (6/30/2011), the ESG funds matched by the recipients totaled \$908,952 in local funds, local non-cash resources, and other state and federal agency funds.

**AMERICAN RECOVERY AND REINVESTMENT ACT OF 2009 (ARRA)**

**Administered by Alaska Housing Finance Corporation (AHFC)**

**Homelessness Prevention and Rapid Re-Housing Program (HPRP)**

In March 2009, the U.S. Department of Housing and Urban Development (HUD) released the Notice of Allocations, Application Procedures, and Requirements for Homelessness Prevention and Rapid Re-Housing Program (HPRP) Grantees. Under HPRP the State of Alaska received an allocation of \$1,143,986 to provide financial assistance and services to either prevent individuals and families from

becoming homeless or to help those experiencing homelessness to be quickly re-housed and stabilized. The Grant Agreement between AHFC and HUD was executed July 16, 2009. AHFC fully met HUD's requirement to obligate HPRP funds by September 30, 2009. As of 6/30/2011, Alaska's HPRP award was 96% expended.

Below is a summary of HPRP expenditures to the end of the SFY11 program year:

<b>Recipient</b>	<b>Community</b>	<b>Funded Activities</b>	<b>Amount</b>
<b>Family Promise*</b>	<b>Mat-Su Borough</b>	<b>Financial Assistance; Services; Data Collection</b>	<b>\$112,827</b>
<b>The Salvation Army*</b>	<b>Fairbanks</b>	<b>Financial Assistance; Services; Data Collection</b>	<b>\$117,454</b>
<b>The Salvation Army*</b>	<b>Sitka</b>	<b>Financial Assistance; Services; Data Collection</b>	<b>\$138,858</b>
<b>Gastineau Human Services*</b>	<b>Juneau</b>	<b>Financial Assistance; Services; Data Collection</b>	<b>\$175,282</b>
<b>Love INC-Gateway</b>	<b>Ketchikan</b>	<b>Financial Assistance; Services; Data Collection</b>	<b>\$140,554</b>
<b>Brother Francis Shelter*</b>	<b>Kodiak</b>	<b>Financial Assistance; Services; Data Collection</b>	<b>\$143,584</b>
<b>Love INC-Kenai Pen.*</b>	<b>Kenai/Soldotna</b>	<b>Financial Assistance; Services; Data Collection</b>	<b>\$129,625</b>
<b>Kenai Peninsula Housing Initiatives*</b>	<b>Homer</b>	<b>Financial Assistance; Services; Data Collection</b>	<b>\$71,181</b>
<b>Tundra Women's Coalition*</b>	<b>Bethel</b>	<b>Financial Assistance; Services</b>	<b>\$54,000</b>
<b>USAFV*</b>	<b>Aleutians</b>	<b>Financial Assistance; Services</b>	<b>\$2,627</b>
<b>Municipality of Anchorage</b>	<b>Balance of State</b>	<b>Data Collection</b>	<b>\$18,838</b>
<b>AHFC</b>	<b>Balance of State</b>	<b>Administration</b>	<b>\$5,369</b>
<b>Total</b>			<b>\$1,110,199</b>

\*Closed grant

## **ALASKA'S CONTINUUM OF CARE FOR THE HOMELESS**

**Administered by Alaska Housing Finance Corporation (AHFC)**

### **A. Guiding Principle #4: Allocation of homeless resources covered by this Consolidated Plan should be consistent with community based strategies addressing homelessness.**

Federal and state resources were used during SFY 2011 to fund programs of homeless prevention and intervention for Alaskans living outside of the state's largest city, Anchorage. Local non-profit agencies are the critical link in this delivery system and were the primary recipients of funding addressed in this report. The allocation of homeless resources covered by this Consolidated Plan during SFY 2011 was consistent with community based strategies addressing homelessness (Guiding Principle # 4) and with the objective of creating a suitable living environment with improved availability and accessibility.

The Alaska Coalition on Housing and Homelessness and Alaska Housing Finance Corporation worked closely together throughout SFY 2011 to prepare for the HUD Continuum of Care competition which had not yet been announced at the close of this reporting year. The Alaska Council on the Homeless, appointed by the Governor, also met to better coordinate the use of state and federal resources to achieve objectives identified in the state's 10-Year Plan to End Long-Term Homelessness.

### **B. Priority Activities Under Alaska's Continuum of Care**

Throughout SFY2011, AHFC supported the Alaska Coalition on Housing and Homelessness by providing staff assistance and teleconference services. Consistent with Guiding Principle #4, the Coalition changed their meeting schedule to "every other month" to provide more time for local planning and coordination. An average of 30 persons representing 11 communities throughout the state participated in Coalition meetings over the reporting period. In late November 2010, with support from AHFC, the Alaska Coalition hosted its annual meeting and workshops. The event featured a keynote presentation from Jennifer Ho, Deputy Director at the U.S. Interagency Council on Homelessness.

SFY2011 marked the second implementation year for Alaska's 10-Year Plan to End Long Term Homelessness. The plan was developed by the Alaska Council for the Homeless, an interagency council appointed by the Governor. In SFY11, approximately \$8 million in state resources were dedicated to activities identified in the 10-Year Plan.

AHFC continued its collaboration with the Coalition to facilitate the preparation and submission of the FFY10 Alaska Balance-of-State Continuum of Care (CoC) application. All projects requesting renewal funding in the FFY10 competition were funded, along with two new small projects funded under the "Hold Harmless" option.

In terms of program outcomes, the Balance-of-State CoC actually gained ground again in SFY2011 with the exception of additions to the stock of Permanent Supportive Housing (PSH) targeting chronic homeless persons. Alaska's CoC pro rata share is so small, there has been no opportunity to develop any

additional beds for this population. Some that were developed previously using state resources changed their designation this year to assisted living beds to qualify for a more stable means of operating support.

The table below is an excerpt from the 2011 application:

Objective	Prior Level	Projected in 2011	Actual 2011 Level
<b>1. Create new Permanent Supportive Housing beds for chronic homeless</b>	<b>36 Beds</b>	<b>41 Beds</b>	<b>24 Beds</b>
<b>2. Exceed national 7-month retention goal of 77%</b>	<b>78%</b>	<b>80%</b>	<b>84%</b>
<b>3. Increase placement rate from Transitional Housing to Permanent Housing to 65%</b>	<b>74%</b>	<b>75%</b>	<b>94%</b>

Sources: January 2011 Point-in-Time Housing Inventory Survey (1); Annual Performance Reports (2&3)

### **C. Supportive Housing Program (SHP) Grant Match Assistance**

With authority from the Alaska Legislature, AHFC provides matching grants for several federal competitive grant programs. During SFY11 AHFC awarded approximately \$1,139,690 in current and recaptured corporate funds to match 15 grant requests totaling \$2.4 million from HUD under the FFY2010 Continuum of Care, Supportive Housing Program (CoC-SHP). Seven of those grants were awarded to projects in the Balance-of-State Continuum. CoC-SHP grantees report that these AHFC funds are critical to the continuity of these projects due to the stagnation of HUD amounts for renewals.

## **ASSISTING ALASKANS WITH SPECIAL NEEDS**

### **A. Senior Housing**

AHFC provided \$1,093,007 in Senior Citizen Housing Development Fund (SCHDF) money to four (4) non-profit agencies to administer the Senior Housing Accessibility Modification Program in SFY 2011; eighteen (18) households were assisted.

The Senior Housing Accessibility Modification Program serves the following areas: Mat-Su Borough, Kenai Peninsula, Kodiak Island, Valdez-Cordova, Denali, Southeast Fairbanks Census Area, Southeast, Nome, Northwest Arctic, Bethel, Wade Hampton, Yukon Koyukuk Census Area and the Municipality of Anchorage.

Approximately \$3.9 million in funding from AHFC's SCHDF were awarded to develop new senior housing in Fairbanks and rehabilitate an existing assisted living facility in Eagle River.

Funding from the SCHDF made possible the following projects:

Grantee	Project Type	Community	Grant Amount	Total Units	Activity Type
Retirement Community of Fairbanks	Independent Senior Living	Fairbanks	\$3,437,625	20	Demolition and Re-Construction
Chugiak Senior Citizens Services	Senior Assisted Living	Eagle River	\$492,429	21	Rehabilitation

#### **B. Housing Opportunities for Persons with AIDS (HOPWA)**

AHFC received \$915,000 to support its statewide housing programs for very low-income residents living with HIV/AIDS. “Very low-income” is defined as those who earn 50 percent or less of the median income in Alaska, which is \$56,000 a year, adjusted according to the number of people per household.

These funds are used to provide assistance with rental or short-term utility costs, as well as a wide array of supportive services for persons living with HIV/AIDS. Both projects operate on a calendar year.

Made available through the Housing Opportunities for Persons with AIDS Program (HOPWA), the federal award helps provide permanent housing, comprehensive case management, and short-term housing assistance to those living with HIV/AIDS in Alaska.

HOPWA grants to AHFC since 1994 have made it possible to support and assist more than 200 people annually and to work with two organizations: the Alaska Assistance Agency in Anchorage (4As) that serves the South-central and Southeast Regions and the Interior AIDS Association in Fairbanks.

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### **C. Beneficiary and Special Needs Housing Grant Program**

During SFY2011, the Beneficiary and Special Needs Housing Grant (SNHG) Program combined State SNHG funds with federal HOME funding to produce the following accomplishments:

- Four capital development SNHG awards were made in March of 2011. Information for these projects is provided in the below table:

<b>Recipient - Project</b>	<b>SNHG Award</b>	<b>HOME Award</b>	<b>Number of Units</b>
<b>Anchorage Community Mental Health Services – Juneau Street Rehab</b>	<b>\$400,923</b>	<b>\$0</b>	<b>4</b>
<b>Tanana Chiefs Conference – Housing First</b>	<b>\$2,095,628</b>	<b>\$0</b>	<b>47</b>
<b>Valley Residential Services</b>	<b>\$386,918</b>	<b>\$1,190,000</b>	<b>10</b>
<b>Kenai Peninsula Housing Initiatives – Tyee Court</b>	<b>\$460,000</b>	<b>\$850,000</b>	<b>8</b>

### **D. Other Special Needs Housing Programs**

#### **1) Technical Assistance and Scholarships**

Throughout SFY 2011, Alaska Housing Finance Corporation offered HUD funded technical assistance activities targeted to improve the capacity of sponsors to access special needs housing programs and to access “mainstream” housing resources for special needs populations.

Scholarship opportunities were provided for two Alaskan provider staff to attend to the National Alliance to End Homeless (NAEH) Annual Conference in July of 2010.

Scholarship opportunities were available for providers to access the on-line “Ready to Rent” Curriculum which trains attendees on how to train class participants to become better tenants. Tenants who then take the class receive a certificate that may be used in lieu of a rental reference with some landlords.

Scholarships were also provided for Municipality of Anchorage staff to attend HMIS Training in the fall of 2010.

A scholarship was provided to one community coordinator to attend Project Homeless Connect in Anchorage in order to gain experience and expertise to bring Project Homeless Connect to their community.



## **2) HUD 202 and 211 Program Resources**

Another important Federal special needs housing resource is the HUD 202 program to assist the very low-income elderly. Under this program, in addition to funding the construction or rehabilitation of projects to create units, HUD will subsidize rents so that senior residents pay only 30% of their adjusted incomes as rent. On July 12, 2010, HUD announced an award to NeighborWorks® Anchorage (Anchorage Neighborhood Housing Services, Inc.) of \$4,362,900 for the construction of a 20-unit senior housing project in Anchorage. An additional \$412,200 of rental assistance for the project was also awarded. This project broke ground in mid-July of 2011.

On July 12, 2010, HUD also announced an award to NeighborWorks® Anchorage (Anchorage Neighborhood Housing Services, Inc.) to build a 10-unit project for the HUD 811 program. The HUD 811 program provides Federal resources, mirroring the HUD 202 program, to assist very low-income individuals who experience permanent disabilities. HUD awarded a \$2,085,000 capital advance for this project with an additional award of \$206,100 in rental assistance for the project. This project also broke ground in mid-July of 2011.

## **3) Self Help Group Recovery Homes**

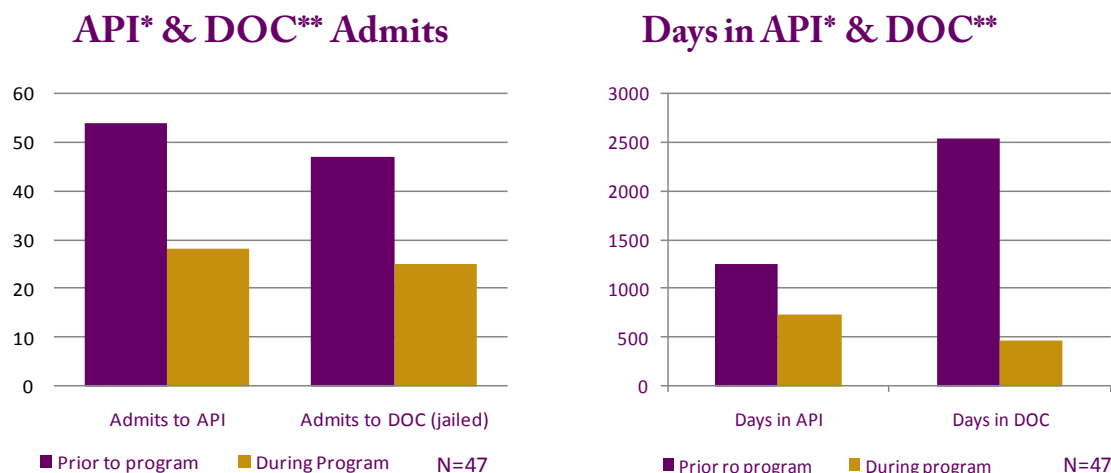
The Alaska Mental Health Trust Authority (AMHTA) has, in previous years, focused on assistance for the Oxford House model. Oxford House is a group recovery home model where the residents share expenses and chores. The homes have an absolute no alcohol or drug use policy and offer support for their sobriety by the other house residents. There are 6 Oxford Homes in Alaska (3-Anchorage, 3-Fairbanks). Serving approximately 50 clients during SFY2011 AMHTA broadened the scope of their outreach to include sober housing for discharge from treatment. To that end they awarded one grant for a program in Wasilla.

## **4) Bridge Home Program (supportive, independent living)**

The Bridge Home is a supportive housing program for people with Mental Health disabilities funded by the AMHTA. The program is designed to provide affordable housing and on-site supportive services and skills training to participants, while they are waiting on the AHFC Housing Choice Voucher Waiting List. Since many of the participants have poor rental and credit histories, many with criminal records, they are often not immediately eligible for the Housing Choice Voucher Program. This program gives them time in their own apartment while they learn the skills needed to maintain residency and demonstrate their capacity by the time they rise to the top of the AHFC Waiting List. The participants each have long histories of stays in the State mental hospital and corrections and often homelessness.

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## Bridge Home program outcomes for admissions and days spent in the Department of Corrections and Alaska Psychiatric Institute



## OTHER AFFORDABLE HOUSING

### A. Teacher, Health Professional and Public Safety Housing Grant Program (THHP)

In the overall housing picture for the State of Alaska, one important component that serves rural Alaska in particular is the Teacher, Health Professional and Public Safety Housing Grant Program. Attracting and maintaining a pool of qualified teachers, health professionals, and public safety officials in rural Alaska is a goal of the State of Alaska. Housing must be available, affordable and of a quality that encourages these professionals to locate in rural settings. Under the Teacher, Health Professional and Public Safety Housing Grant Program, funding is available for the rehabilitation and development of teacher, health professional, and public safety housing in rural Alaska.

In SFY2011 the Governor prioritized Village Public Safety Officer Housing (VPSO) with a priority set-aside of \$1.0 million dollars under the Teacher, Health Professional, and Public Safety Housing Grant Program. The VPSO program was established in the late 1970s to provide a “first-response” to public safety emergencies. VPSOs are individuals who reside in villages and provide basic emergency services such as search and rescue, fire protection, crime protection, and basic law enforcement. Because VPSOs have a significant impact on improving the quality of life in Alaska’s Villages, Governor Parnell has prioritized housing for these professionals.

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In SFY2011, AHFC awarded \$6.2 million in AHFC Corporate Funding for seven rural Teacher, Health Professional and Public Safety Housing grants. Three of the grants will target VPSOs. A list of awards is provided below:

<b>Project</b>	<b>Applicant</b>	<b>AHFC Funding</b>
<b>King Cove Public Safety Housing Duplex</b>	<b>Aleutian Housing Authority</b>	<b>\$757,712</b>
<b>King Cove Health Professional and Teacher Housing Fourplex</b>	<b>Aleutian Housing Authority</b>	<b>\$1,142,019</b>
<b>St. Mary's Teacher Housing Triplex</b>	<b>St. Mary's School District</b>	<b>\$1,100,000</b>
<b>Marshall Teacher Housing - 2 Fourplexes</b>	<b>Lower Yukon School District</b>	<b>\$1,953,459</b>
<b>Akiak VPSO Housing Unit</b>	<b>AVCP Housing Authority</b>	<b>\$432,500</b>
<b>Igiugik VPSO Housing Unit</b>	<b>Igiugik Village Council</b>	<b>\$384,000</b>
<b>Sleetmute VPSO Priority Housing Duplex</b>	<b>Sleetmute Traditional Council</b>	<b>\$504,310</b>
<b>Total:</b>		<b>\$6,274,000</b>

Since program inception in SFY 2004, the Teacher, Health Professional and Public Safety Grant Program has developed 304 units of housing totaling \$94 million in total project cost. Two-hundred seventy-three of these units are in service.

## **EFFORTS TO PROMOTE ACCESSIBLE HOUSING**

Throughout SFY2011, Alaska Housing Finance Corporation worked with the Alaska Mental Health Trust Authority and the Governor's Council on Disabilities and Special Education to identify and access resources, and develop strategies to help persons with disabilities secure adequate housing. Specific actions during the fiscal year included:

1. The Home Modification Brokerage program, administered by the Alaska Department of Health and Social Services continued to help Alaska Mental Health Trust beneficiaries make accessibility modifications to their homes. The program made available one million for non-profit organizations for housing modification projects.
2. The Senior Accessibility Modification program continued during FY 2011. AHFC provided \$1,093,007 to four (4) non-profit agencies to administer the Senior Housing Accessibility Modification Program (SCHDF monies) throughout the state. Eighteen (18) households were assisted; the funding will carry forward through SFY 2012.

3. In SFY 2011, SNHG and GOAL awards were made to projects that will provide 60 units (29 units outside of Anchorage) that are equipped for both sensory and mobility impairments. Of the four projects awarded funds under the GOAL program, two are projects designated for seniors (one located outside of Anchorage); HOME funds were awarded to two projects (both located outside of Anchorage), and the 2011 Low-Income Housing Tax Credit was awarded to a single demonstration project located in Anchorage.

## **ALASKA'S FAIR HOUSING PLAN**

AHFC conducted and participated in a series of statewide Fair Housing events regarding "Analysis of Impediments to Fair Housing Choice". The following impediments were identified, and incorporated into the SFY 2011 Annual Action Plan:

1. **Lack of understanding of what types of discrimination are covered by Fair Housing laws is an impediment to fair housing choice.**
  - The results of the 2010 Dittman Research Fair Housing Survey showed that majorities of respondents in all surveyed groups demonstrated an increased awareness of the types of discrimination that is illegal as compared to the previous Fair Housing Survey completed in August of 2003. Respondents continue to identify groups that are not covered under the Fair Housing Act and not all respondents could identify all protected groups. During 2011, AHFC continued to have specifically designated staff persons work with organizations serving members of protected classes, to assist them to better understand how to access available housing resources. The AHFC Public Housing Division has a designated Section 504/Fair Housing Coordinator to ensure full compliance with the law.
  - In SFY2011, AHFC conducted 174 HOME CHOICE classes in 28 communities throughout Alaska. HOME CHOICE staff issued 1558 Certificates of completion. HOME CHOICE is an eight-hour class covering all aspects of homeownership and the home-buying process. AHFC also conducted HOME CHOICE Home Study classes in 22 communities and 56 Certificates were issued. Additionally seven "More Than a Mortgage Loan" classes in four communities and 104 certificates were issued. These classes are well publicized throughout the state, and organizations representing members of protected classes are informed about the availability of these classes.
  - On July 12, 2010, AHFC sponsored a one-day Section 504/Fair Housing training in Anchorage with a presentation given by a representative of Spectrum Seminars. This training was available to AHFC grantees, HUD grantees, and opened up to members of the general public. Over 100 individuals attended this training.
2. **Low awareness of available fair housing enforcement mechanisms, and the lack of fair housing advocacy organizations, are identified as impediments.**
  - On July 12, 2010, AHFC sponsored a one-day Section 504/Fair Housing training in Anchorage with a presentation given by a representative of Spectrum Seminars. This training was available

to AHFC grantees, HUD grantees, and opened up to members of the general public. Over 100 individuals attended this training.

- AHFC has continued to provide information regarding fair housing and encourages potential fair housing program sponsors to apply for HUD Fair Housing Funding. Slots were made available to agencies that were mandated to attend Fair Housing Training through a Voluntary Compliance Agreement at the annual fair housing training.

**3. Disabled Alaskans have limited housing opportunities because of financial barriers and the lack of accessible and appropriate housing stock.**

- AHFC continued to explore mechanisms for project-basing Housing Choice Vouchers to assist severely disabled individuals through the Special Needs Housing Program. “Medicaid” waiver vouchers, “VASH” vouchers and “CMI” vouchers continue to be used by special needs populations. AHFC recycles the set-aside vouchers to qualified persons with disabilities as vouchers are returned through attrition.
- AHFC’s GOAL Program Rating and Award Criteria Plan established the criteria for the award of Low Income Housing Tax Credits, HOME Rental Development funds and Senior Citizens Housing Development Funds. This Plan will continue to award points for the number of units to be develop which are in excess of the minimum required by federal fair housing law, state or local law, or specific program requirements. In addition the GOAL Rating and Award Criteria Plan will award points for projects that primarily serve special needs populations.
- The AHFC Housing Choice Voucher Homeownership program continued to serve disabled individuals through the use of a housing choice voucher for homeownership. Using a housing voucher to offset a portion of the monthly mortgage payment allows individuals who experience permanent disability access to housing that is both affordable and meets the family’s need for special housing features for accessibility. Historically, over 80% of the individuals served through this program experienced permanent disabilities.

**4. Various administrative policies, procedures and practices are impediments to fair housing choice for members of protected classes.**

- AHFC previously developed a Limited English Proficiency Plan (LEP), including a contract for 24/7 telephonic translation services.
- AHFC is working with the State of Alaska’s Department of Law to revise a portion of the Landlord Tenant Act clarifying language related to a landlord’s approval of tenants under a sub-lease. A request for a legislative fix has been made to the Attorney General’s Office and was introduced in April of 2009 legislative session but did not pass in the 2010 session. A similar bill is anticipated to be reintroduced during the next legislative session.

**5. Members of protected classes are disproportionately represented in Alaska’s homeless population.**

- During SFY2011, AHFC conducted the Annual Point-in-Time homeless survey on January 26, 2011. The survey indicated that members of protected classes continue to be disproportionately represented in Alaska’s homeless population. Alaska Natives and the disabled are represented in far greater number in the state’s homeless population than their representation in the overall

population. The activities described throughout this Annual Performance Report describe mainstream housing and service resources for the homeless that help address this problem.

**6. The general lack of affordable and appropriate housing is an impediment to fair housing choice for members of protected classes.**

- Throughout the SFY 2011 Annual Performance Report, activities are described that expand the supply and availability of affordable housing in Alaska. AHFC continues to work to expand affordable housing opportunities throughout Alaska, both for homeownership and for rentals, leveraging and targeting a wide range of resources.

## **LIMITED ENGLISH PROFICIENCY**

As part of the process to develop the State of Alaska's five-year Consolidated Housing and Community Development Plan, an assessment was done of Limited English Proficiency (LEP) individuals and households in areas covered by this Plan. Persons who do not speak English as their primary language and who have a limited ability to read, write speak or understand English can be LEP. They are entitled to language assistance with respect to a particular type of service, benefit, or encounter. The Americans with Disabilities Act of July 26, 1990 in its Title II prohibits discrimination against qualified individuals with disability in all programs, activities, and services of public entities. It applies to all state and local governments, their departments and agencies.

A public entity must ensure that individuals with disabilities are not excluded from services, programs, and activities because buildings are inaccessible. AHFC's programs, when viewed in their entirety, must be readily accessible to and usable by individuals with disabilities. This standard, known as "program accessibility," applies to facilities of a public entity that existed on January 26, 1992. These populations include, but are not limited to:

- Persons who are seeking housing assistance from a public housing agency or assisted housing providers or are currently tenants in such housing;
- Persons seeking assistance from a state or local government for a rehabilitation grant for their home;
- Persons who are attempting to file a housing discrimination complaint with a local Fair Housing Assistance program grantee;
- Persons who are seeking supportive services to become first-time homebuyers;
- Persons seeking housing related social services, training, or any other assistance from HUD recipients; and
- Parents and family members of the above.

In keeping with this guidance, in SFY2010, AHFC established accessibility to language interpretation through a third party. Interpretation service into over 240 different languages is now possible. Appropriate links are now included on the AHFC website <http://www.ahfc.us> front page that will link all ADA users to the third party contractor providing the interpretation. This will assure that AHFC beneficiaries will have access to information and other services thus complying with ADA stipulations.

## **Part II: Other Housing and Community Development Actions**

### **MOVING TO WORK (MTW) PROGRAM DESIGNATION**

#### **Alaska Housing Finance Corporation, Public Housing Division (AHFC)**

Moving to Work (MTW) is a demonstration program authorized under the Omnibus Consolidated Rescissions and Appropriations Act of 1996. A MTW designation provides a public housing authority the opportunity to adopt exemptions from the 1937 Housing Act, subsequent amendments, and regulations. Free of ‘one size fits all’ regulatory constraints, MTW sites have implemented innovative housing and self-sufficiency strategies that address housing needs in locally-determined ways.

In June 2008, AHFC signed an MTW Agreement with HUD after public hearing and approval by the AHFC Board of Directors. AHFC is now one of 30 existing MTW sites across the country. For AHFC, a key component of the designation is the opportunity to combine operating assistance, capital funds, and tenant-based voucher funds into a single division-wide funding source. The pooling of funds allows for more flexible spending – one consequence being a greater opportunity to repair or expand AHFC’s affordable housing stock through mixed-finance options or other locally determined means.

Each year, AHFC must submit its MTW Plan to the Board of Directors for approval. It is then forwarded to HUD for their review and approval. Reports summarizing previous year’s activities are due to HUD by September 30. Annual plans and reports are available on AHFC’s web pages at:

- Plans – [www.ahfc.us/reference/plans.cfm](http://www.ahfc.us/reference/plans.cfm)
- Reports – [www.ahfc.us/reference/reports.cfm](http://www.ahfc.us/reference/reports.cfm)

#### **A. SFY 2011 Issues**

During SFY 2011, AHFC dealt with the following issues:

1. Nonpayment of Rent – AHFC received approval to reduce the notice time for a Public Housing resident that fails to pay rent within the grace period. Currently, AHFC allows tenants until the sixth calendar day of each month to pay rent with no penalty. Residents that failed to pay then received a notice requiring payment within 14 calendar days.

In order to operate its properties more like the private sector, AHFC proposed (for SFY2012) to extend its grace period to seven days. Residents failing to pay their rent by the grace period will then receive a notice allowing them seven additional days to pay their rent. The seven day period is in line with the Alaska Uniform Residential Landlord and Tenant Act.

2. Maximum Family Contribution – AHFC considered a revision to the maximum family contribution in the Housing Choice Voucher Program. Currently when a family leases a new unit, the family is restricted to paying no more than 40 percent of adjusted monthly income towards rent. This limits a family’s choices and can be a burden for families in limited rental markets. This sometimes prevents large families from leasing due to the scarcity of larger units.



AHFC is considering allowing families to contribute up to 50 percent of monthly adjusted income towards rent. Any unit selected by the family must still meet rent reasonableness criteria.

3. Automatic Termination of HAP Contract – Lastly, AHFC is considering a change to the length of time a family may retain a Housing Choice Voucher when no subsidy is paid on the family's behalf. Current regulations require AHFC to terminate a family's voucher assistance when no subsidy has been paid for 180 days. AHFC is evaluating a shorter period of 120 days.

AHFC research shows that average family time on subsidy has increased, waiting lists for assistance have increased, and turnover is not occurring as rapidly. AHFC is looking at this initiative as one way to increase turnover and availability of vouchers for waiting families.

## **B. Public Housing Financial Resources**

Rental subsidy for both public housing and the Housing Choice Voucher is derived entirely from Congressional appropriations made through the U.S. Department of Housing & Urban Development (HUD). Tenants pay for part of the operation costs of public housing through rent this is generally 30 percent of adjusted family income.

## **C. Public Housing Division Grant Program SFY 2011 Achievements**

Resident initiatives are administered by AHFC and funded through corporate receipts. Funding for these programs is based entirely on corporate dividends appropriated by the legislature.

*2011 AHFC Youth Summer Camp – Statewide.* AHFC awarded \$36,000 in scholarships on a statewide basis for youth to attend summer camps or other organized activities. To be eligible, a family must be residing in or participating in a public housing rental assistance program. The program was a great success with AHFC funding attendance for 107 children (72 families) in 11 communities.

*Scholarship Funds – Statewide.* This scholarship provides financial assistance of up to \$1,500 to both public housing tenants and Housing Choice Voucher participants and is available in any of the 16 communities AHFC serves. In FY2011, AHFC awarded ten scholarships statewide.

*After School Program – Fairbanks.* This program is designed for afterschool youth activities including literacy, homework, family nights, and informational field trips. Literacy Council of Alaska received \$66,000 per year for 3 years for this program. It is currently in its first year of operation. The program will be located at the Birch Park public housing development.

*After School Youth Development Program – Juneau.* This program is designed for afterschool youth development (primarily elementary-age children) and is currently in the award process. If awarded, the successful bidder will receive \$66,000 per year for 3 years. It focuses on support, empowerment, boundaries and expectations, constructive use of time, commitment to learning, positive values, social



competencies, and positive identity for children ages 6 to 11. The program will be located at both the Cedar Park and Geneva Wood public housing developments.

#### **D. Elderly/Disabled Service Coordination**

AHFC continues to support the service coordination programs in Anchorage, Fairbanks, and Juneau. The service targets elderly and disabled individuals who reside in either an AHFC public housing unit or a Section 8 New project-based development to enable the tenants to remain independent. Corporate grant match funding and the Capital Fund are the primary resources that support these programs. Resident contacts remain approximately the same as in the previous reporting period. The program serves 240 elder/disabled units in Anchorage, 156 units in Fairbanks, and 62 units in Juneau.

#### **E. Family Self-Sufficiency Program**

Family Self-Sufficiency (FSS) is a voluntary program designed to promote economic self-sufficiency. Families living in public housing or those receiving assistance from a Housing Choice Voucher are eligible to apply. In FSS, rent increases resulting from increases in earned income are diverted by AHFC into an interest bearing savings account. Upon successful completion of a FSS contract, the family is entitled to receive the escrow savings as lump sum payment.

Because of administrative constraints, AHFC has worked closely with the Alaska Division of Public Assistance to devise a program that targets families receiving both voucher assistance and Alaska Temporary Assistance Program benefits. Named YES for ‘Your Earnings Saved,’ the partnership has enabled AHFC to expand its program beyond the original Anchorage and Juneau sites and now includes all communities with Housing Choice Voucher assistance. These communities are Anchorage, Fairbanks, Homer, Juneau, Ketchikan, Kodiak, the Matanuska-Susitna Borough, Petersburg, Sitka, Soldotna/Kenai, Valdez, and Wrangell.

From January to July 2011, 156 families attended an FSS briefing orientation to learn detailed information about the program. Of those, 32 new families were enrolled in FSS or YES. In addition, seven families have completed the FSS program and received an escrow disbursement.

#### **F. Construction Activities**

The table below outlines the various construction activities that are occurring statewide. AHFC uses a combination of corporate dividends approved by the legislative appropriations, bond proceeds and federal receipts to support its statewide modernization efforts.

The use of a statewide approach to renovations is related to the Asset Management model adopted by AHFC in response to Congressional and HUD mandates. In addition to the approximately \$2.5 million that AHFC receives annually through the Public Housing Capital Fund Program, AHFC received an additional \$3.3 million from the American Recovery and Reinvestment Act (ARRA) to address a backlog of items identified by the annual Physical Needs Assessment (PNA). That sum was formula driven.

Fund Name	Total Funding	Expended	Balance	Proposed Work for FY12	Estimated Cost
<b>Corporate</b>					
FY 06 CO Detectors Statewide- Low Rent/Sec 8	330,000	259,971	70,029	Replace plug-in CO detectors w/hard wired	\$ 20,400.00
FY 06 Statewide Improvements	150,000	14,891	135,109	Anchorage siding replacement	\$ 135,109.00
FY 07 Anchorage Rental Reallocation & Dispersal	1,000,000	-	1,000,000	Pending E. 9th property redevelopment	\$ 1,000,000.00
FY 07 Facility Management Monitoring	250,000	151,651	98,349	Pending completion of software	
FY 07 Statewide Fire Protection System Investigation	510,000	477,735	32,265	Priority sprinkler repairs	\$ 26,820.00
FY 07 Statewide Improvements - Low Rent/Sec 8	2,000,000	1,545,011	454,989	Anchorage dwelling & site upgrades	\$ 454,989.00
FY 08 Facility Management Monitoring	250,000	47,377	202,623	Develop data base information	
FY 08 Statewide Improvements - Low Rent/Sec 8	2,000,000	533,850	1,466,150	Bethel heating fuel system, driveway repairs in Valdez, elevator repairs, Anch. siding/windows	\$ 700,000.00
FY 08 Loussac Manor Renovation & Replacement	2,336,000	1,017,844	1,318,156	Preliminary planning, design & development	\$ 436,215.00
FY 09 Loussac Manor Renovation & Replacement	2,336,000	-	2,336,000	Demolition and redevelopment	
FY 09 Bethel Community Room & Shop	2,000,000	163,722	1,836,278	Construction	\$ 1,500,000.00
FY 09 Statewide ADA Improvements	500,000	-	500,000	UFAS compliance	\$ 500,000.00
FY 09 Statewide Energy Improvements	500,000	-	500,000	Energy efficient HVAC/windows/insulation	
FY 09 Statewide Proj. Improvements - Low Rent/Sec 8	2,500,000	512,389	1,987,611	AMP allocation, Anchorage Foundations, Riverbend Drainage	\$ 892,451.00
FY 10 Statewide Fire Protection System Upgrades	1,380,000	456,965	923,035	Mtn. View, Sprinkler systems	\$ 844,508.00
FY 10 Building System Replacement	1,000,000	334,000	666,000	Cedar Park sidewalks, Mt. View siding/paint	\$ 461,000.00
FY 10 Loussac Manor Redevelopment	5,656,000	-	5,656,000	Redevelop Loussac Manor	\$ -
FY 10 Statewide Proj. Imp. - Low Rent/Sec 8	2,000,000		2,000,000	Relevel entry porch/Cordova, Geneva Woods-paint siding, sidewalks, retaining wall/fencing, Riverbend HRV, Cedar Park foundation, Chugach View central elevators	\$ 1,600,000.00
FY 10 Statewide Security System Upgrades	300,000	-	300,000	Golden Towers/Southall Manor/Golden Ages	\$ 246,000.00
ARRA Funds (DOE Weatherization)	<b>4,791,410</b>	1,427,903	3,363,507	Mechanical systems replacement statewide, air-sealing, insulation and ventilation upgrades	\$ 1,600,000.00
ARRA Funds (HUD) Public Housing	3,306,953	3,306,060	893	Anchorage parking, painting, siding	
FY11 San Roberto Revelopment Project	9,708,000		9,708,000	Redevelop San Roberto Street	\$ 1,900,000.00
FY11 Building System Replacement	1,500,000	473,288	1,026,712	Chugach View roof, Riverbend siding/paint	\$ 1,000,000.00
FY11 Statewide Fire Protection System Upgrades	2,200,000	14,902	2,185,098	Mt. View, Golden Towers sprinkler systems	\$ 1,200,000.00
FY11 Statewide Security System Upgrades	500,000		500,000	Fbks Golden Ages, Q Bldg security upgrades	\$ 100,000.00
FY 11 Statewide Proj. Imp. - Low Rent/Sec 8	2,000,000		2,000,000	Anchorage citywide sidewalks, kitchen/bath cabinets, fences, carpet, elevators; Nome arctic entry, shop power/hear; Sitka bldg. skirting; Ptarmigan Park siding	\$ 1,700,000.00
FY 11 Statewide ADA Improvements	500,000		500,000	UFAS compliance	\$ 100,000.00
<b>Total:</b>	<b>51,504,363</b>	<b>10,737,559</b>	<b>40,766,804</b>	<b>Total FY12 Proposed Construction:</b>	<b>\$ 16,417,492.00</b>

## **DEVELOPING ECONOMIC INITIATIVES FOR LOW INCOME FAMILIES**

On May 31, 2005, the Alaska Two Year State Plan for Title I of the Workforce Investment Act of 1998 was released. Improved service delivery to hard to serve populations was identified as a priority. Transportation and housing were identified as barriers to full inclusion for the disabled and welfare to work clients. A need was seen for transitional housing opportunities to support the expansion of employment opportunities. Building upon the accomplishments of the 2005 plan, Governor Palin's administration released a new Two-Year plan which, with a series of one-year extensions, spans July 1, 2007 through June 30, 2011. The Department of Labor and AWIB have requested a one-year extension of the WIA State Plan for SFY 2012, but have not yet received approval from the U.S. Department of Labor. Once approval is received, the new plan will be posted on the AWIB website. The entire plan can be accessed at <http://www.labor.gov/awib> .

In addition to maintaining the one-stop Job Centers and the role of the Workforce Investment Board, the plan identifies four priorities. They are:

- Alaska's youth will be job ready when they complete high school.
- Alaska will have a world class workforce ready to meet the needs of Alaska's high skill, high demand jobs.
- Alaska's post-secondary vocational and technical training facilities and professional education system will provide world class training, using state-of-the-art equipment and technology.
- Alaska's businesses will have the support and resources to compete in the global market.

## **EVALUTATING AND REDUCING LEAD BASED PAINT HAZARDS**

In September of 1999, HUD published new lead-based paint regulations that described procedures for all HOME-funded projects assisting housing built before 1978. These regulations impacted the Owner-Occupied Rehabilitation Program (ORP), and to a lesser degree, the HOME Opportunity Program (HOP) and the Rental Development Program. Throughout SFY 2011, AHFC worked with its HOME grantees to ensure compliance with the lead based paint regulations.

In 2008, the federal Administration for Children & Families issued a memorandum to their Head Start grantees, which stated that in order to meet the Head Start Program Performance Standards, Head Start programs must ensure that all enrolled children receive a lead toxicity screening as per the federal EPSDT requirements. If a child's medical provider will not perform a lead screening test, then the Head Start program is required to assist the family in obtaining the test from other community resources.

According to the Alaska Early and Periodic Screening Diagnostic and Treatment (EPSDT) regulations (effective February 2, 2010), updated by Alaska Medicaid program, screening regulations are to remain compliant with federal mandates. Regulation 7ACC110.205 states that EPSDT screening in Alaska must include lead screening appropriate for age and risk factors. Alaska Medicaid routinely reimburses all claims for lead screening.

Common renovation activities like sanding, cutting, and demolition can create hazardous lead dust and chips by disturbing lead-based paint, which can be harmful to adults and children. To protect against this risk, on April 22, 2008, EPA issued a rule requiring the use of lead-safe practices and other actions aimed at preventing lead poisoning. Under the rule, beginning April 22, 2010, contractors performing renovation, repair and painting projects that disturb lead-based paint in homes, child care facilities, and schools built before 1978 must be certified and must follow specific work practices to prevent lead contamination.

EPA requires that firms performing renovation, repair, and painting projects that disturb lead-based paint in pre-1978 homes, child care facilities and schools be certified by EPA and that they use certified renovators who are trained by EPA-approved training providers to follow lead-safe work practices. Individuals can become certified renovators by taking an eight-hour training course from an EPA-approved training provider. Learn about the EPA-Lead-safe Certification Program: <http://www.epa.gov/opptintr/lead/pubs/toolkits.htm>

No elevated blood lead levels were detected in Alaskan children that were attributed to lead-based paint during SFY 2011 (July 1, 2010 through June 30, 2011).

## **ADDRESSING HOUSING AND COMMUNITY DEVELOPMENT BARRIERS**

In addition to the actual task of building housing or matching available housing to clients in need, there are many other issues that surround the topics of housing and community development. For example, clients must meet criteria for particular housing programs in order to qualify. Structures must be properly maintained and inspected; long term sustainability for organizations providing housing and attendant services is an important issue. There are other areas that merit consideration as well. Discussion on these items follows.

### **A. Improving Organizational Capacity**

Throughout SFY 2011, Alaska Housing Finance Corporation (AHFC) assisted in the delivery of a variety of workshops and direct technical assistance activities that focused upon improving agency organizational capacity. During SFY 2011, AHFC delivered training or provided training scholarships for participants to attend trainings on the topics of: Section 504/Fair Housing, provided scholarships to the HUD-sponsored Rental Housing Underwriting and Finance, HUD-sponsored Uniform Relocation Act, HUD-sponsored All Grantees forum, and HUD-sponsored Rental Housing Compliance, and sponsored Neighborhood Stabilization Program training. Additional training opportunities were made available through training and travel scholarships to NeighborWorks®America Training Institutes and other viable training opportunities.

HUD eliminated the local CD-TA awards program in 2010 and issued a broader NOFA for training and technical assistance activities entitled, “OneCPD.” In response to the OneCPD NOFA, AHFC partnered with both a national and local partner to apply for a OneCPD award. The national provider was a

successful awardee and AHFC will work jointly with HUD and the national provider to continue to provide travel scholarships and training and technical assistance opportunities to Alaska's affordable housing providers. Partnering with a national entity on training and technical assistance activities will allow AHFC to continue to use existing corporate CD-TA match resources to augment the Federal funds to continue to provide training scholarships. AHFC continues to plan additional training and technical assistance opportunities for providers using the remaining 2007 and 2008 CD-TA local awards.

## **B. Infrastructure for Housing and Community Development**

Progress continued towards the goals of the Rural Sanitation 2005 Action Plan. This plan is regarded as a blueprint to ensure that all Alaskans have access to safe drinking water and a sanitary means of sewage disposal. Federal, state and local entities worked together to improve rural sanitation conditions, and to continue addressing issues of long term affordability and sustainability of these critical infrastructure systems. The State of Alaska's Village Safe Water (VSW) program provided technical and financial support to Alaska's smallest communities to design and construct water and wastewater systems. VSW worked directly with community officials. In some cases, funding was awarded by VSW through the Alaska Native Tribal Health Consortium, who in turn assisted communities in design and construct of sanitation projects.

Appropriations through EPA and USDA coupled with state funding have resulted in substantial improvements in the health, safety, and well-being of thousands of Alaskans. In 1994 only 37% of rural Alaska households had adequate sanitation facilities. Today, more than 77% of rural Alaskan homes have running water and flush toilets. With federal and state support, the percentage of rural households with basic sanitation services has increased by more than 30% over the past decade. Similarly, there has been a significant increase in the number of trained rural utility operators, clerks, and managers.

During SFY2011, AHFC's Supplemental Housing Development Grant was funded at \$7 million. This program provided funding to Regional Housing Authorities, which used the funds to supplement HUD Office of Native American Programs (ONAP) funded housing developments. The funds in AHFC's program are limited to 20% of HUD's Total Development Cost per project, and can be used only for the cost of on-site sewer and water facilities, road construction to project sites, electrical distribution facilities, and energy efficient design features in the homes.

In State Fiscal Year 2011, 9 Supplemental Housing Program grants were awarded to 8 regional housing authorities. The grants were awarded for new construction of 68 Single-Family homes, 255 Multifamily, and rehab work on 57 units (37 SF and 20 MF) to provide safer, decent, and affordable housing for residents in rural and urban Alaska.

Grant funds totaling \$5,739,512 were distributed for development in the following categories:

- \$3,222,088 Energy Efficiency Design features

- \$1,418,727 Onsite Water and Sewer systems

- \$658,934 Access Roads to development project sites

- \$439,763 Electrical Distribution to houses in project sites

These funds leveraged \$28,697,560 in federal funds (Native American Housing Assistance and Self-Determination Act and related HUD funds).

In 1998, the U.S. Congress passed the Denali Commission Act. This Act defined the following purposes for the Denali Commission:

1. To deliver the services of the Federal Government in the most cost effective manner possible by reducing administrative and overhead costs.
2. To provide job training and other economic development services in rural, particularly distressed communities.
3. To promote rural development, provide power generation and transmission facilities, modern communication systems, water and sewer systems, and other infrastructure needs.

The Denali Commission's FFY2010 (SFY2011) priorities emphasized funding for upgrades and improvements to rural electrical systems and water systems, bulk fuel storage upgrades and improvements and transportation funding. Sustainability and sound business planning are criteria for all Denali Commission projects.

## **ROLE OF LOCAL GOVERNMENTS**

Local Governments in Alaska can be categorized in two ways: those that are well established and have large enough population bases to enjoy their own revenue sources and viable market structures and those that are so small that they do not have revenue streams or viable markets. For the most part, the latter situation exists among the local governments subject to this “balance of state” CAPER.

Since the Alaska Municipal League Survey of Municipal Fiscal Conditions was published in 2004 (based on 76 responses from municipalities) it has been well documented that most of the rural municipalities do not have the financial resources necessary to provide minimum public services. Other concerns identified by this survey included:

- Accelerating state budget cuts are overburdening both urban and rural local tax structures.
- State cuts on top of serious local economic downturns in 75% of the municipalities surveyed have a severe dampening effect on local economic recovery.
- Rural problems hurt urban economics, with up to one third of Alaska’s urban economies being fueled by commerce with rural Alaska.

These conditions identified in SFY 2004, continued to be aggravated during SFY 2008 by increasing energy, insurance and other costs. Demographic and economic trends are negatively impacting many rural areas of Alaska. During SFY 2009 there was a significant downturn in the economy that resulted in full blown recession and necessitated the passage of the Economic Recovery and Stimulus Programs, many of which have been referenced at other points in this CAPER.

The pressure on local government finances continued in 2011 making many local governments hesitant to assume additional responsibilities or promote initiatives to expand affordable housing opportunities. The challenges faced by local governments to provide affordable housing and administer related programs remain and, in some instances, have been exacerbated. The communities with the greatest



housing and community development needs generally face financial pressure with their municipal budgets, and are stretched in terms of staffing capacity to administer HCD projects. This is a growing challenge for all involved in HCD programs. Governments recognize this and are making efforts to overcome the issues.

The role of local governments in providing for affordable housing is three fold. First, local governments can commit revenue, if they are among those large enough to have their own revenue streams. Most local governments that can commit funding are very willing to do it and are instrumental in the leveraging of all resources. Second, local governments can seek outside funding sources. This requires that they build capacity to the point where they have the staff with the expertise to remain abreast of the federal funding resources and who can responsibly administer federal funding once it is obtained. This can be a sizable job in itself. The third, and possibly the most realistic aspect of the role of local government, is to support the efforts of developers and not-for-profit organizations that are engaged in construction of affordable housing and the provision of related services. This can be accomplished by constant review of the policies and processes of the local government to ensure they do not create unnecessary barriers to development and service provision. Review and amendment of zoning and permitting and other municipal ordinances and regulations as well as creating appropriate preferences and incentives for rental development are examples of this type of support. Constant analysis of fee structures can also be of assistance. A good example of work done by a local government to assist with the development of affordable housing is the creation of the Juneau Affordable Land Trust.

## **TARGETING AND LEVERAGING RESOURCES**

The State of Alaska's Five Year Consolidated Housing and Community Development Plan (SFY 2011 through SFY 2015) identified unmet housing and community needs that far exceeded resources available to programs governed by the HCD Plan. An objective of the SFY2011 Annual Action Plan was to effectively target and leverage available HCD resources with all other available resources. Both private and public funding is necessary to meet these needs, and in many cases a combination of funding sources is necessary to make a project viable. During SFY 2011, Alaska Housing Finance Corporation (AHFC) continued to encourage the effective and coordinated use of available resources through the Greater Opportunities for Affordable Living (GOAL) program. The GOAL program incorporated funding from the HOME Rental Development Program, Low Income Housing Tax Credit Program, and the Senior Citizen Housing Development Program.

At the outset of this CAPER year (SFY2011), the HUD 202 (affordable housing for the elderly) program was underutilized in the state. AHFC continues to encourage potential HUD 202 recipients to apply to the program and provided HUD 202 training in conjunction with HUD. Since the HUD 202 program Alaska component has been rolled into the Region Ten competition, Alaskan applicants have been encouraged to develop competitive applications.

The funding provided to Tribally Designated Housing Entities (TDHEs) through the federally funded Indian Housing Block Grant (IHBG) Program provided significant affordable housing resources in Alaska. This funding has been used in conjunction with HOME funding, Low Income Housing Tax Credits, and AHFC mortgage financing to expand affordable housing opportunities. In 1996, the Native

American Housing Assistance and Self-Determination Act (NAHASDA) gave the TDHEs greater flexibility and allowed for leveraging of private investment dollars.

## **PROTECTING AND IMPROVING HOUSING**

During SFY 2011, the preservation and improvement of existing housing stock continued to be an important component of the state's overall housing strategy. A previous section of this report details the rehabilitation activities undertaken by Alaska Housing Finance Corporation's Public Housing Division to improve its housing stock. AHFC also used its HOME Investment Partnership Program and its Weatherization Program to provide assistance to low-income households in improving the energy efficiency and safety of their homes. In SFY 2011, the HOME funded Owner-Occupied Rehabilitation Program (ORP) continued to serve communities throughout the state with prior year funding allocations. Eight (8) homes were rehabilitated through ORP during SFY 2011. The Low Income Weatherization Program assisted individuals in weatherizing their homes resulting in lower operating expenses for heating fuel and electricity. (For additional Weatherization Program details, refer to page 45, above.)

In the spring of 2008, the Alaska State Legislature approved the allocation of \$200 million dollars for a Weatherization program and \$160 million for the Home Energy Rebate program. Of those Energy Rebate funds, approximately \$61 million were utilized around the state by June 30, 2010. The Weatherization Program is available to Alaskan owners and renters with moderate household income. Under the program, qualified participants receive weatherization upgrades at no cost. The Home Energy Rebate Program is open to all homeowners who do not participate in the Weatherization Program. Participating homeowners, regardless of income, may be eligible for up to a \$10,000 rebate for energy-efficiency improvements. The weatherization program has made use of \$110,637,154 by the end of March 2011. Additionally Alaskans with disabilities are eligible to participate in the Alaska Housing Finance Corporation's Appliance Rebate program, designed to save energy by encouraging appliance replacement with energy-efficient products. Eligible participants also are able to report appliance rebates as price reductions instead of income for tax purposes according to the Internal Revenue Service.

During the FFY2010 (SFY2011) the Alaska Legislature approved the allocation of additional \$100 million to continue the implementation of the Home Energy Rebate Program. This program utilized \$114.7 million by March 31, 2011.

## **INPUT FROM OTHER PLANNING EFFORTS**

During SFY2011, the Interagency Steering Committee for the Consolidated Plan continued to seek input from a variety of local, regional, and statewide resources in the area of housing and community development. Some of this input included:

- Alaska Continuum of Care for the Homeless---*Homeless Strategy for All Areas Outside of Anchorage.*
- Alaska council on the Homeless --- *10-Year Plan to End Long-Term Homelessness in Alaska*



- Juneau Homeless Coalition --- *A roof Over Every Head in Juneau*
- Alaska Mental Health Board/Advisory Board on Alcoholism & Drug Abuse --- *Making It Work*
- Alaska Department of Corrections --- *Discussions on housing options for persons with disabilities*
- Alaska Housing Finance Corporation--*Public Housing Agency Plan & Moving to Work Plan*
- Alaska Department of Commerce, Community and Economic Development Division of Community and Regional Affairs---*Community Profiles*
- Alaska Department of Health and Social Services---*Comprehensive Integrated Mental Health Plan.*
- Alaska Department of Environmental Conservation---*Village Safe Water Program*
- Alaska Department of Transportation---*Statewide Transportation Improvement Program*
- Denali Commission---*Annual Work Plan*
- Fairbanks North Star Borough Community Research Center---*Community Research Quarterly*
- General Accounting Office Report to Congress---Alaska Native Villages (2009)
- Kenai Peninsula Borough---*Quarterly Report of Key Economic Indicators*
- Matanuska-Susitna Borough---*The Matanuska-Susitna Borough Community Survey, 2008*
- Tribally Designated Housing Entities---*Indian Housing Plans and input from Alaska State HUD Field Office---Office of Native American Programs (ONAP).*
- Alaska Strategic Two-Year State Plan for Title 1 of the Workforce Investment Act of 1998 and the Wagner-Peyser Act, July 1, 2007 to June 30, 2010
- HUD All-Grantees Meeting, June 29, 2011

Most of these resources were also used in the development of the SFY 2011 Annual Action Plan covering the period July 1, 2010 through June 30, 2011.

### **PART III: ASSESSMENT OF PROGRESS IN MEETING FIVE-YEAR HCD PLAN PRIORITIES**

The State of Alaska has been successful in addressing the Five-Year HCD Plan priorities. This section is organized numerically according to the Seven Guiding Principles upon which the 2011-2015 HCD Plan is based. The bulleted items under each guiding principle demonstrate the success of the programs.

#### **1. The use of federal housing and community development funds should emphasize benefit to low income Alaskans and increase sustainable housing and neighborhood options for Alaskans.**

- In SFY 2011, AHFC committed (through grant agreements) rental development funds to two new HOME projects, which will produce 14 units of affordable housing, including five 504 accessible units. These projects were funded with a combined total of \$ 1,810,000 in Federal HOME dollars.
- One Homeownership Development Program (HDP) grant remained active during SFY 2011 and another HDP project was awarded funds. These grants, totaling \$466,999 in HOME funds, will produce seventeen (17) newly constructed, single family homes for low-income families. In addition, two (2) previously funded HDP grants closed in SFY2011; these two (2) grants constructed sixteen (16) single family homes for low-income families.

- During SFY 2011, the HOME Opportunity Program (HOP) offered down-payment and closing cost assistance to thirty-eight (38) families in the amount of \$938,691. Fifteen (15) of these households were at or below 50% of area median income with another fourteen (14) at 50-60% of the median income. Another HOME funded program, the Owner-Occupied Rehabilitation Program (ORP) rehabilitated eight (8) owner-occupied homes. All of these ORP assisted households were at or below 50% of area median income; no households were at 50-60% of median income (ORP data based on projects completed during the reporting period).
- In SFY2011, the HOME Tenant Based Rental Assistance program served ten (10) households throughout Alaska. Six (6) of the households served were at 0-30% of the median income; four (4) of the households served were at 30-50% of the median income.
- In SFY2011, AHFC made available 4415 Housing Choice Vouchers for rental assistance. These vouchers supplemented the 1260 AHFC owned public housing units. Benefited households include seniors or persons with disabilities. AHFC pays approximately \$31 million a year to private landlords for rental assistance for Alaskans who earn less than 50 percent of the median income. The ability to reissue vouchers permits some additional users to benefit from the program.
- In SFY2010, AHFC completed weatherization upgrades benefiting 1954 households.
- In SFY 2011, AHFC financed mortgages for 783 new first-time homebuyers for a total of \$148.9 million. In addition, AHFC provided Interest Rate Reductions to 132 low-income households totaling \$19.7 million among 14 other loan programs implemented by AHFC.

**2. Use of community development funds should emphasize the creation of economic opportunity through development of infrastructure**

- During SFY2010, more than \$75 million in federal Denali Commission funding was made available for critical infrastructure, community facilities, and economic development projects. Since its inception, the Commission has funded projects in over 200 Alaska communities. A strong emphasis was placed upon supporting projects and activities that conform to local community planning priorities, and are sustainable for the long term.
- Approximately \$3.128 million in CDBG funds were awarded during FY2011 to communities throughout Alaska to assist with a variety of projects all of which would enable or assist communities with meeting the needs of their citizens.

**3. Preserve and upgrade existing housing supply through weatherization and rehabilitation.**

- The Owner-Occupied Rehabilitation Program (ORP) rehabilitated eight (8) owner-occupied homes. All of these ORP assisted households were at or below 50% of area median income; no households were at 50-60% of median income (ORP data based on projects completed during the reporting period).
- By the end of March 2011, AHFC completed weatherization upgrades to 1954 households consisting of:
  - 53% Elderly Households-1028 Units
  - 16% Disabled Households-310 Units
  - 95% Native Households-1854 Units
  - 33% Households with Young Children-640 Units

- The \$460 million appropriated by the State Legislature in prior years to develop and administer a statewide Weatherization and a Home Energy Rebate program continued to be utilized through SFY2011 and will continue to be utilized until it is all spent.
- 4. Use of federal homeless funds should emphasize activities that maintain and strengthen the service delivery system for Alaska's homeless, consistent with local strategies.**
- With authority from the Alaska Legislature, AHFC provides matching grants for several federal competitive grant programs. During SFY2011 AHFC awarded approximately \$310,372 in corporate funds to match 7 grant requests totaling \$333,092 million from HUD under the FFY09 Continuum of Care, Supportive Housing Program (CoC-SHP). CoC-SHP grantees report that these AHFC funds are critical to the continuity of these projects due to the stagnation of HUD amounts for renewals.
  - Alaska' Emergency Shelter Grant (ESG) allocation (FFY 2009) of \$125,846 million was awarded to five agencies that will apply these resources consistent with community based strategies addressing homelessness.
  - Alaska Housing Finance Corporation continued to support the Alaska Coalition on Housing and Homelessness in its efforts to develop a framework and a plan to significantly and measurably reduce homelessness in Alaska. Approximately 30 representatives from 9 communities throughout Alaska consistently participated in the semimonthly Coalition meetings over the reporting period.
- 5. Maximize the use of federal housing and community development funds by supporting projects that include significant leveraging resources.**
- During SFY 2011, Alaska Housing Finance Corporation provided corporate funds to leverage federal funds for rental development in the amount of approximately \$7,585,157.
  - During SFY 2011 AHFC provided corporate funds to leverage federal dollars for services related to housing in the amount of \$250,462.
- 6. Expand the supply of affordable housing for Alaskans with special needs, incorporating universal design and appropriate supportive services.**
- All AHFC rental development projects must meet the minimum requirements of the Americans with Disabilities Act, the Fair Housing Amendments Act of 1988, Alaska Statute AS 18.80.240, and other local government ordinances as applicable.
  - In SFY 2011, AHFC's GOAL and SNHG rental development programs provided HOME funding for the development of accessible housing in senior or special needs housing projects; 113 units were developed among seven projects.
- 7. Housing and community development projects should incorporate climate specific design and engineering, energy efficient community design and construction techniques and innovative technologies.**
- In 2011 there were 75 Read Your Energy Rating classes offered with 449 participants. This was in addition to two energy presentations to the communities of Kake and Sitka.
  - The Research Information Center (RIC) was used 78 times for Web/AV/TC events. Usage has dramatically increased for webinars and other web-based meetings.

- The RIC online library was searched 968 times.
- AHFC offered energy and weatherization workshops, classes and seminars for homeowners, home buyers, renters, builders, energy raters, lenders, real estate professionals and others through our training partners such as:
  - Alaska Center for Appropriate Technology (ACAT)
  - Alaska Center for energy & Power (ACEP)
  - Alaska CEU (AKCEU)
  - Alaska Craftsman Home Program, Inc. (ACHP)
  - Alaska Vocational Technical Center (AVTECH)
  - Alaska Works Partnership, Inc. (AWP)
  - Building Performance Institute (BPI)
  - Cascadia Green building Council
  - Cold Climate Housing Research Center (CCHRC)
  - Opportunity Council
  - Renewable Energy Alaska Project (REAP)
  - University of Alaska Fairbanks Cooperative Extension
  - University of Alaska Southeast Building Science Program
  - Wisdom & Associates, Inc.
- AHFC grant agreements now include language encouraging the use of Energy Star rated appliances.
- GOAL applicants can achieve additional points in the competitive grant process by committing to exclusively use Energy Star Appliances in their projects and by committing to achieve a 5 Star Plus rating under the State of Alaska Building and Energy Efficiency Standards (BEES).

## **LOOKING TO THE FUTURE**

Progress was made during SFY2011 (July 1, 2010 through June 30, 2011) under each of the seven guiding principles of the State of Alaska's Consolidated Housing and Community Development Plan. This was the first Annual Action Plan and CAPER under the previous five-year HCD Plan (FY 2011--FY 2015).

As the process of developing the next Annual Action Plan begins in October of 2011, the Interagency Steering Committee will evaluate the findings of this CAPER for potential input. The Committee will continue to consider input from a wide range of organizations, agencies, units of local/state/federal government, and individuals. When relevant and appropriate, information from other planning processes will be utilized.

## **APPENDICES**

<b>APPENDIX A</b>	<b>CDBG ANNUAL PERFORMANCE REPORT</b>
<b>APPENDIX B</b>	<b>EMERGENCY SHELTER GRANT PERFORMANCE REPORT</b>
<b>APPENDIX B-1</b>	<b>HOME MATCH REPORT</b>
	<b>APPENDIX B-2 HOME AFFIRMATIVE MARKET ASSESSMENT</b>
<b>APPENDIX B-3</b>	<b>HOME ANNUAL PERFORMANCE REPORT</b>
<b>APPENDIX B-4</b>	<b>HOME – GRANTS SUMMARY</b>
<b>APPENDIX B-5</b>	<b>HOME – (HOP-HDP) OUTCOMES</b>
<b>APPENDIX B-6</b>	<b>HOME – OWNER OCCUPIED REHABILITATION</b>
<b>APPENDIX B-7</b>	<b>HOME – TBRA HOUSEHOLDS SERVED</b>
<b>APPENDIX B-8</b>	<b>HOME - MONITORING</b>
<b>APPENDIX B-9</b>	<b>OUTCOME PERFORMANCE MEASUREMENT TABLES</b>

## **APPENDIX A**

### **CDBG Annual Performance Report**

**SFY 2011 (July 1, 2010 – June 30, 2011)**

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State		Reporting Period FY	
ALASKA		2011	
Grant Number		Data as of	
B-01-DC-02-0001		June 30, 2011	
<b>1. Financial Status</b>  <u>A. Total Funds</u> (1) Allocation <span style="float: right;">\$3,328,000.00</span> (2) Program Income <span style="float: right;">\$0.00</span> <u>B. Amount Obligated to Recipients</u> <span style="float: right;">\$3,128,160.00</span> <u>C. Amount Drawn Down</u> <span style="float: right;">\$3,328,000.00</span> <u>D. Amount for State Administration</u> <span style="float: right;">\$166,560.00</span> <u>E. Amount for Technical Assistance</u> <span style="float: right;">\$33,280.00</span>		<b>2. National Objectives</b>  <u>A. Period Specified for Benefit</u> <span style="float: right;">FY</span> to <span style="float: right;">FY</span> <u>B. Amount Used to:</u> (1) Benefit to Low/Moderate Income Persons <span style="float: right;">\$3,128,160.00</span> (2) Prevent/Eliminate Slums/Blight <span style="float: right;">\$</span> (3) Meet Urgent Community Development Needs <span style="float: right;">\$</span> (4) Acquisition/Rehabilitation Noncountable <span style="float: right;">\$</span> (5) Local Administration <span style="float: right;">\$</span> <div style="text-align: right;"><u>TOTAL</u> <span style="float: right;">\$3,128,160.00</span></div>	

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State		Reporting Period FY	
ALASKA		2011	
Grant Number		Data as of	
B-02-DC-02-0001		June 30, 2011	
<b>1. Financial Status</b>  A. Total Funds _____ (1) Allocation <u>\$3,281,000.00</u> (2) Program Income <u>\$0.00</u> B. Amount Obligated to Recipients <u>\$3,131,060.52</u> C. Amount Drawn Down <u>\$3,278,870.52</u> D. Amount for State Administration <u>\$115,000.00</u> E. Amount for Technical Assistance <u>\$32,810.00</u>		<b>2. National Objectives</b>  A. Period Specified for Benefit _____ FY _____ to _____ FY _____ B. Amount Used to: _____ (1) Benefit to Low/Moderate Income Persons <u>\$3,131,060.52</u> (2) Prevent/Eliminate Slums/Blight <u>\$</u> (3) Meet Urgent Community Development Needs <u>\$</u> (4) Acquisition/Rehabilitation Noncountable <u>\$</u> (5) Local Administration <u>\$</u> TOTAL <u>\$3,131,060.52</u>	



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State		Reporting Period FY	
ALASKA		2011	
Grant Number		Data as of	
B-03-DC-02-0001		June 30, 2011	
<b>1. Financial Status</b>  A. Total Funds _____ (1) Allocation \$3,466,000.00 (2) Program Income \$0.00 B. Amount Obligated to Recipients \$3,331,340.00 C. Amount Drawn Down \$3,466,000.00 D. Amount for State Administration \$100,000.00 E. Amount for Technical Assistance \$34,660.00		<b>2. National Objectives</b>  A. Period Specified for Benefit FY _____ to FY _____ B. Amount Used to: _____ (1) Benefit to Low/Moderate Income Persons \$3,331,340.00 (2) Prevent/Eliminate Slums/Blight \$ _____ (3) Meet Urgent Community Development Needs \$ _____ (4) Acquisition/Rehabilitation Noncountable \$ _____ (5) Local Administration \$ _____ TOTAL \$3,331,340.00	

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<b>State</b>		<b>Reporting Period FY</b>	
ALASKA		2011	
<b>Grant Number</b>		<b>Data as of</b>	
B-04-DC-02-0001		June 30, 2011	
<b>1. Financial Status</b>  <u>A. Total Funds</u>  <u>(1) Allocation</u> <span style="float: right;">\$2,954,637.00</span>  <u>(2) Program Income</u> <span style="float: right;">\$0.00</span>  <u>B. Amount Obligated to Recipients</u> <span style="float: right;">\$2,825,090.00</span>  <u>C. Amount Drawn Down</u> <span style="float: right;">\$2,954,128.54</span>  <u>D. Amount for State Administration</u> <span style="float: right;">\$100,000.00</span>  <u>E. Amount for Technical Assistance</u> <span style="float: right;">\$29,547.00</span>		<b>2. National Objectives</b>  <u>A. Period Specified for Benefit</u> <span style="float: right;">FY</span> <span style="float: right;">to</span> <span style="float: right;">FY</span>  <u>B. Amount Used to:</u>  <u>(1) Benefit to Low/Moderate Income Persons</u> <span style="float: right;">\$2,825,090.00</span>  <u>(2) Prevent/Eliminate Slums/Blight</u> <span style="float: right;">\$</span>  <u>(3) Meet Urgent Community Development Needs</u> <span style="float: right;">\$</span>  <u>(4) Acquisition/Rehabilitation Noncountable</u> <span style="float: right;">\$</span>  <u>(5) Local Administration</u> <span style="float: right;">\$</span>  <u>TOTAL</u> <span style="float: right;">\$2,825,090.00</span>	

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<b>State</b>		<b>Reporting Period FY</b>	
ALASKA		2011	
<b>Grant Number</b>		<b>Data as of</b>	
B-05-DC-02-0001		June 30, 2011	
<b>1. Financial Status</b>  <u>A. Total Funds</u>  <u>(1) Allocation</u> <span style="float: right;">\$2,817,522.00</span>  <u>(2) Program Income</u> <span style="float: right;">\$0.00</span>  <u>B. Amount Obligated to Recipients</u> <span style="float: right;">\$2,604,910.99</span>  <u>C. Amount Drawn Down</u> <span style="float: right;">\$2,789,436.65</span>  <u>D. Amount for State Administration</u> <span style="float: right;">\$156,350.44</span>  <u>E. Amount for Technical Assistance</u> <span style="float: right;">\$28,175.00</span>		<b>2. National Objectives</b>  <u>A. Period Specified for Benefit</u> <span style="float: right;">FY</span> <u>to</u> <span style="float: right;">FY</span>  <u>B. Amount Used to:</u>  <u>(1) Benefit to Low/Moderate Income Persons</u> <span style="float: right;">\$2,604,910.99</span>  <u>(2) Prevent/Eliminate Slums/Blight</u> <span style="float: right;">\$</span>  <u>(3) Meet Urgent Community Development Needs</u> <span style="float: right;">\$</span>  <u>(4) Acquisition/Rehabilitation Noncountable</u> <span style="float: right;">\$</span>  <u>(5) Local Administration</u> <span style="float: right;">\$</span>  <u>TOTAL</u> <span style="float: right;">\$2,604,910.99</span>	

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State		Reporting Period FY	
ALASKA		2011	
Grant Number		Data as of	
B-06-DC-02-0001		June 30, 2011	
<b>1. Financial Status</b>  A. Total Funds _____ (1) Allocation <u>\$2,548,827.00</u> (2) Program Income <u>\$0.00</u> B. Amount Obligated to Recipients <u>\$2,372,342.00</u> C. Amount Drawn Down <u>\$2,548,827.00</u> D. Amount for State Administration <u>\$150,997.00</u> E. Amount for Technical Assistance <u>\$25,488.00</u>		<b>2. National Objectives</b>  A. Period Specified for Benefit _____ FY _____ to _____ FY _____ B. Amount Used to: _____ (1) Benefit to Low/Moderate Income Persons <u>\$2,372,342.00</u> (2) Prevent/Eliminate Slums/Blight <u>\$</u> (3) Meet Urgent Community Development Needs <u>\$</u> (4) Acquisition/Rehabilitation Noncountable <u>\$</u> (5) Local Administration <u>\$</u> TOTAL <u>\$2,372,342.00</u>	

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State		Reporting Period FY	
ALASKA		2011	
Grant Number		Data as of	
B-07-DC-02-0001		June 30, 2011	
<b>1. Financial Status</b>  A. Total Funds _____ (1) Allocation \$2,566,247.00 (2) Program Income \$0.00 B. Amount Obligated to Recipients \$2,389,260.00 C. Amount Drawn Down \$2,469,414.61 D. Amount for State Administration \$151,325.00 E. Amount for Technical Assistance \$25,662.00		<b>2. National Objectives</b>  A. Period Specified for Benefit FY _____ to FY _____ B. Amount Used to: _____ (1) Benefit to Low/Moderate Income Persons \$2,389,260.00 (2) Prevent/Eliminate Slums/Blight \$ _____ (3) Meet Urgent Community Development Needs \$ _____ (4) Acquisition/Rehabilitation Noncountable \$ _____ (5) Local Administration \$ _____ TOTAL \$2,389,260.00	

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State		Reporting Period FY	
ALASKA		2011	
Grant Number		Data as of	
B-08-DC-02-0001		June 30, 2011	
<b>1. Financial Status</b>  A. Total Funds _____ (1) Allocation <u>\$2,506,211.00</u> (2) Program Income <u>\$0.00</u> B. Amount Obligated to Recipients <u>\$2,331,025.00</u> C. Amount Drawn Down <u>\$992,146.00</u> D. Amount for State Administration <u>\$150,124.00</u> E. Amount for Technical Assistance <u>\$25,062.00</u>		<b>2. National Objectives</b>  A. Period Specified for Benefit _____ FY _____ to _____ FY _____ B. Amount Used to: _____ (1) Benefit to Low/Moderate Income Persons <u>\$2,331,025.00</u> (2) Prevent/Eliminate Slums/Blight <u>\$</u> (3) Meet Urgent Community Development Needs <u>\$</u> (4) Acquisition/Rehabilitation Noncountable <u>\$</u> (5) Local Administration <u>\$</u> TOTAL <u>\$2,331,025.00</u>	

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<b>State</b>  <div style="text-align: center;">ALASKA</div>	<b>Reporting Period FY</b>  <div style="text-align: center;">2011</div>
<b>Grant Number</b>  <div style="text-align: center;">B-09-DC-02-0001</div>	<b>Data as of</b>  <div style="text-align: center;">June 30, 2011</div>

<b>1. Financial Status</b>  <div style="margin-left: 20px;"> <u>A. Total Funds</u>  <div style="margin-left: 40px;"> <u>(1) Allocation</u> <span style="float: right;">\$2,867,778.00</span> </div> <div style="margin-left: 40px;"> <u>(2) Program Income</u> <span style="float: right;">\$0.00</span> </div> </div> <div style="margin-left: 20px;"> <u>B. Amount Obligated to Recipients</u> <span style="float: right;">\$491,643.90</span> </div> <div style="margin-left: 20px;"> <u>C. Amount Drawn Down</u> <span style="float: right;">\$150,794.92</span> </div> <div style="margin-left: 20px;"> <u>D. Amount for State Administration</u> <span style="float: right;">\$157,356.00</span> </div> <div style="margin-left: 20px;"> <u>E. Amount for Technical Assistance</u> <span style="float: right;">\$28,677.00</span> </div>	<b>2. National Objectives</b>  <div style="margin-left: 20px;"> <u>A. Period Specified for Benefit</u> <span style="float: right;">FY</span> <span style="margin: 0 10px;">to</span> <span style="float: right;">FY</span> </div> <div style="margin-left: 20px;"> <u>B. Amount Used to:</u> </div> <div style="margin-left: 40px;"> <u>(1) Benefit to Low/Moderate Income Persons</u> <span style="float: right;">\$491,643.90</span> </div> <div style="margin-left: 40px;"> <u>(2) Prevent/Eliminate Slums/Blight</u> <span style="float: right;">\$</span> </div> <div style="margin-left: 40px;"> <u>(3) Meet Urgent Community Development Needs</u> <span style="float: right;">\$</span> </div> <div style="margin-left: 40px;"> <u>(4) Acquisition/Rehabilitation Noncountable</u> <span style="float: right;">\$</span> </div> <div style="margin-left: 40px;"> <u>(5) Local Administration</u> <span style="float: right;">\$</span> </div> <div style="margin-left: 40px; margin-top: 10px;"> <u>TOTAL</u> <span style="float: right;">\$491,643.90</span> </div>
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<b>State</b>  <div style="text-align: center;">ALASKA</div>	<b>Reporting Period FY</b>  <div style="text-align: center;">2011</div>
<b>Grant Number</b>  <div style="text-align: center;">B-09-DY-02-0001</div>	<b>Data as of</b>  <div style="text-align: center;">June 30, 2011</div>

<b>1. Financial Status</b>  <div style="margin-left: 20px;"> <u>A. Total Funds</u>  <div style="margin-left: 40px;"> <u>(1) Allocation</u> <span style="float: right;">\$747,372.00</span> </div> <div style="margin-left: 40px;"> <u>(2) Program Income</u> <span style="float: right;">\$0.00</span> </div> </div> <div style="margin-left: 20px;"> <u>B. Amount Obligated to Recipients</u> <span style="float: right;">\$0.00</span> </div> <div style="margin-left: 20px;"> <u>C. Amount Drawn Down</u> <span style="float: right;">\$747,372.00</span> </div> <div style="margin-left: 20px;"> <u>D. Amount for State Administration</u> <span style="float: right;">\$0.00</span> </div> <div style="margin-left: 20px;"> <u>E. Amount for Technical Assistance</u> <span style="float: right;">\$0.00</span> </div>	<b>2. National Objectives</b>  <div style="margin-left: 20px;"> <u>A. Period Specified for Benefit</u> <span style="float: right;">FY</span> <span style="margin: 0 10px;">to</span> <span style="float: right;">FY</span> </div> <div style="margin-left: 20px;"> <u>B. Amount Used to:</u> </div> <div style="margin-left: 40px;"> <u>(1) Benefit to Low/Moderate Income Persons</u> <span style="float: right;">\$747,372.00</span> </div> <div style="margin-left: 40px;"> <u>(2) Prevent/Eliminate Slums/Blight</u> <span style="float: right;">\$</span> </div> <div style="margin-left: 40px;"> <u>(3) Meet Urgent Community Development Needs</u> <span style="float: right;">\$</span> </div> <div style="margin-left: 40px;"> <u>(4) Acquisition/Rehabilitation Noncountable</u> <span style="float: right;">\$</span> </div> <div style="margin-left: 40px;"> <u>(5) Local Administration</u> <span style="float: right;">\$</span> </div> <div style="margin-left: 40px; margin-top: 10px;"> <u>TOTAL</u> <span style="float: right;">\$747,372.00</span> </div>
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<b>State</b>  <div style="text-align: center;">ALASKA</div>	<b>Reporting Period FY</b>  <div style="text-align: center;">2011</div>
<b>Grant Number</b>  <div style="text-align: center;">B-10-DC-02-0001</div>	<b>Data as of</b>  <div style="text-align: center;">June 30, 2011</div>

<b>1. Financial Status</b>  <div style="margin-left: 20px;"> <u>A. Total Funds</u>   <div style="display: flex; justify-content: space-between;"> <span>(1) Allocation</span> <span>\$3,128,431.00</span> </div> <div style="display: flex; justify-content: space-between;"> <span>(2) Program Income</span> <span>\$0.00</span> </div> </div> <div style="margin-left: 20px;"> <u>B. Amount Obligated to Recipients</u> <span style="float: right;">\$250,000.00</span>   <u>C. Amount Drawn Down</u> <span style="float: right;">\$31,284.00</span>   <u>D. Amount for State Administration</u> <span style="float: right;">\$162,569.00</span>   <u>E. Amount for Technical Assistance</u> <span style="float: right;">\$31,284.00</span> </div>	<b>2. National Objectives</b>  <div style="margin-left: 20px;"> <u>A. Period Specified for Benefit</u> <span style="float: right;">FY</span> <span style="float: right;">to</span> <span style="float: right;">FY</span>   <u>B. Amount Used to:</u>   <div style="display: flex; justify-content: space-between;"> <span>(1) Benefit to Low/Moderate Income Persons</span> <span>\$250,000.00</span> </div> <div style="display: flex; justify-content: space-between;"> <span>(2) Prevent/Eliminate Slums/Blight</span> <span>\$</span> </div> <div style="display: flex; justify-content: space-between;"> <span>(3) Meet Urgent Community Development Needs</span> <span>\$</span> </div> <div style="display: flex; justify-content: space-between;"> <span>(4) Acquisition/Rehabilitation Noncountable</span> <span>\$</span> </div> <div style="display: flex; justify-content: space-between;"> <span>(5) Local Administration</span> <span>\$</span> </div> <div style="text-align: right; margin-top: 10px;"> <u>TOTAL</u> <span style="float: right;">\$250,000.00</span> </div> </div>
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3	3a.	4	#	4a.	5	6	7	PRPOPOSED	
Grant #	LOCALITY				AMOUNT	PURPOSE	N. OBJ	ACCOMPLISHMENTS	ACTUAL
821670	Aleutians EB Nelson L. 99/00/02	C	Center/Facility	3		200,218.00	PF	L/M	87 p/s; 66 l/m
831589	Bethel 01/02/04	C	Other Public Facility	3		0.00	PF	L/M	Data reported in 04
850568	Brevig Mission 01/02/03	C	Planning	12		13,357.00	PF	L/M	Data reported in 03
831573	Fairbanks 00/01/02	C	Other Public Facility	6		0.00	PF	L/M	Data reported in 01
831591	False Pass 99/01/02	C	Other Public Facility	6		0.00	PF	L/M	Data reported in 01
840853	Hoonah	C	Other Public Facility	4a		172,000.00	PF	L/M	572 p/s; 297 l/m
850550	Huslia 00/01/02	C	Other Public Facility	6		116,900.00	PF	L/M	293 p/s; 228 l/m
841212	Juneau 02/03	C	Center/Facility	3		500,000.00	PF	L/M	50 p/s; 50 l/m
801239	Klawock 99/02	T	Public Facility	4a		0.00	PF	L/M	None
871358	Kobuk 02/04/05/06/07	C	Other Public Facility	6	M	0.00	PF	L/M	Data reported in 07
850552	Larsen Bay 01/02/04	C	Other Public Facility	6		55,464.00	PF	L/M	Data reported in 04
831592	Matanuska-Susitna Borough 01/02	C	Public Services	7		0.00	PS	L/M	868 p/s; 644 l/m
841211	Matanuska-Susitna Borough 02/03	C	Center/Facility	3		240,095.00	PF	L/M	Data reported in 03
850338	Matanuska-Susitna Borough 02/03	C	Residential Rehabilitation	9a		500,000.00	H	L/M	20 h/s; 20 l/m
831593	Newhalen	T	Water/Sewer	4a		0.00	PF	L/M	None
841208	Noorvik 02/03	C	Other Public Facility	6		493,027.00	PF	L/M	634 p/s; 374 l/m
831594	Nulato 01/02	C	Other Public Facility	6		0.00	PF	L/M	Data reported in 01
831595	Palmer 99/01/02	C	Center/Facility	3		0.00	PF	L/M	Data reported in 01
831596	Quinagak 01/02	C	Other Public Facility	6		0.00	PF	L/M	Data reported in 01
831597	Sand Point	C	Other Public Facility	6		500,000.00	PF	L/M	952 p/s; 699 l/m
831598	Toksook Bay 00/01/02	C	Other Public Facility	6		0.00	PF	L/M	Data reported in 01
841209	Upper Kalskag 02/03/04	C	Other Public Facility	6		340,000.00	PF	L/M	Data reported in 04
831599	Valdez 00/02	C	Removal of Arch Barriers	11		0.00	PF	L/M	Data reported in 00

3	3a.	4	#	4a.	5	6	7	PRPOPOSED	
Grant #	LOCALITY				AMOUNT	PURPOSE	N. OBJ	ACCOMPLISHMENTS	ACTUAL
841204	Anderson 01/03	C	Assistance to Non-Profit	14a	0.00	ED	L/M	Data reported in 01	Data reported in 01
850568	Brevig Mission 01/02/03	C	Planning	12	17,269.00	PF	L/M	276 p/s; 249 l/m	276 p/s; 249 l/m
860721	Clarks Point	C	Other Public Facility	6	128,000.00	PF	L/M	75 p/s; 50 l/m	75 p/s; 50 l/m
841205	Eek 99/03	C	Land Acquisition	1	65,337.00	PF	L/M	254 p/s; 187 l/m	254 p/s; 187 l/m
850551	False Pass	C	Other Public Facility	6	500,000.00	PF	L/M	68 p/s; 47 l/m	68 p/s; 47 l/m
841206	Gambell	T	Other Public Facility	6	0.00	PF	L/M	None	None
841207	Juneau 01/03	C	Center/Facility	3	0.00	PF	L/M	Data reported in 01	Data reported in 01
841212	Juneau 02/03	C	Center/Facility	3	0.00	PF	L/M	Data reported in 02	Data reported in 02
871357	Juneau 03/04/05/06	C	Center/Facility	3	34,005.00	PF	L/M	Data reported in 05	Data reported in 05
850570	Klawock 03/04	C	Planning	12	50,000.00	PL	L/M	Data reported in 04	Data reported in 04
871299	Kotlik 00/03/05	C	Other Public Facility	6	170,596.00	PF	L/m	Data reported in 05	Data reported in 05
850571	Kwethluk 99/03/04/05	C	Other Public Facility	6	348,277.00	PF	L/M	713 p/s; 607 l/m	713 p/s; 607 l/m
860719	Lake & Peninsula Boro (Chignig L)	C	Other Public Facility	6	290,445.00	PF	L/M	145 p/s; 86 l/m	145 p/s; 86 l/m
860720	Lake & Peninsula Boro (Kokhanok)	C	Other Public Facility	6	288,000.00	PF	L/M	174 p/s; 141 l/m	174 p/s; 141 l/m
860722	Manokotak	C	Other Public Facility	6	299,000.00	PF	L/M	399 p/s; 280 l/m	399 p/s; 280 l/m
841211	Matanuska-Susitna Borough 02/03	C	Center/Facility	3	36,699.00	PF	L/M	12 p/s; 12 l/m	12 p/s; 12 l/m
850338	Matanuska-Susitna Borough 02/03	C	Residential Rehabilitation	9a	0.00	H	L/M	Data reported in 02	Data reported in 02
850553	Nenana 03/04	C	Center/Facility	3	56,702.00	P/F	L/M	Data reported in 04	Data reported in 04
841208	Noorvik 02/03	C	Other Public Facility	6	0.00	PF	L/M	Data reported in 02	Data reported in 02
850572	Ouzinkie 03/04	C	Public Facilities	4a	500,000.00	PF	L/M	186 p/s; 171 l/m	186 p/s; 171 l/m
871361	Tanana 03/04/06	C	Other Public Facility	6	67,010.00	P/F	L/M	Data reported in 04	Data reported in 04
860723	Teller	C	Other Public Facility	6	300,000.00	PF	L/M	230 p/s; 179 l/m	230 p/s; 179 l/m
841209	Upper Kalskag 02/03/04	C	Other Public Facility	6	0.00	PF	L/M	Data reported in 04	Data reported in 04
841210	Valdez 00/03	C	Removal of Arch Barrier	11	0.00	PF	L/M	Data reported in 00	Data reported in 00
850575	Yakutat 03/04	C	Other Public Facility	6	180,000.00	PF	L/M	733 p/s; 452 l/m	733 p/s; 452 l/m

3		3a.	4	#	4a.	5	6	7	8. ACCOMPLISHMENTS	
Grant #	LOCALITY		ACTIVITY			AMOUNT	PURPOSE	N. OBJ	PROPOSED	ACTUAL
850566	Aleknagik	C	Other Public Facility	6		415,509.00	P/F	L/M	221 p/s; 164 l/m	221 p/s; 164 l/m
850567	Atka	T	Other Public Facility	6		0.00	P/F	L/M	None	None
831589	Bethel 01/02/04	C	Other Public Facility	3		219,228.00	P/F	L/M	213 p/s; 213 l/m	213 p/s; 213 l/m
871356	Dillingham 04/06	C	Planning	12	M	16,174.00	PL	L/M	Data reported in 06	Data reported in 06
850569	Diomedes	C	Other Public Facility	6		330,689.00	P/F	L/M	146 p/s; 104 l/m	146 p/s; 104 l/m
880922	Hooper Bay 04/06/07/08	C	Other Public Facilities	6		57,384.00	PF	L/M	Data reported in 06	Data reported in 06
871357	Juneau 03/04/05/06	C	Center/Facility	3		311,785.00	P/F	L/M	Data reported in 05	Data reported in 05
850570	Klawock 03/04	C	Planning	12		15,000.00	PL	L/M	722 p/s; 423 l/m	722 p/s; 423 l/m
871358	Kobuk 02/04/05/06/07	C	Other Public Facility	6		41,789.00	PF	L/M	Data reported in 07	Data reported in 07
850571	Kwethluk 99/03/04/05	C	Center/Facility	3		22,173.00	P/F	L/M	Data reported in 03	Data reported in 03
850552	Larsen Bay 01/02/04	C	Other Public Facility	6	M	77,528.00	P/F	L/M	147 p/s; 94 l/m	147 p/s; 94 l/m
861005	Matanuska-Susitna Borough 04/05	C	Residential Rehabilitation	9a		0.00	H	L/M	Data reported in 05	Data reported in 05
891305	McGrath 04/08/09		Other Public Facilities	6	M	508.00	PF	L/M	Data reported in 08	Data reported in 08
850553	Nenana 03/04	C	Center/Facility	3		443,298.00	P/F	L/M	402 p/s; 236 l/m	402 p/s; 236 l/m
861047	Nome 04/05	C	Other Public Facility	6		0.00	P/F	L/M	Data reported in 05	Data reported in 05
871359	Nunam Iqua 04/06	C	Other Public Facility	6		344,853.00	P/F	L/M	164 p/s; 139 l/m	164 p/s; 139 l/m
850572	Ouzinkie 03/04	C	Other Public Facility	6		0.00	P/F	L/M	Data reported in 03	Data reported in 03
850573	Selawik	C	Other Public Facility	6		233,750.00	P/F	L/M	596 p/s; 459 l/m	596 p/s; 459 l/m
850574	Tanana	T	Planning	12		0.00	PL	L/M	None	None
871361	Tanana 03/04/06	C	Other Public Facility	6		214,375.00	P/F	L/M	308 p/s; 210 l/m	308 p/s; 210 l/m
841209	Upper Kalskag 02/03/04	C	Other Public Facility	6		81,047.00	PF	L/M	230 p/s; 187 l/m	230 p/s; 187 l/m
850575	Yakutat 03/04	C	Other Public Facility	6		0.00	P/F	L/M	Data reported in 03	Data reported in 03

Grant #	3	3a.	4	#	4a.	5	6	7	8. ACCOMPLISHMENTS	
	LOCALITY		ACTIVITY			AMOUNT	PURPOSE	N. OBJ	PROPOSED	ACTUAL
861045	Akutan	C	Other Public Facility	6	M	72,313.00	PF	L/M	589 p/s; 374 l/m	589 p/s; 374 l/m
861044	Aleutians East Borough	C	Other Public Facility	6		500,000.00	PF	L/M	2697 p/s; 1642 l/m	2697 p/s; 1642 l/m
Unassigned	Hughes	T	Other Public Facility	6		0.00	PF	L/M	None	None
871357	Juneau 03/04/05/06	C	Center/Facility	3		14,288.00	PF	L/M	363 p/s; 363 l/m	363 p/s; 363 l/m
871300	Kenai Peninsula Borough	C	Other Public Facility	6		421,000.00	PF	L/M	345 p/s; 245 l/m	345 p/s; 245 l/m
871358	Kobuk 02/04/05/06/07	C	Other Public Facility	6		424,871.00	PF	L/M	Data reported in 07	Data reported in 07
871299	Kotlik 00/03/05	C	Other Public Facility	6		0.00	PF	L/M	591 p/s; 446 l/m	591 p/s; 446 l/m
850571	Kwethluk 99/03/04/05	C	Center/Facility	3		38,349.00	P/F	L/M	Data reported in 03	Data reported in 03
861005	Matanuska-Susitna Borough 04/05	C	Residential Rehabilitation	9a		500,000.00	H	L/M	18 h/s; 18 l/m	18 h/s; 18 l/m
861047	Nome 04/05	C	Other Public Facility	6		300,000.00	PF	L/M	3500 p/s; 1831 l/m	3500 p/s; 1831 l/m
871301	Sitka City & Borough 05/06	C	Other Public Facility	6		334,091.00	PF	L/M	221 p/s; 197 l/m	221 p/s; 197 l/m

Grant #	3	3a.	4	#	4a.	5	6	7	8. ACCOMPLISHMENTS	
	LOCALITY		ACTIVITY			AMOUNT	PURPOSE	N. OBJ	PROPOSED	ACTUAL
881444	Bethel 06/07	C	Other Pulbic Facilities	6		12,384.00	PF	L/M	Data reported in 07	Data reported in 07
871356	Dillingham 04/06	C	Planning	12		0.00	PL	L/M	175 p/s; 175 l/m	175 p/s; 175 l/m
881445	Haines Borough 06/07	C	Other Pulbic Facilities	3		850,000.00	PF	L/M	Data reported in 07	Data reported in 07
880922	Hooper Bay 04/06/07/08	C	Other Pulbic Facilities	6		200,566.00	PF	L/M	1014 p/s; 805 l/m	1014 p/s; 805 l/m
881446	Hughes 06/07	C	Other Pulbic Facilities	6		303,776.00	PF	L/M	Data reported in 07	Data reported in 07
871357	Juneau 03/04/05/06	C	Center/Facility	3		0.00	PF	L/M	Data reported in 05	Data reported in 05
871358	Kobuk 02/04/05/06/07	C	Other Pulbic Facilities	6		0.00	PF	L/M	Data reported in 07	Data reported in 07
881451	Matanuska-Susitna Borough	C	Residential Rehabilitation	9a		500,000.00	H	L/M	19 h/s; 19 l/m	19 h/s; 19 l/m
871359	Nunam Iqua 04/06	C	Other Pulbic Facilities	6		0.00	PF	L/M	Data reported in 04	Data reported in 04
881449	Pelican 06/07	C	Other Pulbic Facilities	6		252,091.00	PF	L/M	Data reported in 07	Data reported in 07
871301	Sitka City & Borough 05/06	C	Other Pulbic Facilities	6		165,909.00	PF	L/M	Data reported in 05	Data reported in 05
Unassigned	Soldotna	T	Other Pulbic Facilities	6		0.00	PF	L/M	None	None
871361	Tanana 03/04/06	C	Other Pulbic Facilities	6		0.00	PF	L/M	Data reported in 04	Data reported in 04
871362	White Mountain	C	Planning	12		87,616.00	PL	L/M	203 p/s; 161 l/m	203 p/s; 161 l/m

Grant #	3	3a.	4	#	4a.	5	6	7	8. ACCOMPLISHMENTS	
	LOCALITY		ACTIVITY			AMOUNT	PURPOSE	N. OBJ	PROPOSED	ACTUAL
881444	Bethel 06/07	C	Other Pulbic Facilities	6		817,616.00	PF	L/M	465 p/s; 465 l/m	465 p/s; 465 l/m
881445	Haines Borough 06/07	C	Other Pulbic Facilities	3		0.00	PF	L/M	9 p/s; 9 l/m	9 p/s; 9 l/m
880922	Hooper Bay 04/06/07/08	C	Other Pulbic Facilities	6		50,000.00	PF	L/M	Data reported in 06	Data reported in 06
881446	Hughes 06/07	C	Other Pulbic Facilities	6		0.00	PF	L/M	78 p/s; 57 l/m	78 p/s; 57 l/m
871358	Kobuk 02/04/05/06/07	C	Other Pulbic Facilities	6		110,133.00	PF	L/M	109 p/s; 84 l/m	109 p/s; 84 l/m
881447	Matanuska-Susitna Borough 07/08	C	Other Pulbic Facilities	6		674,463.00	PF	L/M	88 p/s; 50 l/m	88 p/s; 50 l/m
891302	Mekoryuk		Planning	12		50,000.00	PL	L/M	210 p/s; 154 l/m	Contract in Progress
800742	Nulato 07/08/09		Planning	12		8,805.00	PL	L/M	Data reported in 09	Data reported in 09
Unassigned	Nunapitchuk	T	Other Pulbic Facilities	6	M	0.00	PF	L/M	none	none
881449	Pelican 06/07	C	Other Pulbic Facilities	6		94,836.00	PF	L/M	163 p/s; 108 l/m	163 p/s; 108 l/m
891301	Quinhagak		Other Pulbic Facilities	6		100,000.00	PF	L/M	555 p/s; 455 l/m	Contract in Progress
881450	Thorne Bay	C	Planning	12		31,656.00	PL	L/M	557 p/s; 377 l/m	557 p/s; 377 l/m
891304	Valdez 07/08		Other Pulbic Facilities	3	M	451,750.00	PF	L/M	182 p/s; 182 l/m	Contract in Progress



3		3a.	4	#	4a.	5	6	7	8. ACCOMPLISHMENTS	
Grant #	LOCALITY		ACTIVITY			AMOUNT	PURPOSE	N. OBJ	PROPOSED	ACTUAL
891303	Atka		Other Pulbic Facilities	6		327,500.00	PF	L/M	92 p/s; 48 l/m	Contract in Progress
800741	Chignik 08/09		Planning	12	M	90,000.00	PL	L/M	Data reported in 09	Data reported in 09
880922	Hooper Bay 04/06/07/08	C	Other Pulbic Facilities	6	M	4,330.00	PF	L/M	Data reported in 06	Data reported in 06
800739	Kodiak Island Borough 08/09		Planning	12	M	90,000.00	PL	L/M	Data reported in 09	Data reported in 09
881447	Matanuska-Susitna Borough 07/08	C	Other Pulbic Facilities	6		175,537.00	PF	L/M	Data reported in 07	Data reported in 07
891305	McGrath 04/08/09		Other Pulbic Facilities	6	M	357,848.00	PF	L/M	401 p/s; 214 l/m	Contract in Progress
891306	Nome		Other Pulbic Facilities	6		560,000.00	PF	L/M	3500 p/s; 1801 l/m	Contract in Progress
800742	Nulato 07/08/09		Other Pulbic Facilities	12		313,695.00	PF	L/M	Data reported in 09	Data reported in 09
800738	Tanana 08/09	C	Other Public Facilities	6	M	412,116.00	PF	L/M	Data reported in 09	Data reported in 09
891304	Valdez 07/08		Other Pulbic Facilities	3	M	0.00	PF	L/M	Data reported in 07	Data reported in 07

3		3a.	4		#	4a.	5		6	7	8. ACCOMPLISHMENTS	
Grant #	LOCALITY		ACTIVITY				AMOUNT	PURPOSE			PROPOSED	ACTUAL
800741	Chignik 08/09		Planning	12	M		0.00	PL	L/M		79 p/s; 47 l/m	Contract in Progress
800798	Fairbanks (CDBG-R)	C	Other Public Facilities	6	M		747,372.00	PF	L/M		2162 p/s; 1333 l/m	2162 p/s; 1333 l/m
800739	Kodiak Island Borough 08/09		Planning	12	M		0.00	PL	L/M		855 p/s; 855 l/m	Contract in Progress
891305	McGrath 04/08/09		Other Pulbic Facilities	6	M		491,644.00	PF	L/M		Data reported in 08	Data reported in 08
800742	Nulato 07/08/09		Planning	12			0.00	PL	L/M		336 p/s; 239 l/m	Contract in Progress
Unassigned	Ouzinkie		Other Public Facilities	6			850,000.00	PF	L/M		225 p/s; 205 l/m	Contract Pending
Unassigned	Saint Mary's		Other Public Facilities	6			845,054.00	PF	L/M		500 p/s; 310 l/m	Contract Pending
800738	Tanana 08/09	C	Other Public Facilities	6	M		0.00	PF	L/M		308 p/s; 208 l/m	308 p/s; 208 l/m



Part III FY 11 PER for FFY 01

<b>KEY:</b>
<b>1. White, not Hispanic</b>
<b>2. Black, not Hispanic</b>
<b>3. Hispanic</b>
<b>4. Asian or Pacific Islander</b>
<b>5. American Indian/Alaskan Native</b>
<b>6. Female heads of households (if applicants or beneficiaries are receiving a direct benefit).</b>

Applicant data required for FY93 and beyond, for activities providing a direct benefit.

Beneficiary data required for FY92 and beyond; include both direct beneficiaries (actual users) and indirect beneficiaries (service area beneficiaries)

Fiscal Year 2001 Grants

Grant #	LOCALITY	ACTIVITY	Act #	Applicant						DIRECT BENEFICIARIES						INDIRECT BENEFICIARIES						TOTAL
				1	2	3	4	5	6	1	2	3	4	5	6	1	2	3	4	5	6	
841204	Anderson	Economic Development	14a	1	0	0	0	0	na	1	0	0	0	0	N/A	578	18	18	0	302	N/A	628
831561	Buckland	Other Public Facilities	6	N/A						based on area-wide benefit						12	0	0	4	302	N/A	318
831589	Bethel	Center/Facility	3	N/A						Data reported in 04						Data reported in 04						
850568	Brevig Mission	Planning	12	N/A						Data reported in 03						Data reported in 03						
831573	Fairbanks	Other Public Facilities	6	N/A						based on area-wide benefit						10675	1975	811	490	1354	N/A	15304
831591	False Pass	Other Public Facilities	6	N/A						based on area-wide benefit						16	0	0	0	52	N/A	68
831513	Hughes	Other Public Facilities	6	N/A						based on area-wide benefit						4	0	0	0	50	N/A	54
850550	Huslia	Other Public Facilities	6	N/A						Data reported in 02						Data reported in 02						
841207	Juneau	Center/Facility	3	N/A						37	0	2	2	4	N/A	21570	292	749	678	3462	N/A	26751
840802	Lake & Pen Boro	Other Public Facilities	6	N/A						None						None						
850552	Larsen Bay	Other Public Facilities	6	N/A						Data reported in 04						Data reported in 04						
831596	Quinhagak	Other Public Facilities	12	N/A						based on area-wide benefit						29	1	2	2	486	N/A	501
831592	Mat-Susitna Boro	Public Services	7	N/A						Data reported in 02						Data reported in 02						
831594	Nulato	Other Public Facilities	6	N/A						based on area-wide benefit						11	0	0	0	370	N/A	381
831595	Palmer	Other Public Facilities	3	N/A						3983	9	10	20	356	N/A	36949	254	307	201	1972	N/A	39683
831598	Toksook Bay	Other Public Facilities	6	N/A						based on area-wide benefit						92	0	0	2	1499	N/A	1593

## Part III FY 11 PER for FFY 02

**KEY:**

- 1. White, not Hispanic**
- 2. Black, not Hispanic**
- 3. Hispanic**
- 4. Asian or Pacific Islander**
- 5. American Indian/Alaskan Native**
- 6. Female heads of households (if applicants or beneficiaries are receiving a direct benefit).**

Applicant data required for FY93 and beyond, for activities providing a direct benefit.

Beneficiary data required for FY92 and beyond;  
include both direct beneficiaries (actual users)  
and indirect beneficiaries (service area beneficiaries)

## Fiscal Year 2002 Grants

Grant #	LOCALITY	ACTIVITY	Act #	Applicant						DIRECT BENEFICIARIES						INDIRECT BENEFICIARIES						TOTAL	
				1	2	3	4	5	6	1	2	3	4	5	6	1	2	3	4	5	6		
821670	Aleutians EB NL	Center/Facility	3	N/A						based on area-wide benefit						18	0	0	0	65	N/A	83	
831589	Bethel	Center/Facility	3	N/A						Data reported in 04						Data reported in 04							N/A
850568	Brevig Mission	Planning	12	N/A						Data reported in 03						Data reported in 03							N/A
831573	Fairbanks	Other Public Facility	6	N/A						Data reported in 01						Data reported in 01							N/A
831591	False Pass	Other Public Facility	6	N/A						Data reported in 01						Data reported in 01						N/A	572
840853	Hoonah	Other Public Facility	4a	N/A						based on area-wide benefit						65	3	34	1	469	N/A		
850550	Huslia	Other Public Facilities	6	N/A						based on area-wide benefit						13	0	4	2	274	N/A		
841212	Juneau	Center/Facility	3	N/A						10	0	0	0	5	N/A	21570	292	749	678	3462	N/A		
801239	Klawock	Public Facility	4a	N/A						None						None						N/A	39683
871358	Kobuk	Other Public Facilities	6	N/A						Data reported in 07						Data reported in 07							
850552	Larsen Bay	Other Public Facilities	6	N/A						Data reported in 04						Data reported in 04							
831592	Mat-Su Borough	Public Services	7	N/A						781	1	16	15	55	N/A	36949	307	752	297	1939	N/A		
841211	Mat-Su Borough	Center/Facility	3	N/A						Data reported in 03						Data reported in 03							39683
850338	Mat-Su Borough	Residential Rehab	9a	N/A						19	0	0	0	1	N/A	36949	307	752	297	1939	N/A		
831593	Newhalen	Water/Sewer	4a	N/A						None						None							
841208	Noorvik	Other Public Facility	6	N/A						based on area-wide benefit						31	0	31	1	571	N/A		
831594	Nulato	Other Public Facility	6	N/A						Data reported in 01						Data reported in 01						N/A	952
831595	Palmer	Center/Facility	3	N/A						Data reported in 01						Data reported in 01						N/A	
831596	Quinahagak	Other Public Facility	6	N/A						Data reported in 01						Data reported in 01						N/A	
831597	Sand Point	Other Public Facility	6	N/A						based on area-wide benefit						264	14	50	221	403	N/A		
831598	Toksook Bay	Other Public Facility	6	N/A						Data reported in 01						Data reported in 01						N/A	
841209	Upper Kalskag	Other Public Facility	6	N/A						Data reported in 04						Data reported in 04							
831599	Valdez	Removal of Arch Barriers	11	N/A						Data reported in 00						Data reported in 00						N/A	

Part III FY 11 PER for FFY 03

**KEY:**

1. White, not Hispanic
2. Black, not Hispanic
3. Hispanic
4. Asian or Pacific Islander
5. American Indian/Alaskan Native
6. Female heads of households (if applicants or beneficiaries are receiving a direct benefit).

Applicant data required for FY93 and beyond, for activities providing a direct benefit.

Beneficiary data required for FY92 and beyond; include both direct beneficiaries (actual users) and indirect beneficiaries (service area beneficiaries)

**Fiscal Year 2003 Grants**

Grant #	LOCALITY	ACTIVITY	Act #	Applicant						DIRECT BENEFICIARIES						INDIRECT BENEFICIARIES						TOTAL
				1	2	3	4	5	6	1	2	3	4	5	6	1	2	3	4	5	6	
841204	Anderson	Assistance to Non-Profit	14a	N/A						Data reported in 01						Data reported in 01						
850568	Brevig Mission	Planning	12	N/A						based on area-wide benefit						22	0	3	1	250	N/A	276
860721	Clarks Point	Other Public Facility	6	N/A						based on area-wide benefit						5	0	0	2	68	NA	75
841205	Eek	Land Acquisition	1	N/A						based on area-wide benefit						10	0	1	0	243	NA	254
850551	False Pass	Other Public Facility	6	N/A						based on area-wide benefit						16	0	0	0	52	N/A	68
841206	Gambell	Other Public Facility	6	N/A						based on area-wide benefit						None						
841207	Juneau	Center/Facility	3	N/A						Data reported in 01						Data reported in 01						
841212	Juneau	Center/Facility	3	N/A						Data reported in 02						Data reported in 02						
871357	Juneau	Center/Facility	3	N/A						Data reported in 05						Data reported in 05						
850570	Klawock	Planning	12	N/A						Data reported in 04						Data reported in 04						
871299	Kotlik	Other Public Facility	6	N/A						Data reported in 05						Data reported in 05						
850571	Kwethluk	Other Public Facility	6	N/A						based on area-wide benefit						36	8	2	5	662		713
860719	Lake & Pen Boro (CL)	Other Public Facility	6	N/A						based on area-wide benefit						17	0	2	1	125	NA	145
860720	Lake & Pen Boro (Kok)	Other Public Facility	6	N/A						based on area-wide benefit						14	0	3	5	152	NA	174
860722	Manokotak	Other Public Facility	6	N/A						based on area-wide benefit						19	1	0	1	378	NA	399
841211	Matanuska-Susitna Boro	Center/Facility	3	N/A						10	0	0	2	0	N/A	36949	307	752	297	1939	N/A	39683
850338	Matanuska-Susitna Boro	Residential Rehab	9a	N/A						Data reported in 02						Data reported in 02						
850553	Nenana	Center/Facility	3	N/A						Data reported in 04						Data reported in 04						
841208	Noorvik	Other Public Facility	6	N/A						Data reported in 02						Data reported in 02						
850572	Ouzinkie	Public Facilities	4a	N/A						based on area-wide benefit						19	0	5	0	162	N/A	186
871361	Tanana	Other Public Facility	6	N/A						Data reported in 04						Data reported in 04						
860723	Teller	Other Public Facility	6	N/A						based on area-wide benefit						20	0	0	0	210	NA	230
841209	Upper Kalskag	Other Public Facility	6	N/A						Data reported in 04						Data reported in 04						
850575	Yakutat	Other Public Facility	6	N/A						based on area-wide benefit						381	3	16	16	317	N/A	733
841210	Valdez	Removal of Arch Barriers	11	N/A						Data reported in 00						Data reported in 00						
850575	Yakutat	Other Public Facility	6	N/A						based on area-wide benefit						381	3	16	16	317	N/A	733

Part III FY 11 PER for FFY 04

KEY:

1. White, not Hispanic

2. Black, not Hispanic

3. Hispanic

4. Asian or Pacific Islander

5. American Indian/Alaskan Native

6. Female heads of households (if applicants or beneficiaries are receiving a direct benefit).

Applicant data required for FY93 and beyond, for activities providing a direct benefit.

Beneficiary data required for FY92 and beyond; include both direct beneficiaries (actual users) and indirect beneficiaries (service area beneficiaries)

Fiscal Year 2004 Grants

Grant #	LOCALITY	ACTIVITY	Act #	Applicant						DIRECT BENEFICIARIES						INDIRECT BENEFICIARIES						TOTAL
				1	2	3	4	5	6	1	2	3	4	5	6	1	2	3	4	5	6	
850566	Alegnagik	Other Public Facilities	6	N/A						based on area wide benefit						36	0	5	0	180	N/A	221
850567	Atka	Other Public Facilities	6	N/A						None						None						
831589	Bethel	Center/Facility	3	N/A						70	1	4	3	135	NA	1551	39	59	81	2986	N/A	213
871356	Dillingham	Planning	12	N/A						Data reported in 06						Data reported in 06						
850569	Diomedes	Other Public Facilities	6	N/A						based on area wide benefit						10	0	0	1	135	N/A	146
880922	Hooper Bay	Other Public Facilities	6	N/A						Data reported in 06						Data reported in 06						
871357	Juneau	Center/Facility	3	N/A						Data reported in 05						Data reported in 05						
850570	Klawock	Planning	12	N/A						based on area-wide benefit						313	1	12	4	392	N/A	722
871358	Kobuk	Other Public Facilities	6	N/A						Data reported in 07						Data reported in 07						
850571	Kwethluk	Center/Facility	3	N/A						Data reported in 03						Data reported in 03						
850552	Larsen Bay	Other Public Facilities	6	N/A						based on area wide benefit						21	0	0	2	124	N/A	147
861005	Matanuska-Susitna Bor	Residential Rehab	9a	N/A						Data reported in 05						Data reported in 05						
891305	McGrath	Other Public Facilities	6	N/A						Data reported in 08						Data reported in 08						
850553	Nenana	Center/Facility	3	N/A						based on area wide benefit						199	5	6	4	188	N/A	402
861047	Nome	Other Public Facilities	6	N/A						Data reported in 05						Data reported in 05						
871359	Nunam Iqua	Other Public Facilities	6	N/A						based on area wide benefit						10	1	0	0	156	N/A	164
850572	Ouzinkie	Other Public Facilities	6	N/A						Data reported in 03						Data reported in 03						
850573	Selawik	Other Public Facilities	6	N/A						based on area wide benefit						25	2	0	0	569	N/A	596
850574	Tanana	Planning	12	N/A						None						None						
871361	Tanana	Other Public Facilities	6	N/A						based on area wide benefit						57	0	2	0	249	N/A	308
841209	Upper Kalskag	Other Public Facility	6	N/A						based on area-wide benefit						20	1	6	6	197	N/A	230
850575	Yakutat	Other Public Facilities	6	N/A						Data reported in 03						Data reported in 03						

Part III FY 11 PER for FFY 05

**KEY:**  
1. White, not Hispanic  
2. Black, not Hispanic  
3. Hispanic  
4. Asian or Pacific Islander  
5. American Indian/Alaskan Native  
6. Female heads of households (if applicants or beneficiaries are receiving a direct benefit).

Applicant data required for FY93 and beyond, for activities providing a direct benefit.

Beneficiary data required for FY92 and beyond; include both direct beneficiaries (actual users) and indirect beneficiaries (service area beneficiaries)

Fiscal Year 2005 Grants

Grant #	LOCALITY	ACTIVITY	Act #	Applicant						DIRECT BENEFICIARIES						INDIRECT BENEFICIARIES						TOTAL
				1	2	3	4	5	6	1	2	3	4	5	6	1	2	3	4	5	6	
861045	Akutan	Other Public Facility	6	N/A						based on area-wide benefit						227	6	45	231	80	N/A	589
861044	Aleutians East Borough	Other Public Facility	6	N/A						based on area-wide benefit						877	42	238	448	1092	N/A	2697
Unassigned	Hughes	Other Public Facility	6	N/A						None						None						
871357	Juneau	Center/Facility	3	N/A						286	3	13	18	43	N/A	24154	252	1116	1526	3663	N/A	30711
871300	Kenai Peninsula Borough	Other Public Facility	6	N/A						based on area wide benefit						331	0	2	2	10	N/A	345
871358	Kobuk	Other Public Facilities	6	N/A						Data reported in 07						Data reported in 07						
871299	Kotlik	Other Public Facility	6	N/A						based on area wide benefit						21	2	0	0	568	N/A	591
850571	Kwethluk	Center/Facility	3	N/A						Data reported in 03						Data reported in 03						
861005	Matanuska-Susitna Bor	Residential Rehab	9a	N/A						10	0	0	8	0	N/A	36949	307	752	297	1939	N/A	39683
861047	Nome	Other Public Facilities	6	N/A						based on area wide benefit						1539	6	95	40	1820	N/A	3500
871301	Sitka City & Borough	Other Public Facility	6	N/A						based on area wide benefit						0	0	221	0	0	N/A	221



Part III FY 11 PER for FFY 06

<b>KEY:</b>
1. White, not Hispanic
2. Black, not Hispanic
3. Hispanic
4. Asian or Pacific Islander
5. American Indian/Alaskan Native
6. Female heads of households (if applicants or beneficiaries are receiving a direct benefit).

Applicant data required for FY93 and beyond, for activities providing a direct benefit.

Beneficiary data required for FY92 and beyond; include both direct beneficiaries (actual users) and indirect beneficiaries (service area beneficiaries)

Fiscal Year 2006 Grants

Grant #	LOCALITY	ACTIVITY	Act #	Applicant						DIRECT BENEFICIARIES						INDIRECT BENEFICIARIES						TOTAL
				1	2	3	4	5	6	1	2	3	4	5	6	1	2	3	4	5	6	
881444	Bethel	Other Pulbic Facilities	6	N/A						Data reported in 07						Data reported in 07						
871356	Dillingham	Planning	12	N/A						66	1	7	2	99	N/A	929	17	93	32	1395	N/A	2466
881445	Haines Borough	Center/Facility	3	N/A						Data reported in 07						Data reported in 07						
880922	Hooper Bay	Other Pulbic Facilities	6	N/A						based on area wide benefit						48	2	3	6	955	N/A	1014
881446	Hughes	Other Pulbic Facilities	6	N/A						Data reported in 07						Data reported in 07						
871357	Juneau	Center/Facility	3	N/A						Data reported in 05						Data reported in 05						
871358	Kobuk	Other Pulbic Facilities	6	N/A						Data reported in 07						Data reported in 07						
881451	Matanuska-Susitna Bor	Residential Rehab	9a	N/A						10	1	0	8	0	N/A	36949	307	752	297	1939	N/A	39683
871359	Nunam Iqua	Other Pulbic Facilities	6	N/A						Data reported in 04						Data reported in 04						
881449	Pelican	Other Public Facilities	6	N/A						Data reported in 07						Data reported in 07						
871301	Sitka City & Borough	Other Pulbic Facilities	6	N/A						Data reported in 05						Data reported in 05						
Unassigned	Soldotna	Other Pulbic Facilities	6	N/A						None						None						
871361	Tanana	Other Pulbic Facilities	6	N/A						Data reported in 04						Data reported in 04						
871362	White Mountain	Planning	12	N/A						based on area wide benefit						28	0	1	0	174	N/A	203

Part III FY 11 for FFY 07

**KEY:**  
1. White, not Hispanic  
2. Black, not Hispanic  
3. Hispanic  
4. Asian or Pacific Islander  
5. American Indian/Alaskan Native  
6. Female heads of households (if applicants or beneficiaries are receiving a direct benefit).

Applicant data required for FY93 and beyond, for activities providing a direct benefit.

Beneficiary data required for FY92 and beyond; include both direct beneficiaries (actual users) and indirect beneficiaries (service area beneficiaries)

Fiscal Year 2007 Grants

Grant #	LOCALITY	ACTIVITY	Act #	Applicant						DIRECT BENEFICIARIES						INDIRECT BENEFICIARIES						TOTAL
				1	2	3	4	5	6	1	2	3	4	5	6	1	2	3	4	5	6	
881444	Bethel	Other Public Facilities	6	N/A						190	5	4	56	210	N/A	1493	112	155	288	3423	N/A	5471
881445	Haines Borough	Center/Facility	3	N/A						3	0	1	1	4	N/A	1196	12	45	38	301	N/A	2392
880922	Hooper Bay	Other Public Facilities	6	N/A						Data reported in 06						Data reported in 06						
881446	Hughes	Other Public Facilities	6	N/A						based on area wide benefit						9	0	8	0	61	N/A	78
871358	Kobuk	Other Public Facilities	6	N/A						based on area wide benefit						5	0	5	1	98	N/A	109
881447	Matanuska-Susitna Bor	Other Public Facilities	6	N/A						based on area wide benefit						79	0	0	0	9	N/A	88
891302	Mekoryuk	Planning	12	N/A						based on area wide benefit						8	0	1	0	201	N/A	210
800742	Nulato	Planning	12	N/A						Data reported in 09						Data reported in 09						
Unassigned	Nunapitchuk	Other Public Facilities	6	N/A						None						None						
881449	Pelican	Other Public Facilities	6	N/A						based on area wide benefit						120	0	2	2	39	N/A	163
891301	Quinhagak	Other Public Facilities	6	N/A						based on area wide benefit						15	0	4	0	536	N/A	555
881450	Thorne Bay	Planning	12	N/A						based on area wide benefit						520	0	9	5	23	N/A	557
891304	Valdez	Center/Facility	3	N/A						157	1	7	4	13	N/A	3475	17	160	102	282	N/A	4036

Part III FY 11 PER for FFY08

KEY:

1. White, not Hispanic

2. Black, not Hispanic

3. Hispanic

4. Asian or Pacific Islander

5. American Indian/Alaskan Native

6. Female heads of households (if applicants or beneficiaries are receiving a direct benefit).

Applicant data required for FY93 and beyond, for activities providing a direct benefit.

Beneficiary data required for FY92 and beyond; include both direct beneficiaries (actual users) and indirect beneficiaries (service area beneficiaries)

Fiscal Year 2008 Grants

Grant #	LOCALITY	ACTIVITY	Act #	Applicant						DIRECT BENEFICIARIES						INDIRECT BENEFICIARIES						TOTAL
				1	2	3	4	5	6	1	2	3	4	5	6	1	2	3	4	5	6	
891303	Atka	Other Public Facilities	6	N/A						based on areawide benefit						7	0	1	1	83	N/A	92
800741	Chikgnik	Planning	12	N/A						Data reported in 09						Data reported in 09						
880922	Hooper Bay	Other Public Facilities	6	N/A						Data reported in 06						Data reported in 06						
800739	Kodiak Island Borough	Planning	12	N/A						Data reported in 09						Data reported in 09						
881447	Matanuska-Susitna Boro	Other Public Facilities	6	N/A						Data reported in 07						Data reported in 07						
891305	McGrath	Other Public Facilities	6	N/A						based on areawide benefit						193	0	4	3	201	N/A	401
891306	Nome	Other Public Facilities	6	N/A						based on areawide benefit						1539	6	95	40	1820	N/A	3500
800742	Nulato	Other Public Facilities	12	N/A						Data reported in 09						Data reported in 09						
800738	Tanana	Other Public Facilities	6	N/A						Data reported in 09						Data reported in 09						
891304	Valdez	Center/Facility	3	N/A						Data reported in 07						Data reported in 07						

## Part III FY 11 PER for FFY09

**KEY:**

1. White, not Hispanic
2. Black, not Hispanic
3. Hispanic
4. Asian or Pacific Islander
5. American Indian/Alaskan Native
6. Female heads of households (if applicants or beneficiaries are receiving a direct benefit).

Applicant data required for FY93 and beyond, for activities providing a direct benefit.

Beneficiary data required for FY92 and beyond;  
include both direct beneficiaries (actual users)  
and indirect beneficiaries (service area beneficiaries)

### Fiscal Year 2009 Grants

Grant #	LOCALITY	ACTIVITY	Act #	Applicant						DIRECT BENEFICIARIES						INDIRECT BENEFICIARIES						TOTAL
				1	2	3	4	5	6	1	2	3	4	5	6	1	2	3	4	5	6	
800741	Chignik	Planning	12	N/A						based on area wide benefit						25		1	4	49	N/A	79
800798	Fairbanks (CDBG-R)	Other Public Facilities	6	N/A						1429	195	195	95	248		20844	2838	1876	1387	4590		31535
800739	Kodiak Island Borough	Planning	12	N/A						472	6	63	173	141		8065	209	1070	2244	2004	N/A	13592
891305	McGrath	Other Public Facilities	6	N/A						Data reported in 08						Data reported in 08						
800742	Nulato	Planning	12	N/A						based on area wide benefit						17	0	0	0	319	N/A	336
Unassigned	Ouzinkie	Other Public Facilities	6	N/A						based on area wide benefit						25	0	6	18	176	N/A	225
Unassigned	Saint Mary's	Other Public Facilities	6	N/A						based on area wide benefit						59	0	3	7	431	N/A	500
800738	Tanana	Other Public Facilities	6	N/A						based on area wide benefit						57	0	2	0	249	N/A	308

## Part III FY11 PER FFY10

**KEY:**

1. **White, not Hispanic**
2. **Black, not Hispanic**
3. **Hispanic**
4. **Asian or Pacific Islander**
5. **American Indian/Alaskan Native**
6. **Female heads of households (if applicants or beneficiaries are receiving a direct benefit).**

Applicant data required for FY93 and beyond, for activities providing a direct benefit.

Beneficiary data required for FY92 and beyond;  
include both direct beneficiaries (actual users)  
and indirect beneficiaries (service area beneficiaries)

### Fiscal Year 2010 Grants

Grant #	LOCALITY	ACTIVITY	Act #	Applicant						DIRECT BENEFICIARIES						INDIRECT BENEFICIARIES						TOTAL
				1	2	3	4	5	6	1	2	3	4	5	6	1	2	3	4	5	6	
Unassigned	Akutan	Other Public Facilities	6	N/A						based on area wide benefit						152	15	148	277	121	N/A	713
Unassigned	Aleutians East Borough	Other Public Facilities	6	N/A						based on area wide benefit						660	42	379	498	1118	N/A	2697
Unassigned	Coffman Cove	Other Public Facilities	6	N/A						based on area wide benefit						172	1	2	1	23	N/A	199
Unassigned	Eek	Other Public Facilities	6	N/A						based on area wide benefit						8	0	1	0	271	N/A	280
Unassigned	Koyukuk	Other Public Facilities	6	N/A						based on area wide benefit						9	0	0	0	92	N/A	101
10-CDBG-006	Old Harbor	Other Public Facilities	6	N/A						based on area wide benefit						31	0	0	0	206	N/A	237
Unassigned	White Mountain	Other Public Facilities	6	N/A						based on area wide benefit						27	0	1	0	175	N/A	203

## APPENDIX B

### Emergency Shelter Grant Performance Report

**SFY2011 (July 1, 2010 – June 30, 2011)**

Grant #S-10-DC-02-0001 \$125,846			
<b>Program Name</b>	<b>Location</b>	<b>Activities</b>	<b>Thru 6-30-2011</b>
AWARE	JUNEAU	Homeless Prevention Activities	3,000
		Shelter/Transitional Operations	24,360
		<b>TOTAL</b>	<b>27,360</b>
Alaska Family Services	Palmer	Homeless Prevention Activities	\$4,378
		Essential Services	\$5,000
		Shelter/Transitional Operations	23,957
		Administration	1,665
		<b>TOTAL</b>	<b>\$35,000</b>
Brother Francis Shelter	Kodiak	Homeless Prevention Activities	\$6,000
		Shelter/Transitional Operations	\$17,000
		Administration	\$1,000
		<b>TOTAL</b>	<b>\$24,000</b>
The LeeShore Center	Soldotna	Shelter/Transitional Operations	\$12,274
		Rehabilitation/Renovation	\$16,500
		Administration	\$1,226
		<b>TOTAL</b>	<b>\$30,000</b>
Tundra Women's Coalition	Bethel	Shelter/Transitional Operations	\$9,486
		<b>TOTAL</b>	<b>\$9,486</b>

**APPENDIX B-1**

**Parts 1 & 2**

**HOME INVESTMENT PARTNERSHIP PROGRAM**

**HOME MATCH REPORT**

**ANNUAL PERFORMANCE REPORT**

**SFY2011 (JULY 1, 2010 – JUNE 30, 2011)**

## HOME Match Report

**U.S. Department of Housing and Urban Development**  
Office of Community Planning and Development

OMB Approval No. 2506-0171  
(exp. 12/31/2012)

## Part I Participant Identification

Match Contributions for Federal Fiscal Year (yyyy)	Amount
2000	100
2001	100
2002	100
2003	100
2004	100
2005	100
2006	100
2007	100
2008	100
2009	100
2010	100
2011	100
2012	100
2013	100
2014	100
2015	100
2016	100
2017	100
2018	100
2019	100
2020	100
2021	100
2022	100
2023	100
2024	100
2025	100
2026	100
2027	100
2028	100
2029	100
2030	100
2031	100
2032	100
2033	100
2034	100
2035	100
2036	100
2037	100
2038	100
2039	100
2040	100
2041	100
2042	100
2043	100
2044	100
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2046	100
2047	100
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2062	100
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2067	100
2068	100
2069	100
2070	100
2071	100
2072	100
2073	100
2074	100
2075	100
2076	100
2077	100
2078	100
2079	100
2080	100
2081	100
2082	100
2083	100
2084	100
2085	100
2086	100
2087	100
2088	100
2089	100
2090	100
2091	100
2092	100
2093	100
2094	100
2095	100
2096	100
2097	100
2098	100
2099	100

1. Participant No. (assigned by HUD)	2. Name of the Participating Jurisdiction		3. Name of Contact (person completing this report)
5. Street Address of the Participating Jurisdiction			4. Contact's Phone Number (include area code)
6. City	7. State	8. Zip Code	

## Part II Fiscal Year Summary

1. Excess match from prior Federal fiscal year	\$	
2. Match contributed during current Federal fiscal year (see Part III.9.)	\$	
3. Total match available for current Federal fiscal year (line 1 + line 2)		\$
4. Match liability for current Federal fiscal year		\$
5. Excess match carried over to next Federal fiscal year (line 3 minus line 4)		\$

## Part III Match Contribution for the Federal Fiscal Year

[illegible]





Public reporting burden for this collection of information is estimated to average 45 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

## Instructions for the HOME Match Report

### Applicability:

The HOME Match Report is part of the HOME APR and must be filled out by every participating jurisdiction that incurred a match liability. Match liability occurs when FY 1993 funds (or subsequent year funds) are drawn down from the U.S. Treasury for HOME projects. A Participating Jurisdiction (PJ) may start counting match contributions as of the beginning of Federal Fiscal Year 1993 (October 1, 1992). A jurisdiction not required to submit this report, either because it did not incur any match or because it had a full match reduction, may submit a HOME Match Report if it wishes. The match would count as excess match that is carried over to subsequent years. The match reported on this form must have been contributed during the reporting period (between October 1 and September 30).

### Timing:

This form is to be submitted as part of the HOME APR on or before December 31. The original is sent to the HUD Field Office. One copy is sent to the

Office of Affordable Housing Programs, CGHF  
Room 7176, HUD, 451 7th Street, S.W.  
Washington, D.C. 20410.

The participating jurisdiction also keeps a copy.

### Instructions for Part II:

1. **Excess match from prior Federal fiscal year:** Excess match carried over from prior Federal fiscal year.
2. **Match contributed during current Federal fiscal year:** The total amount of match contributions for all projects listed under Part III in column 9 for the Federal fiscal year.

3. **Total match available for current Federal fiscal year:** The sum of excess match carried over from the prior Federal fiscal year (Part II, line 1) and the total match contribution for the current Federal fiscal year (Part II, line 2). This sum is the total match available for the Federal fiscal year.

4. **Match liability for current Federal fiscal year:** The amount of match liability is available from HUD and is provided periodically to PJs. The match must be provided in the current year. The amount of match that must be provided is based on the amount of HOME funds drawn from the U.S. Treasury for HOME projects. The amount of match required equals 25% of the amount drawn down for HOME projects during the Federal fiscal year. Excess match may be carried over and used to meet match liability for subsequent years (see Part II line 5). Funds drawn down for administrative costs, CHDO operating expenses, and CHDO capacity building do not have to be matched. Funds drawn down for CHDO seed money and/or technical assistance loans do not have to be matched if the project does not go forward. A jurisdiction is allowed to get a partial reduction (50%) of match if it meets one of two statutory distress criteria, indicating "fiscal distress," or else a full reduction (100%) of match if it meets both criteria, indicating "severe fiscal distress." The two criteria are poverty rate (must be equal to or greater than 125% of the average national family poverty rate to qualify for a reduction) and per capita income (must be less than 75% of the national average per capita income to qualify for a reduction). In addition, a jurisdiction can get a full reduction if it is declared a disaster area under the Robert T. Stafford Disaster Relief and Emergency Act.

5. **Excess match carried over to next Federal fiscal year:** The total match available for the current Federal fiscal year (Part II, line 3) minus the match liability for the current Federal fiscal year (Part II, line 4). Excess match may be carried over and applied to future HOME project match liability.

### Instructions for Part III:

1. **Project No. or Other ID:** "Project number" is assigned by the C/MI System when the PJ makes a project setup call. These projects involve at least some Treasury funds. If the HOME project does not involve Treasury funds, it must be identified with "other ID" as follows: the fiscal year (last two digits only), followed by a number (starting from "01" for the first non-Treasury-funded project of the fiscal year), and then at least one of the following abbreviations: "SF" for project using shortfall funds, "PI" for projects using program income, and "NON" for non-HOME-assisted affordable housing. Example: 93.01.SF, 93.02.PI, 93.03.NON, etc.

Shortfall funds are non-HOME funds used to make up the difference between the participation threshold and the amount of HOME funds allocated to the PJ; the participation threshold requirement applies only in the PJ's first year of eligibility. [§92.102]

Program income (also called "repayment income") is any return on the investment of HOME funds. This income must be deposited in the jurisdiction's HOME account to be used for HOME projects. [§92.503(b)]

Non-HOME-assisted affordable housing is investment in housing not assisted by HOME funds that would qualify as “affordable housing” under the HOME Program definitions. “NON” funds must be contributed to a specific project; it is not sufficient to make a contribution to an entity engaged in developing affordable housing. [§92.219(b)]

2. **Date of Contribution:** Enter the date of contribution. Multiple entries may be made on a single line as long as the contributions were made during the current fiscal year. In such cases, if the contributions were made at different dates during the year, enter the date of the last contribution.
3. **Cash:** Cash contributions from non-Federal resources. This means the funds are contributed permanently to the HOME Program regardless of the form of investment the jurisdiction provides to a project. Therefore all repayment, interest, or other return on investment of the contribution must be deposited in the PJ’s HOME account to be used for HOME projects. The PJ, non-Federal public entities (State/local governments), private entities, and individuals can make contributions. The grant equivalent of a below-market interest rate loan to the project is eligible when the loan is not repayable to the PJ’s HOME account. [§92.220(a)(1)] In addition, a cash contribution can count as match if it is used for eligible costs defined under §92.206 (except administrative costs and CHDO operating expenses) or under §92.209, or for the following non-eligible costs: the value of non-Federal funds used to remove and relocate ECHO units to accommodate eligible tenants, a project reserve account for replacements, a project reserve account for unanticipated increases in operating costs, operating subsidies, or costs relating to the portion of a mixed-income or mixed-use project not related to the affordable housing units. [§92.219(c)]
4. **Foregone Taxes, Fees, Charges:** Taxes, fees, and charges that are normally and customarily charged but have been waived, foregone, or deferred in a manner that achieves affordability of the HOME-assisted housing. This includes State tax credits for low-income housing development. The amount of real estate taxes may be based on the

post-improvement property value. For those taxes, fees, or charges given for future years, the value is the present discounted cash value. [§92.220(a)(2)]

5. **Appraised Land/Real Property:** The appraised value, before the HOME assistance is provided and minus any debt burden, lien, or other encumbrance, of land or other real property, not acquired with Federal resources. The appraisal must be made by an independent, certified appraiser. [§92.220(a)(3)]
6. **Required Infrastructure:** The cost of investment, not made with Federal resources, in on-site and off-site infrastructure directly required for HOME-assisted affordable housing. The infrastructure must have been completed no earlier than 12 months before HOME funds were committed. [§92.220(a)(4)]
7. **Site preparation, Construction materials, Donated labor:** The reasonable value of any site-preparation and construction materials, not acquired with Federal resources, and any donated or voluntary labor (see §92.354(b)) in connection with the site-preparation for, or construction or rehabilitation of, affordable housing. The value of site-preparation and construction materials is determined in accordance with the PJ’s cost estimate procedures. The value of donated or voluntary labor is determined by a single rate (“labor rate”) to be published annually in the Notice Of Funding Availability (NOFA) for the HOME Program. [§92.220(6)]
8. **Bond Financing:** Multifamily and single-family project bond financing must be validly issued by a State or local government (or an agency, instrumentality, or political subdivision thereof). 50% of a loan from bond proceeds made to a multifamily affordable housing project owner can count as match. 25% of a loan from bond proceeds made to a single-family affordable housing project owner can count as match. Loans from all bond proceeds, including excess bond match from prior years, may not exceed 25% of a PJ’s total annual match contribution. [§92.220(a)(5)] The amount in excess of the 25% cap for bonds may carry over, and the excess will count as part of the statutory limit of up to 25% per year. Requirements regarding

bond financing as an eligible source of match will be available upon publication of the implementing regulation early in FY 1994.

9. **Total Match:** Total of items 3 through 8. This is the total match contribution for each project identified in item 1.

**Ineligible forms of match include:**

1. Contributions made with or derived from Federal resources e.g. CDBG funds [§92.220(b)(1)]
2. Interest rate subsidy attributable to the Federal tax-exemption on financing or the value attributable to Federal tax credits [§92.220(b)(2)]
3. Contributions from builders, contractors or investors, including owner equity, involved with HOME-assisted projects. [§92.220(b)(3)]
4. Sweat equity [§92.220(b)(4)]
5. Contributions from applicants/recipients of HOME assistance [§92.220(b)(5)]
6. Fees/charges that are associated with the HOME Program only, rather than normally and customarily charged on all transactions or projects [§92.220(a)(2)]
7. Administrative costs

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Project Number	Activity Number	IDIS #	Activity Name	HOME Funds Drawn	Date Liability Incurred	Date Match Credited	Total AHFC Commitment	AHFC Match Award	Other Matching Funds	MatchCategory
TBR-10-DOC-1	TBR-10-DOC-1-1	1673				7/1/2010		\$276.50		Corporate Match
GOL-10-HSC-1-A	GOL-10-HSC-1-A-1	1791				7/2/2010	\$910,263.00	\$134,082.00		Corporate Match
ORP-04-ACD-1	ORP 04 ACD 1 1691	1691	REHAB B.L.			7/16/2010	\$28,169.00	\$3,329.00		Corporate Match
ORP-04-ACD-1	ORP 04 ACD 1 1584	1584	REHAB T.P.			7/16/2010	\$35,000.00	\$7,909.00		Corporate Match
HOP-10-HG1-1	HOP 10 HG1 1 1786	1786	HOMEBUYER M.C.			7/22/2010	\$30,000.00		\$273,764.00	Bond Financing
ORP-04-ACD-1	ORP 04 ACD 1 1635	1635	REHAB K.O.			7/23/2010	\$30,000.00	\$2,000.00		Corporate Match
ORP-04-ACD-1	ORP 04 ACD 1 1541	1541	REHAB B.P.			7/23/2010	\$35,000.00	\$2,546.00		Corporate Match
GOL-08-AVC-1	GOL-08-AVC-1-1	1561	HOOPER BAY			7/23/2010	\$850,000.00	(\$931.53)		Corporate Match
HOP-10-FNH-1	HOP 10 FNH 1 1780	1780	HOMEBUYER S.B.			7/28/2010	\$30,000.00		\$111,920.00	Bond Financing
ORP-04-ACD-1	ORP 04 ACD 1 1640	1640	REHAB LS.			7/30/2010	\$27,000.00	\$1,000.00		Corporate Match
TBR-10-DOC-1	TBR-10-DOC-1-1	1673				8/1/2010		\$276.50		Corporate Match
HOP-10-ACD-1	HOP 10 ACD 1 1796	1796	HOMEBUYER M.P.			8/3/2010	\$30,000.00		\$124,352.00	Bond Financing
HOP-10-ACD-1	HOP 10 ACD 1 1795	1795	HOMEBUYER S.C.			8/3/2010	\$29,821.00		\$96,000.00	Bond Financing
HOP-10-ACD-1	HOP 10 ACD 1 1796	1796	HOMEBUYER M.P.			8/6/2010	\$30,000.00	\$300.00		Corporate Match
HOP-10-ACD-1	HOP 10 ACD 1 1795	1795	HOMEBUYER S.C.			8/6/2010	\$29,821.00	\$300.00		Corporate Match
GOL-10-HSC-1-A	GOL-10-HSC-1-A-1	1791				8/6/2010	\$910,263.00	\$22,000.00		Corporate Match
ORP-04-ACD-1	ORP 04 ACD 1 1570	1570	REHAB S.H.			8/13/2010	\$45,000.00	\$1,272.00		Corporate Match
GOL-10-HSC-1-A	GOL-10-HSC-1-A-1	1791		\$152,569.50	8/19/2010					
GOL-08-AVC-1	GOL-08-AVC-1-1	1561		\$931.53	8/19/2010					
TBR-10-DOC-1	TBR-10-DOC-1-1	1673		\$553.00	8/19/2010					
ORP-04-ACD-1	ORP 04 ACD 1 1541	1541	REHAB B.P.	\$7,000.00	8/19/2010					
ORP-04-ACD-1	ORP 04 ACD 1548	1548		\$176.00	8/19/2010					
ORP-10-ACD-1	ORP 10 ACD 1 1570	1570	REHAB S.H.	\$11,000.00	8/19/2010					
ORP-04-ACD-1	ORP 04 ACD 1 1584	1584	REHAB T.P.	\$10,000.00	8/19/2010					
ORP-04-ACD-1	ORP 04 ACD 1 1635	1635	REHAB K.O.	\$9,640.00	8/19/2010					
ORP-04-ACD-1	ORP 04 ACD 1 1640	1640	REHAB LS.	\$3,964.00	8/19/2010					
ORP-04-ACD-1	ORP 04 ACD 1 1691	1691	REHAB B.L.	\$3,685.00	8/19/2010					
HOP-10-ACD-1	HOP 10 ACD 1795	1795	HOMEBUYER S.C.	\$29,700.00	8/19/2010					
HOP-10-ACD-1	HOP 10 ACD 1 1796	1796	HOMEBUYER M.P.	\$29,700.00	8/19/2010					
TBR-10-DOC-1	TBR-10-DOC-1-1	1673				9/1/2010		\$276.50		Corporate Match
HOP-10-FNH-1	HOP 10 FNH 1 1775	1775	HOMEBUYER J.C.			9/1/2010	\$14,787.00		\$78,000.00	Bond Financing
HOP-10-ACD-1	HOP 10 ACD 1 1804	1804	HOMEBUYER J.W.			9/3/2010	\$28,980.00	\$890.00		Corporate Match
HOP-10-FNH-1	HOP 10 FNH 1 1818	1818	HOMEBUYER A.B.			9/8/2010	\$30,000.00		\$110,000.00	Bond Financing
GOL-10-HSC-1-A	GOL-10-HSC-1-A-1	1791				9/17/2010	\$910,263.00	\$81,000.00		Corporate Match
HOP-10-FNH-1	HOP 10 FNH 1 1819	1819	HOMEBUYER V.C.			9/24/2010	\$30,000.00	\$3,000.00		Corporate Match
HOP-10-FNH-1	HOP 10 FNH 1 1818	1818	HOMEBUYER A.B.			9/24/2010	\$30,000.00	\$3,000.00		Corporate Match
TBR-10-DOC-1	TBR-10-DOC-1-1	1673				10/1/2010		\$597.00		Corporate Match
HOP-10-FNH-1	HOP 10 FNH 1 1820	1820	HOMEBUYER C.G.			10/1/2010	\$14,293.00	\$2,000.00		Corporate Match
HOP-10-HG1-1	HOP 10 HG1 1 1806	1806	HOMEBUYER D.L.			10/6/2010	\$30,000.00		\$108,345.00	Bond Financing
HOP-10-ACD-1	HOP 10 ACD 1 1821	1821	HOMEBUYER D.B.			10/8/2010	\$29,000.00	\$2,000.00		Corporate Match
GOL-10-HSC-1-A	GOL-10-HSC-1-A-1	1791				10/8/2010	\$910,263.00	\$226,140.00		Corporate Match
ORP-09-FNH-1	ORP 09 FNH 1 1826	1826	REHAB M.P.			10/15/2010	\$45,031.00	\$3,804.00		Corporate Match
HOP-10-FNH-1	HOP 10 FNH 1 1829	1829	HOMEBUYER H.G.			10/15/2010	\$30,000.00	\$15,000.00		Corporate Match
ORP-09-FNH-1	ORP 09 FNH 1 1832	1832	REHAB K.R.			10/22/2010	\$33,808.00	\$3,808.00		Corporate Match

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Project Number	Activity Number	IDIS #	Activity Name	HOME Funds Drawn	Date Liability Incurred	Date Match Credited	Total AHFC Commitment	AHFC Match Award	Other Matching Funds	MatchCategory
GOL-10-HSC-1-A	GOL-10-HSC-1-A-1	1791				10/29/2010	\$910,263.00	\$13,561.70		Corporate Match
TBR-10-DOC-1	TBR-10-DOC-1-1	1673				11/1/2010		\$591.50		Corporate Match
HOP-10-FNH-1	HOP 10 FNH 1 1834	1834	HOMEBUYER F.P.			11/5/2010	\$30,000.00		\$120,000.00	Bond Financing
HOP-10-FNH-1	HOP 10 FNH 1 1833	1833	HOMEBUYER R.B.			11/12/2010	\$14,567.00	\$1,706.00		Corporate Match
HDP-10-ACD-1	HDP-10-ACD-1-1	1805		\$123,975.45	11/16/2010					
GOL-07-ACD-1	GOL 07 ACD 1	1425		\$16,800.00	11/16/2010					
GOL-07-SVP-1	GOL 07 SVP 1 1	1612		\$40,050.00	11/16/2010					
TBR-10-DOC-1	TBR-10-DOC-1-1	1673		\$2,368.50	11/16/2010					
GOL-10-HSC-1-A	GOL-10-HSC-1-A-1	1791		\$78,664.00	11/16/2010					
HOP-10-ACD-1	HOP 10 ACD 1 1821	1821	HOMEBUYER D.B.	\$22,983.00	11/16/2010					
HOP-10-ACD-1	HOP 10 ACD 1830	1830		\$25,000.00	11/16/2010					
ORP-10-ACD-1	ORP 10 ACD 1 1841	1841	REHAB J.A.	\$25,000.00	11/16/2010					
HOP-10-FNH-1	HOP 10 FNH 1 1818	1818	HOMEBUYER A.B.	\$27,000.00	11/16/2010					
HOP-10-FNH-1	HOP 10 FNH 1 1819	1819	HOMEBUYER V.C.	\$27,000.00	11/16/2010					
HOP-10-FNH-1	HOP 10 FNH 1 1820	1820	HOMEBUYER C.G.	\$12,999.00	11/16/2010					
ORP-09-FNH-1	ORP 09 FNH 1 1826	1826	REHAB M.P.	\$30,000.00	11/16/2010					
HOP-10-FNH-1	HOP 10 FNH 1 1829	1829	HOMEBUYER H.G.	\$15,000.00	11/16/2010					
ORP-09-FNH-1	ORP 09 FNH 1 1832	1832	REHAB K.R.	\$30,000.00	11/16/2010					
HOP-10-FNH-1	HOP 10 FNH 1 1833	1833	HOMEBUYER R.B.	\$12,216.96	11/16/2010					
HOP-10-FNH-1	HOP 10 FNH 1 1833	1833	HOMEBUYER R.B.	\$644.04	11/16/2010					
HOP-10-HG1-1	HOP 10 HG1 1806	1806	HOMEBUYER D.L.	\$30,000.00	11/16/2010					
GOL-10-KPH-2	GOL-10-KPH-2	1793		\$304,679.00	11/16/2010					
GOL-10-KPH-2	GOL-10-KPH-2	1793		\$290,589.00	11/16/2010					
HOP-10-FNH-1	HOP 10 FNH 1 1819	1819	HOMEBUYER V.C.			11/18/2010	\$30,000.00		\$23,921.00	Bond Financing
HOP-10-FNH-1	HOP 10 FNH 1 1834	1834	HOMEBUYER F.P.			11/19/2010	\$30,000.00	\$3,000.00		Corporate Match
HOP-10-ACD-1	HOP 10 ACD 1 1831	1831	HOMEBUYER L.B.			11/19/2010	\$30,000.00	\$5,000.00		Corporate Match
HOP-10-ACD-1	HOP 10 ACD 1 1836	1836	HOMEBUYER R.J.			11/26/2010	\$30,000.00	\$3,000.00		Corporate Match
TBR-10-DOC-1	TBR-10-DOC-1-1	1673				12/1/2010		\$606.50		Corporate Match
TBR-11-DOC-1	TBR-11-DOC-1-1	1827				12/1/2010		\$184.00		Corporate Match
ORP-04-ACD-1	ORP 04 ACD 1 1450	1450				12/21/2010	\$45,000.00	\$32,727.00		Corporate Match
HOP-10-ACD-1	HOP 10 ACD 1 1836	1836	HOMEBUYER R.J.	\$14,562.00	12/28/2010					
HOP-10-ACD-1	HOP 10 ACD 1 1836	1836	HOMEBUYER R.J.	\$9,284.25	12/28/2010					
HOP-10-FNH-1	HOP 10 FNH 1 1834	1834	HOMEBUYER F.P.	\$27,000.00	12/28/2010					
HOP-10-FNH-1	HOP 10 FNH 1835	1835		\$27,000.00	12/28/2010					
HOP-10-FNH-1	HOP 10 FNH 1 1837	1837	HOMEBUYER H.F.	\$27,000.00	12/28/2010					
TBR-10-DOC-1	TBR-10-DOC-1-1	1673		\$2,063.00	12/28/2010					
TBR-10-DOC-1	TBR-10-DOC-1-1	1673				1/1/2011		\$586.50		Corporate Match
TBR-11-DOC-1	TBR-11-DOC-1-1	1827				1/1/2011		\$196.50		Corporate Match
ORP-04-ACD-1	ORP 04 ACD 1 1450	1450	REHAB V.T.			1/14/2011	\$45,000.00	\$12,273.00		Corporate Match
HOP-10-FNH-1	HOP 10 FNH 1 1837	1837	HOMEBUYER H.F.			1/14/2011	\$30,000.00	\$2,000.00		Corporate Match
HOP-10-ACD-1	HOP 10 ACD 1 1838	1838	HOMEBUYER T.L.			1/14/2011	\$6,945.00	\$425.00		Corporate Match
GOL-09-CDIA-1	GOL-09-CDIA-1-1	1683				1/14/2011	\$800,000.00	\$240,079.00		Corporate Match
HOP-10-FNH-1	HOP 10 FNH 1 1840	1840	HOMEBUYER T.R.			1/28/2011	\$10,517.00	\$517.00		Corporate Match
ORP-10-ACD-1	ORP 10 ACD 1 1843	1843	REHAB E.T.			2/4/2011	\$21,010.00	\$1,010.00		Corporate Match

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Project Number	Activity Number	IDIS #	Activity Name	HOME Funds Drawn	Date Liability Incurred	Date Match Credited	Total AHFC Commitment	AHFC Match Award	Other Matching Funds	MatchCategory
ORP-10-ACD-1	ORP 10 ACD 1 1842	1842	REHAB R.L.			2/4/2011	\$36,366.00	\$1,918.36		Corporate Match
ORP-10-ACD-1	ORP 10 ACD 1 1841	1841	REHAB J.A.			2/4/2011	\$29,456.00	\$2,456.00		Corporate Match
HOP-10-ACD-1	HOP 10 ACD 1 1839	1839	HOMEBUYER B.B.			2/4/2011	\$28,830.00	\$10,000.00		Corporate Match
TBR-10-DOC-1	TBR-10-DOC-1-1	1673				2/1/2011		\$936.50		Corporate Match
TBR-11-DOC-1	TBR-11-DOC-1-1	1827				2/1/2011		\$196.50		Corporate Match
TBR-10-DOC-1	TBR-10-DOC-1-1	1673		\$1,173.00	2/9/2011					
TBR-11-DOC-1	TBR-11-DOC-1-1	1827		\$880.50	2/9/2011					
HOP-10-ACD-1	HOP 10 ACD 1 1838	1838	HOMEBUYER T.L.	\$6,520.00	2/9/2011					
HOP-10-ACD-1	HOP 10 ACD 1 1839	1839	HOMEBUYER B.B.	\$18,830.00	2/9/2011					
ORP-10-ACD-1	ORP 10 ACD 1 1841	1841	REHAB J.A.	\$2,000.00	2/9/2011					
ORP-10-ACD-1	ORP 10 ACD 1 1842	1842	REHAB R.L.	\$34,447.64	2/9/2011					
ORP-10-ACD-1	ORP 10 ACD 1 1843	1843	REHAB E.T.	\$20,000.00	2/9/2011					
GOL-09-CDIA-1	GOL-09-CDIA-1-1	1683		\$15,480.72	2/9/2011					
HOP-10-FNH-1	HOP 10 FNH 1 1837	1837	HOMEBUYER H.F.	\$1,000.00	2/9/2011					
HOP-10-FNH-1	HOP 10 FNH 1 1840	1840	HOMEBUYER T.R.	\$10,000.00	2/9/2011					
HOP-10-ACD-1	HOP 10 ACD 1 1848	1848	HOMEBUYER T.L.			2/18/2011	\$29,989.39	\$10,000.00		Corporate Match
TBR-10-DOC-1	TBR-10-DOC-1-1	1673				3/1/2011		\$896.50		Corporate Match
TBR-11-DOC-1	TBR-11-DOC-1-1	1827				3/1/2011		\$196.50		Corporate Match
HOP-10-ACD-1	HOP 10 ACD 1 1850	1850	HOMEBUYER S.C.			3/11/2011	\$30,000.00	\$5,000.00		Corporate Match
HOP-10-ACD-1	HOP 10 ACD 1 1855	1855	HOMEBUYER N.R.			3/24/2011	\$29,800.00		\$109,000.00	Bond Financing
ORP-10-ACD-1	ORP 10 ACD 1 1854	1854	REHAB K.S.			3/25/2011	\$14,398.00	\$4,398.00		Corporate Match
ORP-10-ACD-1	ORP 10 ACD 1 1570	1570	REHAB S.H.			3/25/2011	\$8,500.00	\$1,500.00		Corporate Match
TBR-10-DOC-1	TBR-10-DOC-1-1	1673				4/1/2011		\$1,105.00		Corporate Match
TBR-11-DOC-1	TBR-11-DOC-1-1	1827				4/1/2011		\$196.50		Corporate Match
HOP-10-ACD-1	HOP 10 ACD 1 1855	1855	HOMEBUYER N.R.			4/1/2011	\$29,800.00	\$4,800.00		Corporate Match
ORP-10-ACD-1	ORP 10 ACD 1 1856	1856	REHAB D.B.			4/8/2011	\$16,685.00	\$5,000.00		Corporate Match
HOP-10-FNH-1	HOP 10 FNH 1 1858	1858	HOMEBUYER D.C.			4/13/2011	\$14,999.00		\$8,200.00	Bond Financing
HOP-10-FNH-1	HOP 10 FNH 1 1858	1858	HOMEBUYER D.C.			4/14/2011	\$14,999.00	\$4,999.00		Corporate Match
HOP-10-ACD-1	HOP 10 ACD 1 1859	1859	HOMEBUYER A.P.			4/22/2011	\$30,000.00	\$10,000.00		Corporate Match
TBR-10-DOC-1	TBR-10-DOC-1-1	1673				5/1/2011		\$1,184.50		Corporate Match
TBR-11-DOC-1	TBR-11-DOC-1-1	1827				5/1/2011		\$196.50		Corporate Match
HOP-10-ACD-1	HOP 10 ACD 1 1860	1860	HOMEBUYER C.H.			5/6/2011	\$30,000.00	\$10,000.00		Corporate Match
ORP-09-FNH-1	ORP 09 FNH 1 1826	1826	REHAB M.P.			5/13/2011	\$45,031.00	\$2,500.00		Corporate Match
TBR-10-DOC-1	TBR-10-DOC-1-1	1673		\$5,283.00	5/16/2011					
TBR-11-DOC-1	TBR-11-DOC-1-1	1827		\$786.00	5/16/2011					
ORP-10-ACD-1	ORP 10 ACD 1 1570	1570	REHAB S.H.	\$7,000.00	5/16/2011					
HOP-10-ACD-1	HOP 10 ACD 1 1848	1848	HOMEBUYER T.L.	\$20,000.00	5/16/2011					
HOP-10-ACD-1	HOP 10 ACD 1 1850	1850	HOMEBUYER S.C.	\$25,000.00	5/16/2011					
ORP-10-ACD-1	ORP 10 ACD 1 1854	1854	REHAB K.S.	\$10,000.00	5/16/2011					
HOP-10-ACD-1	HOP 10 ACD 1 1855	1855	HOMEBUYER N.R.	\$25,000.00	5/16/2011					
ORP-10-ACD-1	ORP 10 ACD 1 1856	1856	REHAB D.B.	\$11,685.00	5/16/2011					
HOP-10-ACD-1	HOP 10 ACD 1 1859	1859	HOMEBUYER A.P.	\$20,000.00	5/16/2011					
HOP-10-ACD-1	HOP 10 ACD 1 1860	1860	HOMEBUYER C.H.	\$20,000.00	5/16/2011					
HOP-10-FNH-1	HOP 10 FNH 1 1858	1858	HOMEBUYER D.C.	\$10,000.00	5/16/2011					

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Project Number	Activity Number	IDIS #	Activity Name	HOME Funds Drawn	Date Liability Incurred	Date Match Credited	Total AHFC Commitment	AHFC Match Award	Other Matching Funds	MatchCategory
GOL-10-KPH-2	GOL-10-KPH-2	1793		\$136,273.50	5/16/2011					
HOP-10-FNH-1	HOP 10 FNH 1 1862	1862	HOMEBUYER S.D.			5/20/2011	\$14,999.00	\$4,999.00		Corporate Match
HOP-10-ACD-1	HOP 10 ACD 1 1861	1861	HOMEBUYER M.M.			5/20/2011	\$27,875.00	\$5,000.00		Corporate Match
HOP-10-ACD-1	HOP 10 ACD 1 1859	1859	HOMEBUYER A.P.			5/27/2011	\$30,000.00		\$117,200.00	Bond Financing
HOP-10-ACD-1	HOP 10 ACD 1 1866	1866	HOMEBUYER M.B.			5/27/2011	\$30,000.00	\$5,000.00		Corporate Match
HOP-10-ACD-1	HOP 10 ACD 1 1863	1863	HOMEBUYER J.F.			5/27/2011	\$2,382.30	\$382.30		Corporate Match
HOP-10-ACD-1	HOP 10 ACD 1 1857	1857	HOMEBUYER M.S.			5/27/2011	\$28,500.00	\$10,000.00		Corporate Match
GOL-10-HSC-1-A	GOL-10-HSC-1-A-1	1791				5/27/2011	\$910,263.00	\$87,026.30		Corporate Match
TBR-10-DOC-1	TBR-10-DOC-1-1	1673				6/1/2011		\$993.50		Corporate Match
HOP-10-FNH-1	HOP 10 FNH 1 1864	1864	HOMEBUYER L.G.			6/10/2011	\$14,999.00	\$4,999.00		Corporate Match
HOP-10-ACD-1	HOP 10 ACD 1 1861	1861	HOMEBUYER M.M.			6/27/2011	\$27,875.00		\$88,917.00	Bond Financing
HOP-10-ACD-1	HOP 10 ACD 1 1860	1860	HOMEBUYER C.H.			6/27/2011	\$30,000.00		\$168,800.00	Bond Financing

\$ 1,882,156.59

\$1,029,218.63

\$1,538,419.00

Total Bond Financing \$1,538,419.00

HOME Funds Drawn SFY 11	\$ 1,882,156.59
Percentage of Match Liability	0.25
Match Liability	\$ 470,539.15
Total Match Liability	\$ 470,539.15
Percentage of Match Allowed From Bonds	0.25
Total Allowed From Bonds	\$ 117,634.79
Total Match From AHFC	\$ 1,029,218.63
Total Match From Other	\$ 1,538,419.00
Total Match	\$ 2,567,637.63
Total Match From Bonds	\$1,538,419.00
Total Allowed From Bonds	\$ 117,634.79
Excess Restricted Match Proceeds	\$1,420,784.21
Total Allowed From Bonds	\$ 117,634.79
AHFC Match	\$1,029,218.63
Other Match (No Bonds)	\$1,538,419.00
Total Unrestricted Match for SFY2011	\$ 2,685,272.42
	\$ 470,539.15
	\$ 2,214,733.27

Prior Years Match Bank (Carryforward)	
Restricted	\$15,106,676.00
Unrestricted	\$8,948,718.88
Total Bank	\$24,055,394.88
Match Summary	
Restricted Match SFY 2010	\$1,538,419.00
Total Allowed From Bond Proceeds	\$117,634.79
Bankable Restricted SFY2010	\$1,420,784.21
Restricted Carryforward	\$15,106,676.00
Total Bankable Restricted Carryforward for SFY2011	\$16,527,460.21
Unrestricted Match Total SFY2011	\$2,214,733.27
Unrestricted Match Carryforward	\$8,948,718.88
Total Bankable Unrestricted Match Carryforward for SFY2011	\$11,163,452.15

\$27,690,912.36

## **APPENDIX B-2**

### **HOME AFFIRMATIVE MARKETING ASSESSMENT**

**SFY2011 (July 1, 2010 – June 30, 2011)**

#### **Introduction**

As part of its affirmative marketing plan, Alaska Housing Finance Corporation employs several strategies in relation to the HOME Program. For Example:

**Section 3 and MBE WBE Reporting.** AHFC enters into agreements with each of its HOME subrecipients which include Section 3 Affirmative Marketing reporting requirements. Section 3 reporting applies to organizations that have contracts with AHFC for at least \$200,000 in HUD funds. Section 3 also applies to *sub*contracts of at least \$100,000 in HUD funds. Minority and Women Owned business reporting is required for all grantees and/or subrecipients of HOME funds, however, only contracts of \$25,000 or more need be reported to AHFC. Reports are required quarterly, and as part of the grant close-out process prior to the release of the final retainage payment.

**Job Training Programs.** Via the Greater Opportunities for Affordable Living (GOAL) program, AHFC offers HOME grants and loans, Low Income Housing Tax Credits, and Senior Citizen Housing Development Fund grants for construction and/or rehabilitation of affordable and senior housing. Under the GOAL program up to ten (10) points (out of a total possible score of 219) may be awarded to applications that include job training programs. Grants funded through the GOAL program can pay the additional costs caused by incorporating job training programs into project scopes. This has resulted in an increase in the number of applications containing job training programs, thus increasing the inclusion of Section 3 persons in the development process.

**Preference for Special Needs Housing.** Via the GOAL program, AHFC also may award up to five (5) points to projects proposing use up to 50% of their total units to serve special needs populations. Special needs populations include persons with mental or physical disabilities, persons/families whose annual incomes do not exceed 30% of the area median income, and homeless persons. This category generates many diverse applications for housing designed to serve special needs populations, and results in those projects gaining a point advantage for full funding under the program.



**Preference for Increased Accessibility.** The GOAL and Special Needs Housing Grant programs awards up to five (5) points for applications that contain a sponsor pledge to “equip” rental units for both sensory and mobility impairments. The number of points awarded is prorated based on the number of equipped units and is separate from the minimum requirements under Section 504.

The HDP program awards points for applications that contain a sponsor pledge to “equip” more homeownership units than are legally required. The number of points awarded depends on the number of extra units that will be equipped. Applications indicating the inclusion of Universal Design standards are also eligible for up to five (5) points.

**Monitoring and Technical Assistance.** Subrecipients are monitored regularly, including activity related to affirmative marketing, MBE/WBE and Section 3. Technical assistance is provided through phone conversations, emails, meetings during monitoring site visits and more formal training opportunities. AHFC staff is trained to constantly assess the technical assistance needs of subrecipients and to respond quickly with the appropriate training so that every training opportunity can be maximized.

## **Evaluation**

**Section 3 and MBE WBE Reporting.** AHFC began requiring Section 3 and MBE/WBE reporting on a quarterly basis during SFY 2005. As a result, subrecipients address pertinent issues much earlier during the grant performance period than they did when only final reports were required. During review of the quarterly reports, AHFC staff identify potential equal opportunity issues and resolutions are timely. No changes proposed.

**Job Training Programs.** During the reporting period, two (2) projects were awarded HOME funds and five (5) additional projects remained open from previous years. Four (4) out of the seven (7) projects incorporated job training programs as part of their applications and grant agreements. Job training programs enhance the contractors’ abilities to fulfill Section 3 hiring goals. No changes proposed.

**Preference for Special Needs Housing.** This preference provides an effective way to promote the new construction, acquisition and/or rehabilitation of special needs housing. Of the two (2) projects awarded HOME funds during the reporting period and the five (5) projects remaining open from a previous funding cycles, five (5) projects received points under the special needs preference. The special needs served included one (1) senior project (a special needs group from the FY 2009 rating criteria), and the homeless and physically / mentally impaired (4 projects). GOAL applications that commit to a homeless preference in their waiting lists receive a flat three (3) points *in addition to the points received under the special needs preference*. No changes proposed.

**Preference for Increased Accessibility.** The GOAL and SNHG programs award extra points to sponsors that pledge to “equip” more rental units than are legally required for persons with both sensory and mobility impairments. Of the two (2) projects awarded HOME funds through the

FY 2011 GOAL and SNHG competitions, and the five (5) projects from prior years that were still outstanding, six (6) proposed to equip more units than were required. No changes proposed.

**Monitoring and Technical Assistance.** All HOME projects are monitored for affirmative marketing efforts either through on-site visits, or through desk monitoring, or both.

AHFC continues to make technical assistance available to HOME recipients during SFY2011. AHFC co-sponsored a *HUD Fair Housing Forum* in March of 2011 which included HOME grantees, CHDOs, and private landlords. AHFC hosted a *Fair Housing/Section 504 Seminar* on April 18, 2011, targeted toward grantee line staff provided by Steve Rosenblatt from Spectrum Seminars Inc. AHFC also provided scholarships for HOME grantees to the HUD-sponsored *Rental Housing Underwriting Training* in May of 2011 and the HUD-sponsored *All Grantees* meeting on June 28, 2011. AHFC began planning activities for the *CHDO Supportive Housing Training*, the *HOME Income and Allowances Training*, and the *HOME Rental Compliance Training*, to be held the first quarter of SFY2012. A number of scholarships have been provided to HOME grantee staff to attend additional trainings on the impact of the SAFE Act Regulations, Uniform Relocation Act, to attend the Ready-to-Rent Train-the-Trainer On-line Training, and scholarships to NeighborWorks Training Institutes and other venues. An NSP Training was held in August of 2010 which included many HOME grantees and CHDO's who were using a combination of NSP and HOME funds for projects.

**APPENDIX B-3**

**HOME INVESTMENT PARTNERSHIP PROGRAM**

**ANNUAL PERFORMANCE REPORT**

**SFY2011 (JULY 1, 2010 – JUNE 30, 2011)**

# Annual Performance Report HOME Program

U.S. Department of Housing  
and Urban Development  
Office of Community Planning  
and Development

OMB Approval No. 2506-0171  
(exp. 8/31/2009)

Public reporting burden for this collection of information is estimated to average 2.5 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

The HOME statute imposes a significant number of data collection and reporting requirements. This includes information on assisted properties, on the owners or tenants of the properties, and on other programmatic areas. The information will be used: 1) to assist HOME participants in managing their programs; 2) to track performance of participants in meeting fund commitment and expenditure deadlines; 3) to permit HUD to determine whether each participant meets the HOME statutory income targeting and affordability requirements; and 4) to permit HUD to determine compliance with other statutory and regulatory program requirements. This data collection is authorized under Title II of the Cranston-Gonzalez National Affordable Housing Act or related authorities. Access to Federal grant funds is contingent on the reporting of certain project-specific data elements. Records of information collected will be maintained by the recipients of the assistance. Information on activities and expenditures of grant funds is public information and is generally available for disclosure. Recipients are responsible for ensuring confidentiality when public disclosure is not required.

This form is intended to collect numeric data to be aggregated nationally as a complement to data collected through the Cash and Management Information (C/MI) System. Participants should enter the reporting period in the first block. The reporting period is October 1 to September 30. Instructions are included for each section if further explanation is needed.

Submit this form on or before December 31.	This report is for period (mm/dd/yyyy)		Date Submitted (mm/dd/yyyy)
Send one copy to the appropriate HUD Field Office and one copy to: <b>HOME Program, Rm 7176, 451 7th Street, S.W., Washington D.C. 20410</b>	Starting	Ending	

## Part I Participant Identification

1. Participant Number	2. Participant Name		
3. Name of Person completing this report		4. Phone Number (Include Area Code)	
5. Address	6. City	7. State	8. Zip Code

## Part II Program Income

Enter the following program income amounts for the reporting period: in block 1, enter the balance on hand at the beginning; in block 2, enter the amount generated; in block 3, enter the amount expended; and in block 4, enter the amount for Tenant-Based rental Assistance.

1. Balance on hand at Beginning of Reporting Period	2. Amount received during Reporting Period	3. Total amount expended during Reporting Period	4. Amount expended for Tenant-Based Rental Assistance	5. Balance on hand at end of Reporting Period (1 + 2 - 3) = 5
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## Part III Minority Business Enterprises (MBE) and Women Business Enterprises (WBE)

In the table below, indicate the number and dollar value of contracts for HOME projects completed during the reporting period.

	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
A. Contracts						
1. Number						
2. Dollar Amount						
B. Sub-Contracts						
1. Number						
2. Dollar Amount						
	a. Total	b. Women Business Enterprises (WBE)	c. Male			
C. Contracts						
1. Number						
2. Dollar Amount						
D. Sub-Contracts						
1. Number						
2. Dollar Amounts						

**Part IV Minority Owners of Rental Property**

In the table below, indicate the number of HOME assisted rental property owners and the total dollar amount of HOME funds in these rental properties assisted during the reporting period.

	a. Total	Minority Property Owners				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
1. Number						
2. Dollar Amount						

**Part V Relocation and Real Property Acquisition**

Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition. The data provided should reflect only displacements and acquisitions occurring during the reporting period.

	a. Number	b. Cost				
1. Parcels Acquired						
2. Businesses Displaced						
3. Nonprofit Organizations Displaced						
4. Households Temporarily Relocated, not Displaced						
Households Displaced	a. Total	Minority Business Enterprises (MBE)				f. White Non-Hispanic
		b. Alaskan Native or American Indian	c. Asian or Pacific Islander	d. Black Non-Hispanic	e. Hispanic	
5. Households Displaced - Number						
6. Households Displaced - Cost						

**APPENDIX B-4**

**HOME INVESTMENT PARTNERSHIP PROGRAM**

**GRANTS SUMMARY**

**SFY2011 (JULY 1, 2010 – JUNE 30, 2011)**

**SFY2011 Grants Summary**

Type	IDIS Plan Year	IDIS #	Grantee	Project Name	Grant #	HUD HOME Committed	Estimated Federal HOME Units to Be Completed	Status	Grant Period Start	Grant Period End
Homeownership Development	2009	1805	AK Community Development Corp.	Mat-Su Self-Help Project #7	HDP-10-ACD-1	\$ 209,000.00	8	Active	7/1/2010	11/30/2011
RHD	2007	1612	St. Vincent DePaul	SVP Transitional Housing	GOL-07-SVP-1	\$ 445,500.00	25	Closed	8/1/2007	7/1/2010
	2009	1683	CDI-Alaska, Inc.	Weeks Field Phase II	GOL-09-CDIA-1	\$ 800,000.00	5	Active	1/27/2009	6/15/2011
	2009	1649	Mid-Valley Seniors, Inc.	Blueberry Pointe	GOL-09-MVS-1	\$ 620,000.00	4	Active	1/27/2009	7/31/2010
	2009	1793	Kenai Peninsula Housing Initiatives	Hillcrest Manor	GOL-10-KPH-2	\$ 755,176.00	5	Active	3/29/2010	6/30/2011
	2009	1791	Homer Senior Citizens	Swatzell Terrace	GOL-10-HCS-1	\$ 343,068.00	2	Active	4/19/2010	3/31/2011
	2010	1865	Kenai Peninsula Housing Initiatives	Alderbrook Apartments	GOL-11-KPH-1	\$ 406,250.00	6	Active	4/11/2011	3/30/2012
HOP	2009		AK Community Development Corp.	HOP Homeownership	HOP-10-ACD-1	\$ 1,390,478.00	41	Active	10/5/2009	10/31/2011
	2009		Fairbanks Neighborhood Housing	HOP Homeownership	HOP-10-FNH-1	\$ 897,484.00	28	Active	10/5/2009	10/31/2011
	2009		Housing First, Inc.	HOP Homeownership	HOP-10-HG1-1	\$ 402,840.33	11	Closed	10/5/2009	10/31/2010
ORP	2008		Fairbanks Neighborhood Housing	Owner-Occupied Rehab	ORP-09-FNH-1	\$ 460,000.00	10	Active	10/21/2008	9/30/2011
	2009		AK Community Development Corp.	Owner-Occupied Rehab	ORP-10-ACD-1	\$ 708,000.00	15	Active	4/27/2010	9/30/2012
TBRA	2009	1673	AHFC/ State of Alaska	Tenant Based Rental Assistance	N/A	\$ 300,000.00	10	Active	10/22/2009	Ongoing
	2010	1827	AHFC/ State of Alaska	Tenant Based Rental Assistance	N/A	\$ 300,000.00	10	Active	8/27/2010	Ongoing
OEA	2010	1845	Housing First, Inc.	CHDO Operating Assistance	OEA-11-HG1-1	\$ 45,000.00	0	Active	10/21/2010	9/30/2011
	2010	1847	Valley Residential Services, Inc.	CHDO Operating Assistance	OEA-11-VRS-1	\$ 45,000.00	0	Active	10/21/2010	9/30/2011
	2010	1846	Kenai Peninsula Housing Initiatives	CHDO Operating Assistance	OEA-11-KPH-1	\$ 45,000.00	0	Active	10/21/2010	9/30/2011

## **APPENDIX B-5**

### **HOME INVESTMENT PARTNERSHIP PROGRAM HOMEOWNERSHIP (HOP HDP) OUTCOMES**

#### **PROJECTS STARTER AND COMPLETED**

**SFY2011(JULY 1, 2010 – JUNE 30, 2011)**



Agency	Program	IDIS #	Address	City	Total Estimated Costs	Corp Match	HOME Fed \$	Applicant	Household Typ	Occup.	Income Group	0-30% MFI	30-50% MFI	50-60% MFI	60-80% MFI	Race	Ethnicity	Start	Complete
ACD	GOL/ HDP	1425	W. BIRCHWOOD LANE	HOUSTON	\$ 21,000.00		\$ 21,000.00	CD	Two Parents	Owner	60-80%	0	0	0	1	White	Non-Hispanic	6/28/07	11/18/10
ACD	GOL/ HDP	1425	W. BIRCHWOOD LANE	HOUSTON	\$ 21,000.00		\$ 21,000.00	MN	Two Parents	Owner	50-60%	0	0	1	0	White	Non-Hispanic	6/28/07	11/18/10
ACD	GOL/ HDP	1425	W. BIRCHWOOD LANE	HOUSTON	\$ 21,000.00		\$ 21,000.00	AB	Single Paren	Owner	50-60%	0	0	1	0	White	Non-Hispanic	6/28/07	11/18/10
ACD	GOL/ HDP	1425	W. HUBNER CIRCLE	HOUSTON	\$ 21,000.00		\$ 21,000.00	TA	Two Parents	Owner	60-80%	0	0	0	1	White	Non-Hispanic	6/28/07	11/18/10
ACD	GOL/ HDP	1425	W. HUBNER CIRCLE	HOUSTON	\$ 21,000.00		\$ 21,000.00	LH	Two Parents	Owner	30-50%	0	1	0	0	White	Non-Hispanic	6/28/07	11/18/10
ACD	GOL/ HDP	1425	W. HUBNER CIRCLE	HOUSTON	\$ 21,000.00		\$ 21,000.00	CG	Two Parents	Owner	50-60%	0	0	1	0	White	Non-Hispanic	6/28/07	11/18/10
ACD	GOL/ HDP	1425	W. HUBNER CIRCLE	HOUSTON	\$ 21,000.00		\$ 21,000.00	DO	Two Parents	Owner	60-80%	0	0	0	1	White	Non-Hispanic	6/28/07	11/18/10
ACD	GOL/ HDP	1425	N. BRYAN STREET	HOUSTON	\$ 21,000.00		\$ 21,000.00	KC	Two Parents	Owner	50-60%	0	0	1	0	White	Non-Hispanic	6/28/07	11/18/10
ACD	HDP	1605	S. GURN CIRCLE	PALMER	\$ 26,125.00		\$ 26,125.00	WT	Two Parents	Owner	30-50%	0	1	0	0	White	Non-Hispanic	2/9/09	1/3/11
ACD	HDP	1605	S. GURN CIRCLE	PALMER	\$ 26,125.00		\$ 26,125.00	JR	Single Paren	Owner	50-60%	0	0	1	0	Multi-Racial	Hispanic	2/9/09	1/3/11
ACD	HDP	1605	S. GURN CIRCLE	PALMER	\$ 26,125.00		\$ 26,125.00	DM	Two Parents	Owner	50-60%	0	0	1	0	White	Non-Hispanic	2/9/09	1/3/11
ACD	HDP	1605	S. GURN CIRCLE	PALMER	\$ 26,125.00		\$ 26,125.00	AW	Two Parents	Owner	50-60%	0	0	1	0	White	Non-Hispanic	2/9/09	1/3/11
ACD	HDP	1605	S. GURN CIRCLE	PALMER	\$ 26,125.00		\$ 26,125.00	TS	Single Paren	Owner	30-50%	0	1	0	0	White	Non-Hispanic	2/9/09	1/3/11
ACD	HDP	1605	S. GURN CIRCLE	PALMER	\$ 26,125.00		\$ 26,125.00	SB	Two Parents	Owner	60-80%	0	0	0	1	White	Non-Hispanic	2/9/09	1/3/11
ACD	HDP	1605	S. GURN CIRCLE	PALMER	\$ 26,125.00		\$ 26,125.00	CR	Two Parents	Owner	60-80%	0	0	0	1	White	Non-Hispanic	2/9/09	1/3/11
ACD	HDP	1605	S. GURN CIRCLE	PALMER	\$ 26,125.00		\$ 26,125.00	MW	Other	Owner	30-50%	0	1	0	0	White	Non-Hispanic	2/9/09	1/3/11
ACD	HOP	1783	W. JENALEE CIRCLE	BIG LAKE	\$ 28,938.00		\$ 28,938.00	JB	Elderly	Owner	0-30%	1	0	0	0	White	Non-Hispanic	5/26/10	7/12/10
ACD	HOP	1784	E. PRIMROSE CIRCLE	PALMER	\$ 18,955.00		\$ 18,955.00	LK	Elderly	Owner	0-30%	1	0	0	0	White	Non-Hispanic	5/26/10	7/22/10
ACD	HOP	1788	W. KING ARTHUR DR	HOUSTON	\$ 29,435.00	\$ 180.00	\$ 29,255.00	BW	Single	Owner	0-30%	1	0	0	0	White	Non-Hispanic	6/14/10	7/22/10
ACD	HOP	1795	PARKWOOD CIRCLE	SOLDOTNA	\$ 29,821.00	\$ 121.00	\$ 29,700.00	SC	Single	Owner	50-60%	0	0	1	0	White	Non-Hispanic	8/5/10	9/14/10
ACD	HOP	1796	S. SERENITY CIRCLE	WASILLA	\$ 30,000.00	\$ 300.00	\$ 29,700.00	JP	Single Paren	Owner	30-50%	0	1	0	0	Multi-Racial	Hispanic	8/5/10	9/10/10
ACD	HOP	1804	E. SELDON ROAD	WASILLA	\$ 28,980.00	\$ 270.00	\$ 28,710.00	MP	Single	Owner	50-60%	0	0	1	0	White	Non-Hispanic	8/25/10	2/14/11
ACD	HOP	1821	W. TRINITY AVENUE	WASILLA	\$ 29,000.00	\$ 2,000.00	\$ 27,000.00	DB	Elderly	Owner	0-30%	1	0						

**APPENDIX B-6**

**HOME INVESTMENT PARTNERSHIP PROGRAM**

**OWNER OCCUPIED REHABILITATION**

**SFY2011 (JULY 1, 2010 – JUNE 30, 2011)**

ORP Outcomes - SFY 2011 Projects Started and Completed

Agency	IDIS #	Address	City	Total Estimated Costs	Corp Match	ORP Fed \$	Applicant	Household Type	Occup.	Income Group	0-30% MFI	30-50% MFI	50-60% MFI	60-80% MFI	Race	Ethnicity	Start	IDIS Close Date
ACDC		MATTOX STREET	HOMER	\$ 45,000.00	\$ 45,000.00	\$ -	VT	Single	Owner	30-50%	0	1	0	0	White	Non-Hispanic	9/10/2007	N/A
ACDC	1541	BIG EDDY ROAD	SOLDOTNA	\$ 35,000.00	\$ 7,296.00	\$ 27,704.00	BP	Elderly	Owner	0-30%	1	0	0	0	White	Non-Hispanic	6/12/2008	8/20/2010
ACDC	1548	N. ASPEN DRIVE	SOLDOTNA	\$ 25,630.00	\$ 2,000.00	\$ 23,630.00	MN	Elderly	Owner	0-30%	1	0	0	0	White	Non-Hispanic	7/1/2008	8/20/2010
ACDC	1570	DOLLY VARDEN ST	KENAI	\$ 53,500.00	\$ 8,500.00	\$ 45,000.00	SH	Elderly	Owner	30-50%	0	1	0	0	White	Non-Hispanic	9/19/2008	5/13/2011
ACDC	1584	W. LOOKING GLASS DRIVE	HOUSTON	\$ 35,000.00	\$ 13,000.00	\$ 22,000.00	TP	Elderly	Owner	30-50%	0	1	0	0	Multi-Race	Non-Hispanic	11/26/2008	8/20/2010
ACDC	1635	VESTER AVE	NINILCHIK	\$ 30,000.00	\$ 5,360.00	\$ 24,640.00	KO	Elderly	Owner	0-30%	1	0	0	0	White	Non-Hispanic	7/13/2009	8/20/2010
ACDC	1640	BENCH CIRCLE	HOMER	\$ 27,000.00	\$ 6,000.00	\$ 21,000.00	LL	Elderly	Owner	0-30%	1	0	0	0	White	Non-Hispanic	7/28/2009	8/20/2010
ACDC	1691	2ND STREET	KENAI	\$ 28,169.00	\$ 6,329.00	\$ 21,840.00	BL	Elderly	Owner	0-30%	1	0	0	0	White	Non-Hispanic	3/3/2010	8/20/2010
ACDC	1841	ALDER AVENUE	KENAI	\$ 29,456.00	\$ 2,456.00	\$ 27,000.00	JA	Single	Owner	0-30%	1	0	0	0	White	Non-Hispanic	2/1/2011	Open
ACDC	1842	N. ASPEN DRIVE	SOLDOTNA	\$ 36,366.00	\$ 1,918.36	\$ 34,447.64	RL	Single	Owner	0-30%	1	0	0	0	White	Non-Hispanic	2/1/2011	Open
ACDC	1843	O'BRIEN COURT	KENAI	\$ 21,010.00	\$ 1,010.00	\$ 20,000.00	ET	Elderly	Owner	0-30%	1	0	0	0	Multi-Race	Non-Hispanic	2/1/2011	Open
ACDC	1854	W. STORMYBROOK DRIVE	WILLOW	\$ 14,398.00	\$ 4,398.00	\$ 10,000.00	KS	Single	Owner	30-50%	0	1	0	0	White	Non-Hispanic	3/23/2011	Open
ACDC	1856	W. FAIRBIEW LOOP RD	WASILLA	\$ 16,685.00	\$ 5,000.00	\$ 11,685.00	DB	Two Parents	Owner	0-30%	1	0	0	0	White	Non-Hispanic	4/1/2011	Open
Alaska Community Development Corporation TOTAL											9	4	0	0	TOTAL		13	
FNH	1826	ASTER DRIVE	NORTH POLE	\$ 45,031.00	\$ 6,304.00	\$ 38,727.00	MF	Single Parent	Owner	0-30%	1	0	0	0	White	Non-Hispanic	10/12/2010	6/27/2011
FNH	1832	25TH AVENUE	FAIRBANKS	\$ 45,467.00	\$ 3,808.00	\$ 41,659.00	KR	Elderly	Owner	0-30%	1	0	0	0	White	Non-Hispanic	10/20/2010	Open
Fairbanks Neighborhood Housing Services TOTAL											2	0	0	0	TOTAL		2	

**APPENDIX B-7**

**HOME INVESTMENT PARTNERSHIP PROGRAM**

**TENANT-BASED RENTAL ASSISTANCE**

**HOUSEHOLDS SERVED**

**SFY2011 (JULY 1, 2010 – JUNE 30, 2011)**

**TBRA Outcomes - SFY 2011 Households Served**

	HH Initials	Community Served	HOME Security Deposit Amount	Tenant Payment	TBRA-HOME HAP Payment	% of Med	Hisp	Race	Household Size	Type	Date of Lease up	OFF Program Date
1	CW	Fairbanks	\$ 900.00	\$ 340.00	\$ 560.00	0-30	N	White	1	Single, Non Elderly	12/18/2009	Ongoing
2	TE	Fairbanks	\$ 875.00	\$ 529.00	\$ 422.00	30-50	N	Black/AfA	1	Single, Non Elderly	1/27/2010	9/28/2010
3	DM	Soldotna	\$1,000.00	\$ 658.00	\$ 437.00	30-50	N	White	5	Two Parent	9/17/2010	Ongoing
4	CW	Fairbanks	\$1,360.00	\$ 716.00	\$ 223.00	30-50	N	White	1	Seperated	11/5/2010	5/31/2011
5	EH	Sitka	\$ 500.00	\$ 333.00	\$ 417.00	30-50	N	M	1	Elderly, Handicapped	4/1/2011	Ongoing
6	TV	Soldotna	\$ 500.00	\$ 107.00	\$ 393.00	0-30	N	White	1	Single, Non Elderly	12/3/2010	5/31/2011
7	AP	Fairbanks	\$1,050.00	\$ 526.00	\$ 620.00	0-30	Y	White	2	Single, Non Elderly	1/28/2011	Ongoing
8	LL	Fairbanks	\$ 650.00	\$ 50.00	\$ 679.00	0-30	N	White	1	Single, Non Elderly	6/22/2011	Ongoing
9	AS	Kenai	\$ -	\$ 50.00	\$ 550.00	0-30	n	White	1	Single, Elderly	6/22/2011	Ongoing
10	ZY	Soldotna	\$ 850.00	\$ 50.00	\$ 830.00	0-30	n	White	2	Single, Non Elderly	6/30/2011	Ongoing

**APPENDIX B-8**  
**SFY2011 HOME Monitoring**  
**SFY 2011 (July 1, 2010 - June 30, 2011)**

	Development Name (SFY11 Compliance Monitoring (07/01/10 through 06/30/11))	Development Program Mix	Location	Total Units	Total HOME Units	Most Recent Monitoring Date & Type of Review Desk (D) Site (S)
1	ALDERVIEW	LIHTC/HOME/SCHDF	Wasilla	29	5	07/31/10 (S)
2	ATC LIHTC (Asa'carsarmiut Tribal Council)	LIHTC/HOME	Mt. Village	5	5	09/27/10 (D)
3	BACK TO THE COMMUNITY - YKHC	HOME	Bethel	4	4	08/24/10 (S)
4	BAYVIEW LP	LIHTC/HOME/RD	Seward	2	2	08/19/10 (S)
5	BEAR CREEK RESIDENCES	HOME	Homer	5	5	04/18/11 (D)
6	BIRCH CREEK VILLAS	HOME/SCHDF	Meadow Lake	8	4	05/23/11 (D)
7	BIRCH HOUSE	HOME	Fairbanks	5	5	05/07/10 (D)
8	BIRCH TERRACE	HOME	Homer	5	4	03/23/10 (D)
9	BLUEBERRY POINTE	HOME/SCHDF	Houston	8	8	06/16/11 (S)
10	BROOKSIDE	HOME	Homer	9	9	05/23/10 (S)
11	CHICKALOON	HOME	Wasilla	1	1	09/10/10 (S)
12	CHINOOK HOUSE	HOME	Fairbanks	4	4	01/10/11 (D)
13	CHUGACH COLONY ESTATES	LIHTC/HOME/SCHDF	Palmer	31	7	07/29/10 (S)
14	CORDOVA APARTMENTS	LIHTC/HOME/RD	Cordova	5	5	09/28/10 (S)
15	CREST VIEW	HOME	Soldotna	8	8	09/21/10 (S)
16	DINAA YAH OUR HOUSES	HOME	Fairbanks	10	10	06/09/11 (D)
17	EAGLE'S NEST	LIHTC/HOME	Wasilla	4	4	04/12/11 (S)
18	EAGLEWOOD	LIHTC/HOME	Juneau	8	8	09/07/10 (S)
19	EVERGREEN APARTMENTS	HOME	Fairbanks	3	3	07/16/11 (S) *
20	FOREST HILLS - Phase I	LIHTC/HOME	Wasilla	4	4	04/07/11 (S)
21	FOREST HILLS Phase II	LIHTC/HOME	Wasilla	18	3	04/07/11 (S)
22	FOREST VIEW APARTMENTS	LIHTC/HOME	Dillingham	6	6	5/6/2011 (D)
23	FRIENDSHIP TERRACE	HOME/SCHDF	Homer	8	8	04/19/11 (S)
24	GRUENING PARK PHASE I	HOME	Juneau	91	91	09/07/10 (S)
25	HILLVIEW APARTMENTS	LIHTC/HOME	Juneau	8	8	06/30/10 (D)
26	HOOVER BAY MULTI-FAMILY	LIHTC/HOME	Hooper Bay	19	5	05/18/11 (S)
27	KAKE LOW RENT	HOME	Kake	17	17	06/07/11 (S)
28	KLAWOCK LOW RENT APARTMENTS	HOME	Klawock	20	20	06/07/11 (S)
29	KNIK MANOR SENIOR FACILITY	LIHTC/HOME/SCHDF	Wasilla	9	9	03/15/11 (S)
30	MANOKOTAK VIEW	LIHTC/HOME	Manokotak	12	5	04/15/11 (D)
31	MLH MANOR	LIHTC/HOME	Fairbanks	8	8	07/13/11 (S) **
32	MOORING ESTATES	HOME	Soldotna	4	4	05/19/11 (D)
33	MOUNTAIN VIEW MANOR ASSISTED LIVING	HOME/SCHDF	Petersburg	4	4	10/15/10 (D)
34	MUKLUNG MANOR	LIHTC/HOME/RD	Dillingham	16	4	04/11/11 (D)
35	NIKISKI SENIOR CENTER, INC.	HOME/SCHDF	Nikiski	8	3	09/21/10 (S)
36	RAVEN ESTATES	LIHTC/HOME	Fairbanks	10	10	05/07/10 (S)
37	RAVEN TREE COURT	LIHTC/HOME	Wasilla	6	6	03/15/11 (S)
38	RENDEZVOUS Sr. Assisted Living	HOME	Ketchikan	7	7	06/01/11 (D)
39	SI' TUWAN SUBDIVISION	HOME	Juneau	20	7	06/06/11 (S)
40	SOUTHWEST ELDERLY	LIHTC/HOME/RD	Naknek	4	4	04/14/11 (D)
41	STEBBINS ELDER HOUSING	HOME	Stebbins	5	5	04/01/11 (D)
42	STERLING COURT	HOME	Kenai	1	1	09/13/10 (D)
43	STRASBAUGH APARTMENTS	LIHTC/HOME	Juneau	3	3	06/15/10 (D)
44	SUNRISE HOUSE	LIHTC/HOME	Yakutat	4	4	06/06/11 (S)
45	TAIGA VIEW APARTMENTS	LIHTC/HOME	King Salmon	5	5	04/15/11 (D)
46	TERRACE VIEW	HOME	Homer	4	4	03/23/10 (S)
47	TOGIK VIEW APARTMENTS	LIHTC/HOME	Togiak	16	15	05/02/11 (D)
48	Tovarish Manor, Phase II	HOME/SCHDF	Ninilchik	6	3	09/21/10 (D)
49	TRADEWIND APARTMENTS	LIHTC/HOME/RD	Unalaska	4	4	06/15/11 (S)
50	WEEKS FIELD PHASE I	LIHTC/HOME	Fairbanks	74	5	08/04/10 (S)
51	WILLOW PARKWAY	HOME/SCHDF	Willow	6	3	05/20/11 (D)
52	WOMEN AND CHILDREN	HOME	Fairbanks	12	12	*
53	YENLO SQUARE	LIHTC/HOME	Wasilla	34	4	07/21/10 (S)

\* HOME Funds to be repaid to HUD in accordance with 92.503, b), 1.

\*\* Most recent review conducted outside of indicated monitoring period.

Note: As of 7/1/11, AHFC Internal Audit Department's (IAD) monitoring portfolio included 59 HOME program properties. Of this total, 53 are noted above. Six other HOME program property's have yet to have a first year monitoring review due to future placed in service date.

## **APPENDIX B-9**

### **Outcome Performance Measurement Tables**

**SFY2011 (July 1, 2010 – June 30, 2011)**

**Table 2A - Priority Housing Needs/Investment Plan Table – HOME / CDBG**

<b>Priority Need</b>	<b>5-Yr. Goal Plan/Act</b>	<b>Yr. 1 Goal Plan/Act</b>	<b>Yr. 2 Goal Plan/Act</b>	<b>Yr. 3 Goal Plan/Act</b>	<b>Yr. 4 Goal Plan/Act</b>	<b>Yr. 5 Goal Plan/Act</b>
<b>Renters</b>						
0 - 30 of MFI	33-HOME	7/13	7/	7/	6/	3/
31 - 50% of MFI	40-HOME	8/11	8/	8/	8/	8/
51 - 80% of MFI	7-HOME	2/	2/	2/	1/	0/
<b>Owners</b>						
0 - 30 of MFI	56-CDBG 55-HOME	0 11/5- HOME	17-act. 11/ – HOME	13/0 11/ – HOME	13/0 11/ – HOME	13/0 11/ – HOME
31 - 50 of MFI	5-CDBG 75-HOME	0 15/14- HOME	2-act. 15/ – HOME	1 15/ – HOME	1/0 15/ – HOME	1/0 15/ – HOME
51 - 80% of MFI	4-CDBG 124- HOME	0 25/35- HOME	1-act. 25/ – HOME	1 25/ – HOME	1/0 25/ – HOME	1/0 24/ – HOME
<b>Homeless*</b>						
Individuals						
Families						
<b>Non-Homeless Special Needs</b>	60	12/13	12/11	0	0	
Elderly						
Frail Elderly						
Severe Mental Illness						
Physical Disability						
Developmental Disability						
Alcohol or Drug Abuse						
HIV/AIDS						
Victims of Domestic Violence						
Total (Sec. 215 and other)	1553	297				
Total Sec. 215	572	114				
<b>215 Renter</b>	80 – HOME	17/24				
<b>215 Owner</b>	254 - HOME	51/54				



**Priority Housing Activities/Investment Plan Table  
(Table 2A)**

<b>Priority Need</b>	<b>5-Yr. Goal <i>Plan/Act</i></b>	<b>Yr. 1 Goal Plan/Act</b>	<b>Yr. 2 Goal Plan/Act</b>	<b>Yr. 3 Goal Plan/Act</b>	<b>Yr. 4 Goal Plan/Act</b>	<b>Yr. 5 Goal <i>Plan/Act</i></b>
<b>CDBG</b>						
Acquisition of existing rental units						
Production of new rental units						
Rehabilitation of existing rental units						
Rental assistance						
Acquisition of existing owner units						
Production of new owner units						
Rehabilitation of existing owner units	65	0	20-act	15 Act	0 Act	0 Actual
Homeownership assistance						
<b>HOME</b>						
Acquisition of existing rental units						
Production of new rental units	30	6/14	6/	6/	6/	6/
Rehabilitation of existing rental units						
Rental assistance	50	10/10	10/	10/	10/	10/
Acquisition of existing owner units						
Production of new owner units	24	8/16	8/	8/	0/	0/
Rehabilitation of existing owner units	70	14/8	14/	14/	14/	14/
Homeownership assistance	110	22/38	22/	22/	22/	22/
<b>HOPWA</b>						
Rental assistance						
Short term rent/mortgage utility payments						
Facility based housing development						
Facility based housing operations						
Supportive services						
<b>Other</b>						

**Annual Housing Completion Goals  
(Table 3B)**

Grantee Name:  Program Year:	Expected Annual Number of Units To Be Completed	Actual Annual Number of Units Completed	Resources used during the period			
			CDBG	HOME	ESG	HOPWA
<b>BENEFICIARY GOALS (Sec. 215 Only)</b>						
Homeless households			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Non-homeless households			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Special needs households			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Total Sec. 215 Beneficiaries*</b>			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>RENTAL GOALS (Sec. 215 Only)</b>						
Acquisition of existing units			<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Production of new units	6	14	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Rehabilitation of existing units			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Rental Assistance	10	10	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
<b>Total Sec. 215 Affordable Rental</b>			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>HOME OWNER GOALS (Sec. 215 Only)</b>						
Acquisition of existing units			<input type="checkbox"/>	<input type="checkbox"/>		
Production of new units	8	16- HOME	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
Rehabilitation of existing units	14	8-HOME	<input type="checkbox"/>	<input checked="" type="checkbox"/>		
Homebuyer Assistance	22	38- HOME	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
<b>Total Sec. 215 Affordable Owner</b>	44	62	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>COMBINED RENTAL AND OWNER GOALS (Sec. 215 Only)</b>						
Acquisition of existing units			<input type="checkbox"/>	<input type="checkbox"/>		<input type="checkbox"/>
Production of new units	14	30-HOME	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Rehabilitation of existing units	14	11-HOME	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Rental Assistance	10	10-HOME	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
Homebuyer Assistance	22	38-HOME	<input type="checkbox"/>	<input checked="" type="checkbox"/>		<input type="checkbox"/>
<b>Combined Total Sec. 215 Goals*</b>	60		<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>OVERALL HOUSING GOALS (Sec. 215 + Other Affordable Housing)</b>						
Annual Rental Housing Goal	17	24	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Annual Owner Housing Goal	50	89	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>Total Overall Housing Goal</b>	67	113	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

\* The total amounts for "Combined Total Sec. 215 Goals" and "Total Sec. 215 Beneficiary Goals" should be the same number.

**No CDBG completed projects for SFY2010**

**Table 2B - Priority Community Development Activities - CDBG**

Priority Need	5-Yr. Goal <i>Plan/Act</i>	Yr. 1 Goal Plan/Act	Yr. 2 Goal Plan/Act	Yr. 3 Goal Plan/Act	Yr. 4 Goal Plan/Act	Yr. 5 Goal <i>Plan/Act</i>
Acquisition of Real Property	1	0	0	1	0	0
Disposition						
Clearance and Demolition						
Clearance of Contaminated Sites						
Code Enforcement						
Public Facility (General)	17	8	2	0	2	5
Senior Centers	1	0	1	0	0	0
Handicapped Centers						
Homeless Facilities	6	3	2	0	1	0
Youth Centers						
Neighborhood Facilities						
Child Care Centers						
Health Facilities	20	9	7	1	1	2
Mental Health Facilities						
Parks and/or Recreation Facilities						
Parking Facilities						
Tree Planting						
Fire Stations/Equipment	2	1	0	1	0	0
Abused/Neglected Children Facilities						
Asbestos Removal						
Non-Residential Historic Preservation						
Other Public Facility Needs	6	0	0	2	2	2
Infrastructure (General)	1	0	0	0	1	0
Water/Sewer Improvements	2	2	0	0	0	0
Street Improvements	1	0	0	0	1	0
Sidewalks	2	0	0	0	2	0
Solid Waste Disposal Improvements	1	0	0	1	0	0
Flood Drainage Improvements						
Other Infrastructure	5	0	0	2	3	0
Public Services (General)						
Senior Services						
Handicapped Services						
Legal Services						
Youth Services						
Child Care Services						
Transportation Services						
Substance Abuse Services						
Employment/Training Services						
Health Services						
Lead Hazard Screening						
Crime Awareness						
Fair Housing Activities						
Tenant Landlord Counseling						
Other Services						
Economic Development (General)						
C/I Land Acquisition/Disposition						
C/I Infrastructure Development						
C/I Building Acq/Const/Rehab	1	1	0	0	0	0
Other C/I						
ED Assistance to For-Profit						
ED Technical Assistance						
Micro-enterprise Assistance						
Other						

**Table 1C,2C,3A - OUTCOME PERFORMANCE MEASUREMENTS – CDBG/HOME**

Availability/Accessibility of Decent Housing (DH-1)								
Specific Objective		Source of Funds	Year	Performance Indicators	Expected Number	Actual Number	Percent Achieved	
DH 1.1	Create a suitable living environment by providing funding for owner occupied housing rehab services which addresses emergency needs and health and safety measures for LMI households	CDBG	2010	# of LMI households receiving funding	0	0	%	
			2011		20	20	%	
			2012		15	0	%	
			2013		15	0	%	
			2014		15	0	%	
		MULTI-YEAR GOAL		65	20	31 %		
Availability of Decent Housing (DH-1)								
DH 1.1	Provide decent housing with improved affordability	HOME	2010	# of hh receiving homeownership and rental assistance	32	48	30%	
			2011		32		%	
			2012		32		%	
			2013		32		%	
			2014		32		%	
		MULTI-YEAR GOAL		160		%		
Affordability Decent Housing (DH-2)								
DH 2.1	Provide decent housing with improved sustainability	HOME	2010	# of homeownership and rental units constructed	14	30	55 %	
			2011		14		%	
			2012		14		%	
			2013		6		%	
			2014		6		%	
		MULTI-YEAR GOAL		54		55%		
Availability/Accessibility of Suitable Living Environment (SL-1)								
SL 1.1	Create a suitable living environment through new construction or renovation of public facilities to benefit LMI persons	CDBG ESG	2010	# of persons with access to public facility or infrastructure benefit	19,365	19,365	%	
			2011		3,486		3,486	%
			2012		6,152		6,152	%
			2013		7,092		7,092	%
			2014		4,000		3500	%
		MULTI-YEAR GOAL		40,095	36,095	57 %		
Sustainability of Decent Housing (D-3)								
DH 3.1	Create/make possible decent housing / a suitable living environment through improved affordability	HOME	2010	# of homes rehabilitated or upgraded with corrected deficiencies	14	11	%	
			2011		14		%	
			2012		14		%	
			2013		14		%	
			2014		14		%	
		MULTI-YEAR GOAL		70		%		

CDBG actual numbers for SFY2010 will be published in SFY2011

**OUTCOME PERFORMANCE MEASUREMENTS – ESG**  
**Table 1C - Summary of Specific Homeless/Special Needs Objectives**

#	Specific Objectives	Sources of Funds	Performance Indicators	Expected Number	Actual Number	Outcome/Objective*
	<b>Homeless Objectives</b>					
1.1	Suitable living environment with improved accessibility	ESG	#of people served	3000	780	SL-1
	<b>Special Needs Objectives</b>					
	<b>Other Objectives</b>					

**\*Outcome/Objective Codes**

	<u>Availability/Accessibility</u>	<u>Affordability</u>	<u>Sustainability</u>
<b>Decent Housing</b>	DH-1	DH-2	DH-3
<b>Suitable Living Environment</b>	SL-1	SL-2	SL-3
<b>Economic Opportunity</b>	EO-1	EO-2	EO-3

## OUTCOME PERFORMANCE MEASUREMENTS – CDBG/HOME

**Table 2C**  
Summary of Specific Housing/Community Development Objectives

#	Specific Objectives	Sources of Funds	Performance Indicators	Expected Number	Actual Number	Outcome/Objective*
	<b>Rental Housing</b>					
DH-1	Create decent housing with improved or new availability	HOME	# of hh receiving rental assistance	10	10	DH-1
DH-2	Create decent housing with improved or new availability	HOME	# of rental units constructed/rehabbed	6	14	DH-2
	<b>Owner Housing</b>					
DH-1	Create a suitable living environment by providing funding for owner occupied housing rehab services which addresses emergency needs and health and safety issues for LMI households.	CDBG	# of LMI households receiving funding	20	0	DH-1
DH-1	Create decent housing with improved or new availability	HOME	# of hh assisted with DPA	22	38	DH-1
DH-2	Create decent housing with improved or new affordability	HOME	# of hh constructed	8	16	DH-2
DH-3	Provide decent housing with improved sustainability	HOME	# of LMI homes rehabilitated or upgraded	14	8	DH-3
	<b>Community Development</b>					
SL-1	Create a suitable living environment through new construction or renovation of public facility to benefit LMI persons	CDBG	# of persons with access to public facility or infrastructure benefit	3,486	3,486	SL-1
	<b>Infrastructure</b>					
	<b>Public Facilities</b>					
	<b>Public Services</b>					
	<b>Economic Development</b>					
	<b>Neighborhood Revitalization/Other</b>					

**\*Outcome/Objective Codes**

	<u>Availability/Accessibility</u>	<u>Affordability</u>	<u>Sustainability</u>
<b>Decent Housing</b>	DH-1	DH-2	DH-3
<b>Suitable Living Environment</b>	SL-1	SL-2	SL-3
<b>Economic Opportunity</b>	EO-1	EO-2	EO-3